

# çfroskh ÁHkkokadhsmi fLFkr eafok'e fjLi ,ll I jQd vfhkdYi uk, j vÁdrk oekj I hek tXxh] fl uh oxh] ] viZk Hksed\*] vfuánrk nRrk , oa, Yn® oxhzt<sup>1</sup>

Hkk—vuqi-- dæh; I eph eRL; vuq ákku I kFku] dksPp-682 018] djy

i klr%vi &y 2020

Lohdr%tykbZ2020

## I kjkák

i Lnr y[k e] fudVortzbdkb; ksl çfroskh çHkkokadks I fefyr djusokysfo"ke fjLi ,ll I jQd e,My ij v/ ; ; u fd; k x; k gA çfroskh çHkkokadks I kFk 2<sup>n</sup>×3 Q,eZdsf}rh; v,Mj fo"ke fjLi ,ll I jQd dsfy, i) fr fodfl r dh xbzgA eki nMka¼] kfeVj I ½ dsl eku Á j .k , oafudV&v,Fkk&kuy vkdyu dsfy, vko' ; d Áfrcl/k çklr fd; sx; s gA 2<sup>n</sup>×3 dsfy, ucj bQDV4 dsl kFk f}rh; v,Mj , fl efvdy jkVcy fMtkbu (SOARDNE) cukusdh fof/k fodfl r dh xbzgA

'kOn dæh%

## Asymmetrical response surface designs in the presence of neighbour effects

Ankita Verma, Seema Jaggi, Cini Varghese Arpan Bhowmik\*,  
Anindita Datta and Eldho Varghese<sup>1</sup>

ICAR-Central Marine Fisheries Research Institute, Kochi - 682 018, Kerala

Received: April 2020

Accepted: July 2020

### ABSTRACT

In this article, we have discussed a general methodology for constructing Asymmetrical Response Surface Designs in the presence of Neighbour Effects. Methodology of form 2<sup>n</sup>×3 has been derived. Conditions have been derived for the near orthogonal estimation of coefficients of response model. Further, conditions for rotatability under these models have also been obtained. A method of constructing asymmetrical response surface designs i.e. 2<sup>n</sup>×3 for fitting second order response surface in the presence of neighbour effects has been developed.

### Key words:

#### çLrkouk

—f"k v/; ; u eadbZ, d h i fjLFkr; k; g"rh gÅtgk; mÍs; dÅ 0; k[; kRed pj vks , d ; k , d I s vfedk vks r n tãdk çfrfØ; k pj/ pj<sup>®</sup>dschp I æák dksfuækkZjr djuk g"rk gA dk; ç. kkyh tksl okkike çfrfØ; k çklr djus eaenn djrh gSml sçfrfØ; k I rg dk; ç. kkyh vRSM½ dgk tkrk gA bu rjhdkaek mi ; kx çfrfØ; k vks çfrfØ; k dksçHkkfor djusokysdkj dka dschp I æákadh tkp djus dsfy, fd; k tkrk gS tS svfedre mi t vks ll; wure ykxr d<sup>®</sup>çklr djusdsfy, dkjd Lrjkaek I cl svPNk I v/ [kkstuka vkj-, I -, e-dk mi ; kx fofHkuu xkfQdy] I kã[; dh; vks xf.krh; rduhdkaek mi ; kx djdsfdl h çfØ; k dksfodfl r djus I ækkjus ; k vuqfnyr djus ds fy, fd; k tkrk gA

vDI j] vkj-, I -, e- eaçfrfØ; k pj vks Lora- pj dschp dsl æák dk : i Kkr ugE g"rk gSvks bl fy, bl sçk; kfxd {ks= dsHkrj} pj eami ; æa fMxb dscgq n }kjk çklr fd; k tkrk gA cgq n e,My tksi ; klr : i I s I gh ek=k&çfrfØ; k I æák dk çfrfufekRo djrs gS mlga çfrfØ; k I rg vjLi ,ll I jQd ½ e,My dgk tkrk gA fMtkbu tksçfrfØ; k I rgadh fQVx dh vuqfr nrs gS vks mudh i ; klrk ds ijh{k.k dsfy, , d mik; çnku djrs gS mlgaçfrfØ; k I rg fMtkbu dgk tkrk gA vkj-, I -, e- ij fooj.k dsfy, ] c,DI vks Mj j ¼ 987¼ [kjh vks d,us, ¼ 996½ vks [kjh ¼ 2017½ dk mYy[k dj I drsgA çfrfØ; k I rg ç; kxka ea vkerks ij ; g ekuk tkrk gSfd voykdu Lora- gSvks vki lu i Mhd h bdkb; ka ea ykxwmi pkjka I sçHkkfor ugE gA yfdu , d h fLFkr ea

