

Date of publication xxxx 00, 0000, date of current version xxxx 00, 0000

Digital Object Identifier

Web-SpikeSegNet: deep learning framework for recognition and counting of spikes from visual images of wheat plants

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The first author acknowledges the fellowship received from ICAR-IASRI, New Delhi, India to undertake this researchwork as part of Ph.D. Also acknowledges Nanaji Deshmukh Plant Phenomics Facility, ICAR-IARI, New Delhi-12, "This work was supported by National Agriculture Science Fund (NASF), ICAR, Grant No.NASF/Phen-6005/2016-17 and NAHEP CAAST (NAHEP/CAAST/2018/19/07)."

ABSTRACT Computer vision with deep-learning is emerging as a major approach for non-invasive and non-destructive plant phenotyping. Spikes are the reproductive organs of wheat plants. Detection and counting of spikes considered the grain-bearing organ have great importance in the phenomics study of large sets of germplasms. In the present study, we developed an online platform "Web-SpikeSegNet" based on a deep-learning framework for spike detection and counting from the wheat plant's visual images. The architecture of the Web-SpikeSegNet consists of 2 layers. First Layer, Client-Side Interface Layer, deals with end user's requests and corresponding responses management. In contrast, the second layer, Server Side Application Layer, consists of a spike detection and counting module. The backbone of the spike detection module comprises of deep encoder-decoder network with hourglass for spike segmentation. The Spike counting module implements the "Analyze Particle" function of imageJ to count the number of spikes. For evaluating the performance of Web-SpikeSegNet, we acquired the wheat plant's visual images, and the satisfactory segmentation performances were obtained as Type I error 0.00159, Type II error 0.0586, Accuracy 99.65%, Precision 99.59% and F₁ score 99.65%. As spike detection and counting in wheat phenotyping are closely related to the yield, Web-SpikeSegNet is a significant step forward in the field of wheat phenotyping and will be very useful to the researchers and students working in the domain.

INDEX TERMS Computer vision, deep learning, image analysis, spike detection and counting, Web-SpikeSegNet, wheat

I. INTRODUCTION

Wheat is one of the major food crops grown yearly ¹¹ on 215 million hectares globally [Wheat in the world ¹²

CGIAR: https://wheat.org/wheat -in-the-world/]. It super- sedes maize and rice in terms of protein sources in low- 14

and middle-income nations. Climate change and associ-

ated abiotic stresses are the key factors of yield loss in wheat Generic improvement in yield and climate resilience

wheat. Generic improvement in yield and climate resilience is critical for sustaining food security. One of the key as-

pects of genetic improvement is the determination of complex genome × environment × management interactions [1]. High-dimensional plant phenotyping is needed to bridge the genotype-phenotype gap in plant breeding and plant health monitoring in precision farming. Visual imaging is the most commonly used cost-effective method to quantitatively study of plant growth, yield, and adaptation of biotic and abiotic stresses. Besides, it is strongly reasoned that the imminent trend in plant phenotyping will depend on imaging sensors'

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combined tools and machine learning [2]. Yield estimation 74 in wheat has received significant attention from researchers. 75 The number of spikes/ears determines the grain number per 76 unit area and thus yield. Counting of spikes of the large num- 77 ber of genotypes through traditional methods using naked-78 eye is a tedious and time-consuming job. Presently, non- 79 destructive image analysis-based phenotyping is gaining mo- 80 mentum and proves as the less laborious and fast method. A 81 cluster of research works available in the area of computer vi- 82 sion to detect and characterize spikes, and spikelets in wheat 83 plants [3]–[8]. High resolution image dataset with significant 84 quantity is a major constraint to develop the computer vision 85 based approaches. In this context, Pound et al. (2017) [6] and 86 David et al. (2020) [9] contributed ACID (Annotated Crop 87 Image Dataset) and GWHD (Global Wheat Head Detection) 88 dataset respectively. In computer vision, the problem of spike detection lies under the domain of pixel-wise segmentation 89 of objects. Bi et al. (2010) [4], Qiongyan et al. (2017) [5] 90 and Sadeghi-Tehran et al. (2017) [7] used manually defined 91 color intensities and textures for spike segmentation. Pound 92 et al. (2017) [6] and Hasan et al. (2018) [8] used Autoencoder 93 [10] and Region-based Convolutional Neural Network (R- 94 CNN) [10] deep-learning technique, respectively, to detect 95 and characterize spikes with greater than 90 percent accuracy. 96 Xiong et al. (2019) [11] proposed a deep-learning model 97 "TasselNetV2" to characterize the maize tassels with around 98 91% accuracy. Sadeghi-Tehran et al. (2019) [12]developed 99 a methodology using Simple Linear Iterative Clustering and 100 Deep Convolutional Neural Networks for the spike quan-101 tification in wheat plant. Recently, Misra et al. (2020) [3] 102 developed a deep learning model known as SpikeSegNet, 103 which was reported as an effective and robust approach 104 for spike detection (accuracy: 99.91 percent) and counting 105 (accuracy: 95 percent) from visual images irrespective of 106 various illumination factors. In this paper, a web-solution 107 is presented as "Web-SpikeSegNet" for spike segmenta-108 tion and counting from wheat plants' visual images for 109 easy accessibility and quick reference. The developed web-110 solution has a wide application in the plant phenomics do-111 main and will be useful for researchers and students working 112 in the field of wheat plant phenotyping. Web-SpikeSegNet₁₁₃ is platform-independent and is readily accessible by at the 114 URL: http://spikesegnet.iasri.res.in/.

II. IMPLEMENTATION

Web_SpikeSegNet is developed based on the approach give 118 by Misra et al. (2020) [3]. The approach is based on the 119 convolutional encoder-decoder deep-learning technique for 120 pixel-wise segmentation of spikes from the wheat plant's 121 visual images. The architecture of the network was inspired 122 by UNet [13], SegNet [14], and PixISegNet [15], which are 123 popularly used in various sectors for pixel-wise segmenta-124 tion of objects. SpikeSegNet consists of two modules *viz.*, 125 Local Patch extraction Network (LPNet) and Global Mask 126 Refinement Network (GMRNet), in sequential order. The 127 details of the approach are given in [3]. Input images were 128

divided into patches before entering into the LPNet module to facilitate local features' learning more effectively than the whole input image. LPNet was used in extracting and understanding the contextual and local features at the patch level. Output images of the LPNet are further refined at GMRNet to better segment the spikes, as given in Figure 1. SpikeSegNet network was trained using visual images of the wheat plant and its corresponding ground-truth segmented mask images with class labels (*i.e.*, spike regions of the plant image). Details of the dataset preparation for training the network were given in [3]. SpikeSegNet provides significant segmentation performance at pixel-level in spike detection and counting and is also proved as a robust approach when tested for different illumination levels that may occur in the field conditions.