Corresponding author's E-mail: arpan.stat@gmail.com  
<sup>1</sup>ICAR-Indian Agricultural Statistics Research Institute, New Delhi-110 012;

Hkk—vuqi - Hkkjrh; —f"k I kã[; dh vuq ákku I kFku] ubZfnYyh - 110 012

te bdkb; ka dks, d js[kd rjhdsI scgr djhc 0; ofLFkr fd; k tkrk gš çk; kšxd bdkb; kavkl uu bdkb; ka@ Hko/kM/ka ij ykxwmi pkjkaI s iMtd h çHkkoka dk vuqko dj I drh gš [Mš j , oa x/eu] 1980; tXxh , V-, y-] 2010; oxhzt , V-, y-] 2016; I kfj dk , V-, y-] 2009%A mnkgj .k dsfy, ] , d {k= ç; kx ea; fn , d Hko/kM ij , d jkl k; fud mi pkj ykxwfd; k tkrk gš rksi ou dscgko dsdkj .k jkl k; fud mi pkj dk çHkko i Mtd h Hko/kM/ka ij Qšy I drk gš; k feeh dsrš kj gksuI s, d Hko/kM I sfu"Qy feeh fefJr gksI drh gš vxys Hko/kM I s xš & ç> feeh ds I kFA bl fy, ] ; g è; ku j [kuk vko'; d gšfd fdl h fo'kšk Hko/kM I s çklr çfrfØ; k u dšy ml Hko/kM ij ykxwmi pkj I a kstu I s çHkfor gksh gš çfyd i Mtd h Hko/kM/ka ij ykxwmi pkj I a kstuka }kjk Hkh çHkfor gksh gš vDI j] , d sçHkkoka dks de djus dsfy, I koekku; kacjrh tkrh gš ; g v/; ; u I Hko i Mtd h fgrka dk çfrfufekRo djus ds rjhdka dh ryk' k djus vksš ; g tkpus dsfy, gšfd D; k okLro ep osI Hkh ekšm gš bl fy, ] e, My ea i Mtd h bdkb; ka ds çHkko dks 'kkfey djuk egRoI wkZ gš rkd çfrfØ; k dk vfed I Vhd vuqku yxk; k tk I dš

### I kexh , oa ij h{k.k fof/k

fuEufyf [kr , d I keku; çfrfØ; k e, My gšft I earRdky ckb±vksš nkb± i Mtd h bdkb; ka I s çHkko 'kkfey gš

$$y_{u'} = \sum_{u=1}^N g_{uu'} f(x_u) + e_{u'}, \quad u' = 1, 2, \dots, N \quad (1)$$

; gk  $g_{uu'} = 1, ; fn \quad u = u'$

$= \alpha, |\alpha| < 1, ; fn \quad |u - u'| = 1$

$= 0, \forall u; Fkk$

$X_1, X_2, \dots, X_N$  Loræ pj] y çfrfØ; k pj vksš N dgy voykdu gš ; gka  $\alpha$ ] ck, a vksš nk, a i Mtd h bdkb; ka I s i Mtd h çHkko dk çfrfufekRo djrk gš vksš 0 I s1 rd dh bl dh I hek, a gš  $f(x_u)$  og QD'ku gšft I ds }kjk çfrfØ; k vksš bui v/ pj I æb/kr gš

; gk ij n dkjkdæ" Lrj nksij vksš , d dkj d d" Lrj rhu ij] ; kuh , d  $2^n \times 3$  QDV@j; y] , d fo"ke çfrfØ; k I rg ij fopkj fd; k x; k gšA ekuk tkusokyk e, My bšjD'ku dh 'krædsfcuk , d ni jk v, Mj dk e, My gš bl dk : i bl çdkj gš

$$f(x_u) = \beta_0 + \sum_{i=1}^{n+1} \beta_i x_i + \beta_{n+1, n+1} x_{n+1}^2$$

$\beta_0, \beta_1, \dots, \beta_{n+1}$  dkj dædsjs [kd çHkko½ vksš  $\beta_{n+1, n+1}$  [kæ+ 1]æa dkj d dk f} ?kkr çHkko] vuqfur fd, tkusokys ij kehVj gš