A. ARCHITECTURE OF THE PROPOSED SOFTWARE — "WEB-SPIKESEGNET"

Web-SpikeSegNet is web-based software for the detection and counting of spikes from visual images of the wheat plant. It is developed and implemented on the Linux operating system with 32 GB RAM and NVIDIA GeForce GTX 1080 Ti graphics card (with a memory of 11 GB). Py-Charm version 5.0 integrative development environment developed by Jetbrains [https://www.jetbrains.com/] was used for the development of the software. The software architecture consists of two layers, namely Client-Side Interface Layer (CSIL) and Server Side Application Layer (SSAL). The architecture of Web-SpikeSegNet is given in Fig. 2. Endusers (especially the plant physiologist) will interact with the Web-SpikeSegNet available at http://spikesegnet.iasri.res.in/ through CSIL using internet. CSIL deals with the end-user's requests and its corresponding responses management and implemented using HyperText Markup Language (HTML) [16], Cascading Style Sheets (CSS) [17], Flask [18], and JavaScript [19] technologies. HTML, CSS and Flask were used to design the front-end view of the webpages and JavaScript was used for the client side validation. End-users will upload wheat imege in the software through CSIL and then it will be forwarded to the SSAL for the spike detection and counting. SSAL consists of two modules: spike detection and spike counting module. SpikeSegNet deep learning model will be applied on the input image for the spike segmentation in Spike Detection module and it will be forwarded to the spike counting module for counting the segmented spikes. After completion of the process, the segmented spikes along with spike count will be shown in the end-user's window through CSIL. Spike detection module was developed using python libraries such as Tensorflow [20], Keras [21], Numpy [22], Scipy [23], Matplotlib [24] and OpenCV [25] for constructing and implementing the deep learning model. Convolutional encoder network [10] (Encoder_SpikeSegNet), decoder network [10] (Decoder SpikeSegNet), and bottleneck network ([10], [15]) using stacked hourglasses (Bottleneck_SpikeSegNet) are the backbone of LPNet, GMRNet and correspondingly



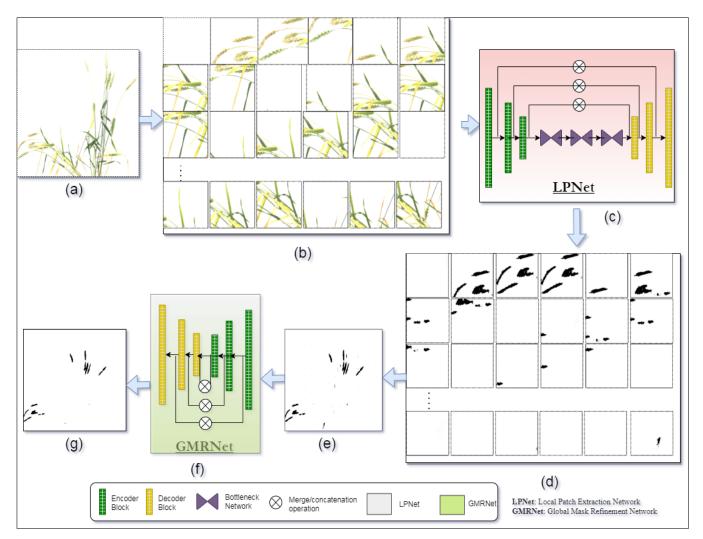


FIGURE 1. Flow diagram of SpikeSegNet: Here, input is visual image of wheat plant of size 1656*1356. The input image is divided into patches of size 256*256 before entering into the LPNet. The output of LPNet are patch-by-patch segmented mask images which are then combined to form the mask image as per the size of the input visual image. This image may contain some sort of inaccurate segmentation of the object (or, spikes) and are refined at global level using GMRNet network. The output of GMRNet network is nothing but the refined mask image containing spike regions only.

the SpikeSegNet.The number of encoders, decoders, and 147 stacked hourglasses was estimated empirically, as given in 148 [3], to produce the best results by considering the optimum 149 performances. Encoder_SpikeSegNet consists of 3 encoder 150 blocks, and the output feature-maps of each encoder block 151 are forwarded to the next encoder block for further feature 152 extraction. Each encoder block consists of two convolution 153 layers, each with the square filter of size 3*3 [26] with a 154 varying number of filters (16, 64, 128) followed by ReLU 155 [27] and max-pooling layer with a window size of 2*2 [28]. 156 Square filters are popularly used in state-of-art methods [29], 157 and the mentioned window size is considered as standard 158 [13], [30]. Batch Normalization, a statistical procedure, is 159 done to improve the performance as well as stability of the 160 network. Input and output feature description of each encoder 161 block in the Encoder_SpikeSegNet is presented in the tabu-162 lar form (Table 1) and the algorithm for implementing the 163 Encoder_SpikeSegNet network is given in Algorithm 1.

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Decoder SpikeSegNet network facilitates a special operation called transpose convolution [31], which up-sampled the incoming features to regenerate or decode the same. The resulting up-sampled feature maps are then concatenated/ merged with the corresponding encoded feature maps of the Encoder_SpikeSegNet. Merge operation helps in transferring the spatial information across the network for better localization of the segmented masks. The Decoder_SpikeSegNet contains three decoder blocks, and each decoder block consists of two convolution layers (with filter size 3*3) with a varying number of filters (128, 64, 16) as opposite to each encoder block in Encoder SpikeSegNet and followed by ReLU operation to decode the features. The output of the final decoder was fed into the "SoftMax" ([32]) activation layer for classifying objects (or spikes). Input and output feature description of each decoder block in the Decoder_SpikeSegNet is presented in the tabular form (Table 2) and the algorithm for implementing the Decoder SpikeSegNet network

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TABLE 1. Input and output feature description of each encoder block in the Encoder_SpikeSegNet Network

| Encoder Block # | Name of the Layers | Input feature size | # of kernel with size 3*3 | Output feature size |
|-----------------|-------------------------|--------------------|---------------------------|---------------------|
| | E_conv_1_1 ^p | 256*256*1 | 16 | 256*256*16 |
| Encoder Block-1 | E_conv_1_2 ^p | 256*256*16 | 16 | 256*256*16 |
| | Pool-1 | 256*256*16 | <u>-</u> | 128*128*16 |
| | E_conv_2_1 ^p | 128*128*16 | 64 | 128*128*64 |
| Encoder Block-2 | E_conv_2_2 ^p | 128*128*64 | <mark>(64)</mark> | 128*128*64 |
| | Pool-2 | 128*128*64 | <u>-</u> | 64*64*64 |
| | E_conv_3_1 ^p | 64*64*64 | 128 | 64*64*128 |
| Encoder Block-3 | E_conv_3_2p | 64*64*128 | (128) | 64*64*128 |
| | Pool-3 | 64*64*128 | | 32*32*128 |

PEach convolution layer is followed by ReLU activation function and batch normalization

Feature size=x*y*z represents z number of features with x*y size

E_conv_u_v denotes the vth convolution layer of the uth encoder block number

TABLE 2. Input and output feature description of each decoder block in the Decoder_SpikeSegNet Network

| Decoder Block # | Name of the Layers | Input feature size | # of kernel with size 3*3 | Output feature size |
|-----------------|-------------------------|--------------------|---------------------------|---------------------|
| | T_conv-1 ^p | 32*32*128 | 128 | 64*64*128 |
| Decoder Block-1 | D_conv_1_1q | 64*64*128 | 128 | 64*64*128 |
| | D_conv_1_2q | 64*64*128 | 128 | 64*64*128 |
| | T_conv-2 ^p | 64*64*128 | 64 | 128*128*64 |
| Decoder Block-2 | D_conv_2_1q | 128*128*64 | 64 | 128*128*64 |
| | D_conv_2_2q | 128*128*64 | <mark>(64</mark>) | 128*128*64 |
| | T_conv-3 ^p | 128*128*64 | 16 | 256*256*16 |
| Decoder Block-3 | D_conv_3_1 ^q | 256*256*16 | (16) | 256*256*16) |
| | D_conv_3_2q | 256*256*16 | (16) | 256*256*16 |

PTranspose convolution operation followed by batch normalization and merge operation with the corresponding encoder block output

^qConvolution operation followed by batch normalization

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is given in Algorithm 2.Bottleneck SpikeSegNet network contains three hourglasses, which provide more confident segmentation by concentrating the essential features captured at various occlusions, scale, and view-points [8], [13]. Each hourglass comprises a sequence of residual blocks containing three convolution layers of filter size 1*1, 3*3, and 1*1 sequentially with depth (or the number of filters) 128, 128, and 256, respectively, estimated empirically on the basis of optimal performances. Algorithms for implementing Bottleneck _SpikeSegNet, LPNet, and GMRNet are presented in Algorithm 3, 4, and 5, respectively. The Spike counting module is integrated with the output of the Spike detection module in SSAL. For this purpose, the "Analyze Particle" functions of imageJ [33] was applied to the output image of GMRNet, which is a segmented mask image or binary image containing spike region only. "Analyze Particle" function implements a flood-fill technique [34] for counting of object.

B. TRAINING OF WEB-SPIKESEGNET

For training the spike-detection module of Web-SpikeSegNet using the algorithms [1-5], 600 wheat plant's visual images) were captured using LemnaTec imaging facility installed at Nanaji Deshmukh Plant Phenomics Center, New Delhi, India. The image dataset was randomly divided into training and testing at 85% and 15% respectively. Web-SpikeSegNet was trained for 300 epochs with batch size 32 due to the system platform constraints. Binary Cross-entropy loss function was used as it is a binary classification problem (i.e., pixels) with either spike pixels or non-spike pixels) in the domain of image segmentation. Details of the hyper-parameters used to

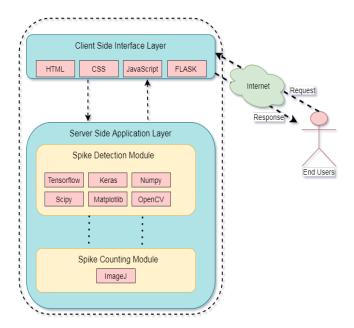


FIGURE 2. Architecture of Web-SpikeSegNet: The software architecture consists of two layers, namely Client-Side Interface Layer (CSIL) and Server Side Application Layer (SSAL). CSIL deals with the end-user's requests and its corresponding responses management. SSAL consists of two modules: spike detection and spike counting module.

train the network are given in Table 3.