e, My (1) d" fuEufyf [kr ešVDI Okeleafy [k tk I drk gš

$$Y = GX\beta + e,$$

$G$  , d  $N \times (N + 2)$  dk ucj ešVDI gš  $X$  , d  $(N + 2) \times (n + 3)$  fMtkbu ešVDI gš  $\beta$  , d  $(n + 3) \times 1$  i j kehVj oDVj , oa  $e$  , d  $N \times 1$   $N(0, \sigma^2 I)$  , jj oDVj gšA

bl ij fLFkr dsfy, fMtkbu ešVDI bl Ádkj gš

$$X = \begin{bmatrix} 1 & x_{1N} & x_{2N} & \dots & x_{1N} & \dots & x_{nN} & x_{n+1N} & x_{n+1N}^2 \\ 1 & x_{11} & x_{21} & \dots & x_{11} & \dots & x_{n1} & x_{n+11} & x_{n+11}^2 \\ 1 & x_{12} & x_{22} & \dots & x_{12} & \dots & x_{n2} & x_{n+12} & x_{n+12}^2 \\ \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots \\ \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots \\ \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots \\ 1 & x_{1i} & x_{2i} & \dots & x_{1i} & \dots & x_{ni} & x_{n+1i} & x_{n+1i}^2 \\ \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots \\ \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots \\ 1 & x_{1N} & x_{2N} & \dots & x_{1N} & \dots & x_{nN} & x_{n+1N} & x_{n+1N}^2 \\ 1 & x_{11} & x_{21} & \dots & x_{11} & \dots & x_{n1} & x_{n+11} & x_{n+11}^2 \end{bmatrix}$$

$$\hat{\beta} = (Z'Z)^{-1} Z'Y$$

; gk

$$Z = GX,$$

$$D(\hat{\beta}) = \sigma^2 (Z'Z)^{-1}$$

çfrfØ; k e, My ds xqkkæka ds fudV v, Fkkæksy vkdyu dsfy, vksš ÁI j .k dh fLFkr dsfy, vko'; d 'kræçklr dh xbz gš bl ds vykok] bu e, My ka ds j @Všcy gksu dh fLFkr Hkh çklr dh xbz gš

vuøkfur fjLi,UI dk Ál j.k  $\sum_{i=1}^n x_{i0}^2$  ij fuHkj djrk gA

### ijh.kke ,oafoopuk

fn, x,  $\alpha$  dsfy, og fcnqftl dsfy, I eku gß vuøkfur fjLi,UI dk Ál j.k I eku gkskA ; fn fdl h fcnqx ij vuøkfur fjLi,UI dk Ál j.k døy fMtkbu dæ fcnql sx dh njuh ij fuHkj djrk gß rksfMtkbu j<sup>Q</sup>V/cy gksrk gA bl xqk dksl r<sup>Q</sup>V djusokysfMtkbu dks; gkaf}rh; v,Mj , fl esVdy fjLi,UI I jQd fMtkbu (SOARDNE) c<sup>Q</sup>yk x; k gSA

$$X_{26 \times 5} = \begin{bmatrix} 1 & -1 & -1 & -1 & 1 \\ 1 & 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 0 & 0 \\ 1 & 1 & 1 & -1 & 1 \\ 1 & 1 & -1 & 1 & 1 \\ 1 & 1 & -1 & 0 & 0 \\ 1 & 1 & -1 & -1 & 1 \\ 1 & -1 & 1 & 1 & 1 \\ 1 & -1 & 1 & 0 & 0 \\ 1 & -1 & 1 & -1 & 1 \\ 1 & -1 & -1 & 1 & 1 \\ 1 & -1 & -1 & 0 & 0 \\ 1 & -1 & -1 & -1 & 1 \\ 1 & -1 & -1 & 1 & 1 \\ 1 & -1 & -1 & 0 & 0 \\ 1 & -1 & -1 & -1 & 1 \\ 1 & 1 & -1 & 1 & 1 \\ 1 & 1 & -1 & 0 & 0 \\ 1 & 1 & -1 & -1 & 1 \\ 1 & -1 & -1 & 1 & 1 \\ 1 & -1 & -1 & 0 & 0 \\ 1 & -1 & -1 & -1 & 1 \\ 1 & 1 & 1 & 1 & 1 \end{bmatrix}$$

$$Z'Z = \begin{bmatrix} 96 & 0 & 0 & 0 & 64 \\ 0 & 60 & 0 & 0 & 0 \\ 0 & 0 & 60 & 0 & 0 \\ 0 & 0 & 0 & 4 & 0 \\ 64 & 0 & 0 & 0 & 44 \end{bmatrix}$$

$$(Z'Z)^{-1} = \begin{bmatrix} 0.3437 & 0 & 0 & 0 & -0.5 \\ 0 & 0.0166 & 0 & 0 & 0 \\ 0 & 0 & 0.0166 & 0 & 0 \\ 0 & 0 & 0 & 0.25 & 0 \\ -0.5 & 0 & 0 & 0 & 0.75 \end{bmatrix}$$