Algorithm 1 Encode_SpikeSegNet: Encoding operation of SpikeSegNet

```
1: I: Input image/feature
2: Conv(input feature, filter_size, no. of filters): Convolution operation

    beta for generating feature maps

3: BatchNorm(): Batch normalization operation
                                                                                              ⊳ for improving the performance as well as stability of the network
 4: Pool(): Pooling operation or down-sampling with window size 2*2
 5: procedure ENCODER_SPIKESEGNET(I)
                                                                                                                                    ⊳ input image of size 256*256
 6:
        //First Encoder Block
 7:
        E\_conv\_1\_1 \leftarrow Conv(I, 3 * 3, 16)
                                                                                                                     ⊳ generates 16 feature maps of size 256*256
8.
        E\_batch\_1\_1 \leftarrow BatchNorm(E\_conv\_1\_1)
                                                                                                                             ▶ batch normalization of the features
9:
        E\_conv\_1\_2 \leftarrow Conv(E\_batch\_1\_1, 3 * 3, 16)
                                                                                                 ▶ generates 16 feature maps from the batch normalized features
10:
        E\_batch\_1\_2 \leftarrow BatchNorm(E\_conv\_1\_2)
                                                                        ⊳ size of each feature map reduced by half and returns 16 feature maps of size 128*128
11:
        I\_Encoded\_block\_1 \leftarrow Pool(E\_batch\_1\_2)
        //Second Encoder Block. Here input is the output of First encoder block
12:
        E\_conv\_2\_1 \leftarrow Conv(I\_Encoded\_block\_1, 3 * 3, 64)
                                                                                                                     ⊳ generates 64 feature maps of size 128*128
13:
        E\_batch\_2\_1 \leftarrow BatchNorm(E\_conv\_2\_1)
                                                                                                                             ▶ batch normalization of the features
14:
        E\_conv\_2\_2 \leftarrow Conv(E\_batch\_2\_1, 3 * 3, 64)
15:
        E\_batch\_2\_2 \leftarrow BatchNorm(E\_conv\_2\_2)
16:
        I\_Encoded\_block\_2 \leftarrow Pool(\dot{E}\_batch\_2\_2)
                                                                                                                           ⊳ return 64 feature maps of size 64*64
17:
18:
        //Third Encoder Block. Here input is the output of second encoder block
19:
        E \ conv \ 3 \ 1 \leftarrow Conv(I \ Encoded \ block \ 2, 3 * 3, 128)
                                                                                                                      ⊳ generates 128 feature maps of size 64*64
20:
        E\_batch\_3\_1 \leftarrow BatchNorm(E\_conv\_3\_1)
21:
         E\_conv\_3\_2 \leftarrow Conv(E\_batch\_3\_1, 3 * 3, 128)
         E\_batch\_3\_2 \leftarrow BatchNorm(E\_conv\_3\_2)
22:
23:
        I\_Encoded\_block\_3 \leftarrow Pool(E\_batch\_3 \_2)
                                                                                                                          ⊳ return 128 feature maps of size 32*32
24: return I_Encoded_block _3
```

Algorithm 2 Decoder_SpikeSegNet: Decoding operation of SpikeSegNet

```
1: I: Output of Bottleneck_SpikeSegNet (for LPNet) or, output of Encoder_SpikeSegNet (for GMRNet).
  2: Conv(input feature, filter\_size, no. of filters): Convolution operation
   3: BatchNorm(): Batch normalization operation
   4: Tr_conv(input feature, filter_size, no. of filters): Transpose convolution

    b to up-sample the feature maps

   5: Merge(): Merge/concatenation operation
                                                                                                                                                                                                                                                                                                                 ⊳ for transferring the spatial information across the network
   6: procedure Decoder_SpikeSegNet(I)
                                                                                                                                                                                                                                                                                                                                                           ⊳ here input is 128 feature maps of size 32*32
                        //First Decoder Block
                         T\_conv\_1 \leftarrow Tr\_Conv(I, 3 * 3, 128)
                                                                                                                                                                                                                                                                          ▶ Up-sampling done and return 128 decoded feature maps of size 64*64
  8:
  9:
                         D_batch_1_1 \leftarrow BatchNorm(T_conv_1)

    batch normalization of the features

                         M\_1 \leftarrow Merge(\texttt{D\_batch\_1\_1}, \texttt{i\_Encoded\_block\_3}) \rhd concatenation operation with the output of third Encoder block [refer Algorithm 1 Line no.:
 10:
             231
 11:
                          D\_conv\_1\_1 \leftarrow Conv(M\_1, 3 * 3, 128)
 12:
                          D_batch_1_2 \leftarrow BatchNorm(D_conv_1_1)
                                                                                                                                                                                                                                                                                                                                                                                         batch normalization of the features
                          D\_conv\_1\_2 \leftarrow Conv(D\_batch\_1\_1, 3 * 3, 128)
 13:
                         I\_Decoded\_block\_1 \leftarrow BatchNorm(D\_conv\_1\_2)
                                                                                                                                                                                                                                                         Doubt of the 1st Decoder Block is 128 decoded feature maps of size 64*64 because the 1st Decoder Block is 128 decoded feature maps of size 64*64 because the 1st Decoder Block is 128 decoded feature maps of size 64*64 because the 1st Decoder Block is 128 decoded feature maps of size 64*64 because the 1st Decoder Block is 128 decoded feature maps of size 64*64 because the 1st Decoder Block is 128 decoded feature maps of size 64*64 because the 1st Decoder Block is 128 decoded feature maps of size 64*64 because the 1st Decoder Block is 128 decoded feature maps of size 64*64 because the 1st Decoder Block is 128 decoded feature maps of size 64*64 because the 1st Decoder Block is 128 decoded feature maps of size 64*64 because the 1st Decoder Block is 128 decoded feature maps of size 64*64 because the 1st Decoder Block is 128 decoded feature maps of size 64*64 because the 1st Decoder Block is 128 decoded feature maps of size 64*64 because the 1st Decoder Block is 128 decoded feature maps of size 64*64 because the 1st Decoder Block is 128 decoded feature maps of size 64*64 because the 1st Decoder Block is 128 decoded feature maps of size 64*64 because the 1st Decoder Block is 128 decoded feature maps of size 64*64 because the 1st Decoder Block is 128 decoded feature maps of size 64*64 because the 1st Decoder Block is 128 decoded feature maps of size 64*64 because the 1st Decoder Block is 128 decoded feature maps of size 64*64 because the 1st Decoder Block is 128 decoded feature maps of size 64*64 because the 1st Decoder Block is 128 decoded feature maps of size 64*64 because the 1st Decoder Block is 128 decoded feature maps of size 64*64 because the 1st Decoder Block is 128 decoded feature maps of size 64*64 because the 1st Decoder Block is 128 decoded feature maps of size 64*64 because the 1st Decoder Block is 128 decoded feature maps of size 64*64 because the 1st Decoder Block is 128 decoded feature maps of size 64*64 because the 1st Decoder Block is 128 decoded feature maps of size 64*64 because the 1st Decoder Bloc
 14:
 15:
                         //Second Decoder Block. Here input is the output of First Decoder block
                                                                                                                                                                                                                                                                       ▶ Up-sampling done and return 64 decoded feature maps of size 128*128
 16:
                          T\_conv\_2 \leftarrow Tr\_Conv(I\_Decoded\_block\_1, 3 * 3, 64)
                         D\_batch\_2\_1 \leftarrow BatchNorm(T\_conv\_2)
 17:

    batch normalization of the features

 18:
                         M_2 \leftarrow Merge(D_batch_2 = 1, I_Encoded_block = 2) \triangleright concatenation operation with the output of second Encoder block [refer Algorithm 1 Line
             no.: 17]
 19:
                         D\_conv\_2\_1 \leftarrow Conv(M\_2, 3 * 3, 64)
                         D_batch_2_2 \leftarrow BatchNorm(D_conv_2_1)
20:
21:
                          D\_conv\_2\_2 \leftarrow Conv(D\_batch\_2\_2, 3 * 3, 64)
                         I\_Decoded\_block\_2 \leftarrow BatchNorm(D\_conv\_2\_2)
22:
                                                                                                                                                                                                                                         Dutput of the second Decoder Block is 64 decoded feature maps of size 128*128 Dutput of the second Decoder Block is 64 decoded feature maps of size 128*128 Dutput of the second Decoder Block is 64 decoded feature maps of size 128*128 Dutput of the second Decoder Block is 64 decoded feature maps of size 128*128 Dutput of the second Decoder Block is 64 decoded feature maps of size 128*128 Dutput of the second Decoder Block is 64 decoded feature maps of size 128*128 Dutput of the second Decoder Block is 64 decoded feature maps of size 128*128 Dutput of the second Decoder Block is 64 decoded feature maps of size 128*128 Dutput of the second Decoder Block is 64 decoded feature maps of size 128*128 Dutput of the second Decoder Block is 64 decoded feature maps of size 128*128 Dutput of the second Decoder Block is 64 decoded feature maps of size 128*128 Dutput of the second Decoder Block is 64 decoded feature maps of size 128*128 Dutput of the second Decoder Block is 64 decoded feature maps of size 128*128 Dutput of the second Decoder Block is 64 decoded feature maps of size 128*128 Dutput of the second Decoder Block is 64 decoded feature maps of size 128*128 Dutput of the second Decoder Block is 64 decoded feature maps of size 128*128 Dutput of the second Decoder Block is 64 decoded feature maps of size 128*128 Dutput of the second Decoder Block is 64 decoded feature maps of size 128*128 Dutput of the second Decoder Block is 64 decoded feature maps of size 128*128 Dutput of the second Decoder Block is 64 decoded feature maps of size 128*128 Dutput of the second Decoder Block is 64 decoded feature maps of size 128*128 Dutput of the second Decoder Block is 64 decoded feature maps of size 128*128 Dutput of the second Decoder Block is 64 decoded feature maps of size 128*128 Dutput of the second Decoder Block is 64 decoded feature maps of size 128*128 Dutput of the second Decoder Block is 64 decoded feature maps of size 128*128 Dutput of the second Decoder Block is 64 decoded feature maps of size 128*128 Dutput of size 12
23:
                         //Third Decoder Block. Here input is the output of Second Decoder block
24:
                         T\_conv\_3 \leftarrow Tr\_Conv(I\_Decoded\_block\_2, 3 * 3, 16)
                                                                                                                                                                                                                                                                      ▶ Up-sampling done and return 16 decoded feature maps of size 256*256
                         D_batch_3_1 \leftarrow BatchNorm(T_conv_3)
25:
                                                                                                                                                                                                                                                                                                                                                                                         batch normalization of the features
                         M_3 \leftarrow Merge(D_batch_3 _1, I_encoded_block_1) \triangleright concatenation operation with the output of First Encoder block [refer Algorithm 1 Line no.:
26:
             11]
27:
                           D\_conv\_3\_1 \leftarrow Conv(M\_3, 3 * 3, 16)
                          D_batch_3_2 \leftarrow BatchNorm(D_conv_3_1)
28:
                          D\_conv\_3\_2 \leftarrow Conv(D\_batch\_3\_2, 3 * 3, 16)
29:
30:
                         I\_Decoded\_block\_3 \leftarrow BatchNorm(D\_conv\_3\_2)
                                                                                                                                                                                                                                                Doubtput of the third Decoder Block is 16 decoded feature maps of size 256*256 Decoder Block is 16 decoded feature maps of size 256*256 Decoder Block is 16 decoded feature maps of size 256*256 Decoder Block is 16 decoded feature maps of size 256*256 Decoder Block is 16 decoded feature maps of size 256*256 Decoder Block is 16 decoded feature maps of size 256*256 Decoder Block is 16 decoded feature maps of size 256*256 Decoder Block is 16 decoded feature maps of size 256*256 Decoder Block is 16 decoded feature maps of size 256*256 Decoder Block is 16 decoded feature maps of size 256*256 Decoder Block is 16 decoded feature maps of size 256*256 Decoder Block is 16 decoded feature maps of size 256*256 Decoder Block is 16 decoded feature maps of size 256*256 Decoder Block is 16 decoded feature maps of size 256*256 Decoder Block is 16 decoded feature maps of size 256*256 Decoder Block is 250*250 Decoder Block is 2
31: return I_Decoded_block _3
```

C. PERFORMANCE MEASUREMENT OF WEB-SPIKESEGNET

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ensuing the steps mentioned in [3]. Segmentation performances are calculated using the following [Eq. (1) to Eq. (10)] statistical parameters [35]–[37]:

For evaluating the segmentation performance to detect the spikes, the resulting segmented images ($I^{\rm pred}$) using the Web-204 SpikeSegNet software are compared with the corresponding 205 ground-truth mask images ($I^{\rm grtr}$), which were prepared by 206

(10)] statistical parameters [35]–[37]:

Type I Error (E_1): For any r^{th} test image, exclusive-OR operation is done to compute pixel wise classification error.