$2^n \times 3$  SOARDNE dsfuekZk dh fof/k% $2^n \times 3$  I a kstu dksyDI kskfQd Øe ; k fjol zyDI kskfQd Øe ea0; ofLFkr djA  $1/n - 1/2^n \times 3/2$  vfrfjDr I a kstu  $1/2$  u $1/2^n$  x<sup>Q</sup> dsLrHkka dks?kpusl sçklr djA çfroskh çHkkokadsfy, I hek fcnq ds : i eanks vfrfjä fcnq tkM/s tkrs gA ifj.kkeLo: i  $n/2^n \times 3/2$  ju ea , d SOARDNE fMtkbu Åklr g<sup>Q</sup>xkA vuøkfur fd, tkusokyseki nMkadh dgy I  $\frac{1}{2}$ ; k n+3 gA

$2^2 \times 3$  dsfy, SOARDNE: n  $3/4$  2 ds ifj.kkeLo: i 3 dkjd  $1/2 X_1, X_2$  v<sup>Q</sup>  $X_3/2$  gß dkjd  $X_1$  v<sup>Q</sup>  $X_2$  n<sup>Q</sup>Lrjka ij çR; d v<sup>Q</sup>  $X_3$  rhu Lrjka  $1/2^2 \times 3/2$  ij A igys fjol zyDI dkskQd Øe ea0; ofLFkr i wkZQDVKsj; y I s12 ju çklr djrs gA bl dsckn]  $X_2$  I sl çf/kr n<sup>Q</sup> jsd,ye dh I kexh dks  $X_1$  I sl çf/kr igysd,ye dsuhpsv<sup>Q</sup> igys d,ye I sl çf/kr I kexh dks n<sup>Q</sup> jsd,ye dsuhpsfy [kk x; k gA vr ep I hek ju tkM/s tkrs gA çklr SOARDNE 24 ju eaj<sup>Q</sup>V/cy gkskA i kp d,ye  $(1x_1x_2x_3x_3^2)$  v<sup>Q</sup> nks I hek fcnq/kads I kFk 24 fcnq/kads I kFk fMtkbu esVDI X bl çdkj g%

$$V(\hat{\beta}_0) = 0.34375, \sigma^2 V(\hat{\beta}_1) = V(\hat{\beta}_2) = 0.0166, \\ V(\hat{\beta}_3) = 0.25, \sigma^2 V(\hat{\beta}_{33}) = 0.75 \\ Cov(\hat{\beta}_0, \hat{\beta}_{33}) = -0.5$$

$V(\hat{y}_0) = 0.3771$  t<sup>2</sup> x eal Hkh fcnq/kadsfy, I eku gA

### fu"d"Z

fodfl r dh xbZ I Hkh vfhkdYi uk; ij vuøkfur fjLi,UI ds Ál j.k dh fLFkjr k d<sup>Q</sup> I fuf'pr djrh gA bl fy, fMtkbu j<sup>Q</sup>V/cy gA

- Box, G.E. and Draper, N.R. (1987). *Empirical model-building and response surface*. New York, Wiley.
- Draper, N.R. and Guttman, I. (1980). Incorporating overlap effects from neighbouring units into response surface models. *Applied Statistics*, 29(2), 128-134.
- Jaggi, S., Sarika and Sharma, V.K. (2010). Response surface analysis incorporating neighbour effects from adjacent units. *Indian Journal of Agricultural Sciences*, 80(8), 719-723.
- Khuri, A.I. and Cornell, J.A. (1996). *Response surface designs and analyses*. Marcel Dekker, New York.
- Khuri, A.I. (2017). Response surface methodology and its applications in agricultural and food sciences. *Biometrics and Biostatistics International Journal*, 5(5), 141-151.
- Sarika, Jaggi, S. and Sharma, V.K. (2009). Second-order response surface model with neighbour effects. *Communications in Statistics-Theory and Methods*, 38(9), 1393-1403.
- Varghese, E., Jaggi, S. and Sharma, V. K. (2016). Rotatable response surface designs in the presence of differential neighbour effects from adjoining experimental units. *Calcutta Statistical Association Bulletin*, 67(3-4), 163-186.