Type I Error (E_1): For any r^{til} test image, exclusive-OR operation is done to compute pixel-wise classification error (Pix_Err_r) between (I^{pred}) and the corresponding (I^{grtr})



Algorithm 3 Bottleneck_SpikeSegNet

```
1: I: Input image/feature
 2: Conv(input feature, filter_size, no. of filters): Convolution operation
 3: BatchNorm(): Batch normalization operation
 4: Tr_conv(input feature, filter_size, no. of filters): Transpose convolution operation
 5: Pool(): Pooling operation or down-sampling with window size 2*2
 6: Merge(): Merge/concatenation operation
   procedure BOTTLENECK_SPIKESEGNET(I)
                                                                            ▶ here, input is output of ENCODER_SPIKESEGNET, 128 feature maps of size 32*32
 7:
        H_1 \leftarrow \text{Hourglass\_SpikeSegNet}(I)
                                                                      ▶ Call HOURGLASS_SPIKESEGNET procedure and return, 128 feature maps of size 32*32
9.
        Scale\_up\_ \leftarrow SCALE\_UP(H\_1)
                                                                                          ▶ Call SCALE_UP procedure and return, 128 feature maps of size 64*64
10:
        H_2 \leftarrow \text{HOURGLASS\_SPIKESEGNET}(Scale\_up)
        Scale\_down\_ \leftarrow SCALE\_DOWN(H\_2)
11:
                                                                                      ▶ Call SCALE_DOWN procedure and return, 128 feature maps of size 32*32
12:
        H_3 \leftarrow HOURGLASS_SPIKESEGNET(Scale\_down)
13: return H 3
                                                                                                                   ⊳ return, 128 refined feature maps of size 32*32
                                                                        > Hourglass gives more confident segmentation by concentrating on the essential features
14: procedure HOURGLASS_SPIKESEGNET(I)
        res\_1 \leftarrow \texttt{RESIDUAL\_BL}(I)
15:
                                                                                                                           ⊳ returns, 256 feature maps of size 32*32
16:
        pool\_1 \leftarrow Pool(res\_1)

    b down-sampling done and returns, 256 feature maps of size 16*16

                                                                                                                          ⊳ returns, 256 feature maps of size 16*16
17:
        res_2 \leftarrow Residual_Bl(pool_1)
        pool\_2 \leftarrow Pool(res\_2)
                                                                                                  ⊳ down-sampling done and returns, 256 feature maps of size 8*8
18:
19:
        res\_3 \leftarrow Residual\_bl(pool\_2)
                                                                                                                             ⊳ returns, 256 feature maps of size 8*8
                                                                                                  b down-sampling done and returns, 256 feature maps of size 4*4
20:
        pool\_3 \leftarrow Pool(res\_3)
21:
        res\_4 \leftarrow Residual\_bl(pool\_3)
                                                                                                                             ⊳ returns, 256 feature maps of size 4*4
22:
        res\_5 \leftarrow \texttt{RESIDUAL\_BL}(res\_4)
23:
        T\_conv\_1 \leftarrow \mathsf{Tr\_conv}(res\_5, 3*3, 256)

    □ up-sampling done and returns, 256 feature maps of size 8*8

24:
        M_1 \leftarrow \text{Merge}(T_conv_1, res_3)
25:
        res\_6 \leftarrow \texttt{RESIDUAL\_BL}(M\_1)
                                                                                                                             ⊳ returns, 256 feature maps of size 8*8
                                                                                                   ▶ up-sampling done and returns, 256 feature maps of size 16*16
26:
        T\_conv\_2 \leftarrow Tr\_conv(res\_6, 3 * 3, 256)
        M_2 \leftarrow \text{Merge}(T\_conv\_2, res\_2)
27:
28:
        res_7 \leftarrow Residual_BL(M_2)
                                                                                                                           ⊳ returns, 256 feature maps of size 16*16
29:
        T\_conv\_3 \leftarrow \mathsf{Tr\_conv}(res\_7, 3*3, 256)
                                                                                                   ▶ up-sampling done and returns, 256 feature maps of size 32*32
30:
        M\_3 \leftarrow Merge(T\_conv\_3, res\_1)
31:
        res\_8 \leftarrow Residual\_bl(M\_3)
                                                                                                                           ⊳ returns, 256 feature maps of size 32*32
32: return res 8
33: procedure RESIDUAL_BL(I)
        res\_conv\_1 \leftarrow \mathsf{Conv}(I, 1*1, 128)
34:
35:
        res\_conv\_2 \leftarrow \texttt{Conv}(res\_conv\_1, 3*3, 128)
36:
        res\_conv\_3 \leftarrow Conv(res\_conv\_2, 1 * 1, 256)
37: return res\_conv\_3 \Rightarrow returns, 256 feature maps
                                                             > Scale up and scale down operations help in finding the relationships among aggregate features at
    different scales which further helps in getting the robust features
38: procedure SCALE\_UP(I)
        sc\_up\_conv\_1 \leftarrow \mathsf{Conv}(I, 3*3, 128)
39.
40:
        sc\_up\_batch\_1 \leftarrow \texttt{BatchNorm}(sc\_up\_conv\_1)
41:
        sc\_up\_conv\_2 \leftarrow \mathsf{Conv}(sc\_up\_batch\_1, 3*3, 128)
42:
        sc\_up\_batch\_2 \leftarrow BatchNorm(sc\_up\_conv\_2)
        sc\_up\_pool \leftarrow \mathsf{Tr} \_Pool(sc\_up\_batch\_2)
43:
44: return sc up pool
45: procedure SCALE_DOWN(I)
46:
        sc\_down\_pool\_1 \leftarrow Pool(I)
47.
        sc\_down\_conv\_1 \leftarrow BatchNorm(sc\_down\_pool\_1, 3*3, 128)
48:
        sc\_down\_batch\_1 \leftarrow BatchNorm(sc\_down\_conv\_1)
        sc\_down\_conv\_2 \leftarrow \texttt{Conv}(sc\_down\_batch\_1, 3*3, 128)
49:
50:
        sc\_down\_batch\_2 \leftarrow \mathsf{BatchNorm}(sc\_down\_conv\_2)
51: return sc_down_batch_2
```

Algorithm 4 LPNet Local Patch Extraction Network

Algorithm 5 GMRNet

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TABLE 3. Hyper-parameters

| Optimizer | : | Adam | |
|---------------|---|----------------------|--|
| Learning rate | : | 0.0005 | |
| Epoch | : | 300 | |
| Batch size | : | 32 | |
| Loss function | : | Binary Cross Entropy | |
| | | | |

image of size pxq,

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$$Pix_Err_{\mathsf{r}}(I^{\mathsf{pred}}, I^{\mathsf{grtr}}) = \frac{1}{p*q} \sum_{l=1}^{q} \sum_{k=1}^{p} [I^{\mathsf{pred}}(k, l) \oplus I^{\mathsf{grtr}}(k, l)]$$
(1)

 E_1 is computed by averaging the Pix_Err_r of all the test ²³⁸

$$E_1 = \frac{1}{n} \sum_{r=1}^{n} Pix_Err_r$$
 (2)₂₄₀

Where, n is the total number of test images. E_1 lies within 242 [0, 1]. If the value of E_1 is close to "0", it refers minimum 243 error, whereas if E_1 is close to "1", it signifies large error.

Type II error (E₂): For any r^{th} test image, the error rate $E_2^{\text{r}}_{245}$ is computed by the average of false-positives (FPR) and false 246 negatives (FNR) rates at the pixel level defined as:

$$E_2^r = 0.5 * FPR + 0.5 * FNR \tag{3}$$

Where.

$$FPR = \frac{1}{p*q} \sum_{l=1}^{q} \sum_{k=1}^{p} [(I^{\text{grtr}}(k,l).*I^{\text{pred}}(k,l)) \oplus I^{\text{pred}}(k,l)] \overset{\text{253}}{\underset{\text{256}}{\text{254}}} \tag{4}$$

$$FNR = \frac{1}{p*q} \sum_{l=1}^{q} \sum_{k=1}^{p} [(I^{\text{grtr}}(k,l).*I^{\text{grtr}}(k,l)) \oplus I^{\text{pred}}(k,l)]$$

$$(4)$$

$$FNR = \frac{1}{p*q} \sum_{l=1}^{q} \sum_{k=1}^{p} [(I^{\text{grtr}}(k,l).*I^{\text{grtr}}(k,l)) \oplus I^{\text{pred}}(k,l)]$$
(5)
$$(5)$$

$$(5)$$

$$(5)$$

 E_2 is computed by taking the average errors of all the input test images as given below:

$$E_2 = \frac{1}{n} \sum_{r=1}^{n} E_2^r \tag{6}$$

Following performance parameters are also used for 264 measuring the segmentation performance of the Web-265 SpikeSegNet at pixel level to identify/detect spikes as fol-266 lows:

- True positive (TP): number of pixels correctly classified 268 as spikes.
- True Negative (TN): number of pixels correctly classified as non-spikes (other than spike pixels).
- False Positive (FP): number of non-spike pixels classi-272 fied as spikes pixels.
- False Negative (FN): number of spike pixels classified 274 as non-spikes pixels.

Then Precision, Recall, F-measure and Accuracy can be defined as:

$$Precision = TP/(TP + FP)$$
 (7) 279

measures the percentage of detected pixels are actually spikes

$$Recall = TP/(TP + FN)$$
 (8)

measures the percentage of actually spikes spike pixels are detected

$$Accuracy = (TP + TN)/(TP + TN + FP + FN)$$
 (9)

measures performance of the Web-SpikeSegNet

$$F_1Score = 2(Precision * Recall)/(Precision + Recall)$$
(10)

measures robustness of the Web-SpikeSegNet in detecting or identifying spikes

III. RESULTS AND DISCUSSION

demonstrate the working environment of Web-SpikeSegNet, a case study is presented here. The architecture of Web-SpikeSegNet mentioned in section 3, and the design of the software consists of 5 sections, namely "Home page", "Spike Detection and Counting", "Help", "Contact Us", and "Sample Data set". The "Home page" contains basic information about SpikeSegNet, and the flow diagram of the steps needs to be followed to recognize and count the spikes of the uploaded wheat plant image (Fig. 3). The "Sample Data set" section facilitates sample visual images of wheat plants for the experiment. Spike Detection and Counting module is the center of attention of the software. The user has to follow the following steps to detect and count the spikes and the output of each steps are pictorially presented in Supplementary 1:

- 1) Select and upload visual image of wheat plant of size 1656*1356 consisting of above ground parts only as discussed in [3].
- 2) Click on "Generate Patches" button for dividing the whole image into patches. Here, the visual image is divided into 100 pixel overlapping patches (each patches of size 256*256) which work as input to the LPNet module. Therefore, from one visual image of size 1656*1356, 180 patches of size 256*256 will be generated.
- 3) Click on "Run LPNet" to run the LPNet module for extracting contextual and spatial features at patch level. Output of the LPNet are the segmented images of size 256*256 corresponding to the patch images.
- 4) The output of LPNet are merged to generate the segmented image of size 1656*1656 that contains some inaccurate segmentation of spikes and further refined at global level by clicking on "Run GMRNet" button.
- 5) For counting the wheat spikes, click on "Count" button and the corresponding spikes count will be displayed on the next window.

The final output of Web-SpikeSegNet after detection and counting of spikes from the visual images of wheat plant is given in Fig. 4.



TABLE 4. Segmentation performance analysis of Web-SpikeSegNet

| Type I Error | Type II Error | Accuracy | Precision | Recall | F1 Score |
|--------------|---------------|----------|-----------|--------|----------|
| 0.00159 | 0.0586 | 0.9965 | 0.9959 | 0.9961 | 0.9965 |



Online System of Identifying and Counting Spikes in Wheat Plant



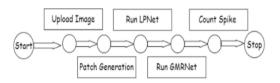
Home

Spike Detection and Counting

Contact Us

Sample Dataset

Computer vision with deep-learning is emerging as a major approach for non-invasive and non-destructive plant phenotyping. Spikes are the reproductive organs of wheat plants. Detection of spikes helps identify heading, and counting of the spikes and area of the spikes will be useful for determination of yield of wheat plant. Hence detection and counting of spikes, the grain bearing organ, has great importance in the phenomics of large sets of germplasms and breeding-lines. In the present study, we developed an online platform "Web-SpikeSegNet" based on a deep-learning framework for spike detection and counting from the wheat plant's visual images. This platform implements the "SpikeSegNet" approach developed by Misra et al., 2020, which has proved as an effective and robust approach for spike detection and counting. This application will be very useful to the researchers and students working in plant phenomics, especially in the field of wheat phenotyping.



Next>>

FIGURE 3. Home page of Web-SpikeSegNet contains basic information about SpikeSegNet and the flow diagram of the steps need to be followed to recognize and counting the spikes of the uploaded wheat plant image.

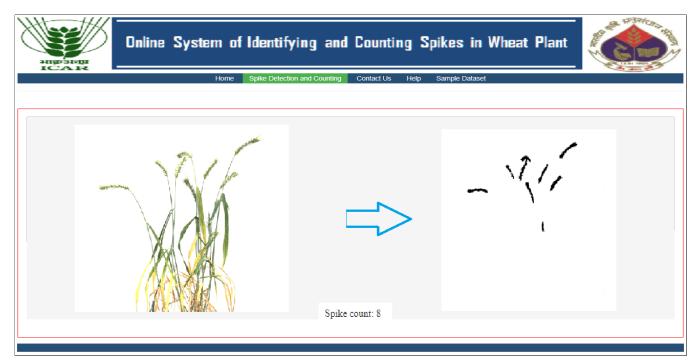


FIGURE 4. The final output of Web-SpikeSegNet after detection and counting of spikes from the visual images of wheat plant.

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A. PERFORMANCE ANALYSIS OF WEB-SPIKESEGNET 298 Web-SPikeSegNet was trained using the training dataset 299 consisting of randomly selected 85% of the total images 300 captured (i.e., 510 images among 600 images). Although the 301 network was trained for 300 epochs, the training losses were 302 plateaued around 100 epoch as given in Fig 5. Segmentation 303 performances of the Web-SpikeSegNet has been computed 304 on the testing dataset consists of 90 images. The mentioned 305 statistical parameters (eq. 1 to eq. 10) are computed, and the 306 average values are presented in Table 4.As the performance 307 of spike detection is calculated at the pixel level, the value 308 of E1 (=0.00159) depict that on an average only 104 pixels 309 are misclassified among 65,536 pixels which is the pixel size₃₁₀ of one image, i.e., 65,536 (256 * 256). The accuracy of the 311 approach as well as the developed software is around 99.65₃₁₂ %. The average precision value reflects that 99.59% of the 313 detected spikes are actually spike pixels and the robustness 314

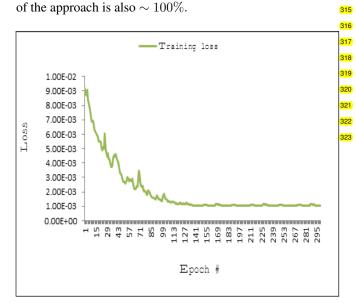


FIGURE 5. Graphical representation of training Loss.

B. COMPARATIVE ANALYSIS WITH ACID (ANNOTATED CROP IMAGE DATASET) DATASET AVAILABLE AT HTTPS://PLANTIMAGES.NOTTINGHAM.AC.UK/

For the comparative study, we ran the developed software on the ACID (Annotated Crop Image Dataset) dataset. The dataset consists of 415 training images and 105 testing images and was contributed by Pound et al (2017) [6]. They proposed a multi-task deep learning architecture for localizing wheat spikes and spikelet and achieved 95 % accuracy in spike detection. As the Web-SpikeSegNet model was trained using the wheat's visual images with consistent white background, we converted the background of the test images given in the mentioned website from back to white for conducting the comparative study. The output of Web-SpikeSegNet on ACID dataset is presented in Fig. 6. To compute the segmentation performance the ground-truth mask images corresponding to the testing dataset were prepared

utilizing the procedure mentioned in [3]. The average segmentation performances are given in Table 5.The value of the type I error (0.00164) reflects that on an average only 107 pixels are wrongly classified among 65,536 pixels (size of one image is 256*256 pixels). The accuracy (99.55%), precision (99.62%), and F1 value (99.62%) depicts that Web-SpikeSegNet approach is comparatively generalized and robust than the approach presented by Pound et al (2017) [6]. It is due to the training criteria of Web-SpikeSegNet where, the deep learning model is trained at patch level for understanding the local as well as global features efficiently.

The previous literatures [4], [5], [7] related to wheat plant phenotyping presented laborious, destructive, and complex image processing pipelines for detecting and characterizing the spikes. Most of the image processing pipelines involve the color intensity thresholding technique. [6], [7] presented non-destructive and feature based segmentation to characterize the spikes but, the features were manually defined. Recently, some researchers [3], [6], [8] proposed computer vision based approaches by combining the digital image processing and deep-learning technique for auto-detecting spikes non-destructively. But, there is a very limited easy-to-use pipeline available for detecting and characterizing spikes from the visual image of wheat plant. In this context, our main focus is to develop an online, easy-to-use, generalized and robust platform to characterize wheat spikes non-destructively.

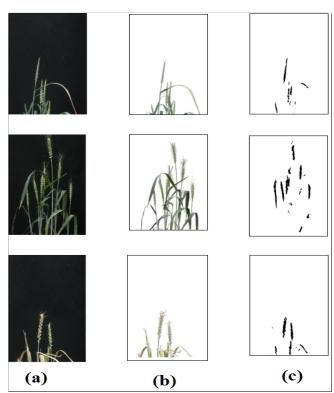


FIGURE 6. Comparative study with ACID (Annotated Crop Image Dataset) dataset available at https://plantimages.nottingham.ac.uk/: (a) test images (b) black background converted into white (c) detected spikes using Web-SpikeSegNet software

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TABLE 5. Segmentation performance analysis of the ACID dataset

| Type I Error | Type II Error | Accuracy | Precision | Recall | F1 Score |
|--------------|---------------|----------|-----------|--------|----------|
| 0.00164 | 0.0576 | 0.9955 | 0.9962 | 0.9958 | 0.9962 |

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IV. CONCLUSIONS

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Recognition and counting of spikes for the large set of ³⁸⁵ germplasms in a non-destructive way is an enormously ³⁸⁷ challenging task. This study developed web-based software ³⁸⁸ "Web-SpikeSegNet" using the robust SpikeSegNet approach, ³⁸⁹ which is based on digital image analysis and deep-learning ³⁹¹ techniques. The software is freely available for researchers, ³⁹² and students are working particularly in the field of wheat ³⁹³ plant phenotyping. Further, it is a useful tool in the automated ³⁹⁵ phenomics facility to automate the phenology-based treat-³⁹⁶ ment. Web-SpikeSegNet is a significant step toward studying ³⁹⁷ the wheat crop yield phenotyping and can be extended to the ³⁹⁹ other cereal crops.

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