

Research Trends in MULTIDISCIPLINARY RESEARCH

Volume - 49

Chief Editor

Dr. R. Jayakumar

Associate Professor, Siga College of Education, Villupuram, Tamil Nadu,
India

Co-Editor

Dr. Raja Reddy. Duvvuru

Associate Professor, Department of Electrical & Electronics Engineering,
Malla Reddy Engineering College (A), Hyderabad, Telangana, India

Dr. Arun Kumar

Associate Professor and H.O.D, Department of History, Mahila College,
Patliputra University, Khagaul, Patna, Bihar, India

Dr. Madan Mohan Laddunuri

Assistant Professor, Department of Physiotherapy, School of Allied Health
Sciences, Malla Reddy University, Hyderabad, Telangana, India

AkiNik Publications®
New Delhi

Published By: AkiNik Publications

AkiNik Publications

169, C-11, Sector - 3,

Rohini, Delhi-110085, India

Toll Free (India) – 18001234070

Phone No.: 9711224068, 9911215212

Website: www.akinik.com

Email: akinikbooks@gmail.com

Chief Editor: Dr. R. Jayakumar

Co-Editor: Dr. Raja Reddy, Duvvuru, Dr. Arun Kumar and Dr. Madan Mohan Laddunuri

The author/publisher has attempted to trace and acknowledge the materials reproduced in this publication and apologize if permission and acknowledgements to publish in this form have not been given. If any material has not been acknowledged please write and let us know so that we may rectify it.

The responsibility for facts stated, opinion expressed or conclusions reached and plagiarism, if any, in this book is entirely that of the author. So, the views and research findings provided in this publication are those of the author/s only. The Editor & Publishers are in no way responsible for its contents.

© **AkiNik Publications**™

Publication Year: 2023

Pages: 175

ISBN: 978-93-5570-932-5

Book DOI: <https://doi.org/10.22271/ed.book.2509>

Price: ₹ 785/-

Registration Details

- Printing Press License No.: F.1 (A-4) press 2016
- Trade Mark Registered Under
 - Class 16 (Regd. No.: 5070429)
 - Class 35 (Regd. No.: 5070426)
 - Class 41 (Regd. No.: 5070427)
 - Class 42 (Regd. No.: 5070428)

Contents

S. No.	Chapters	Page No.
1.	Insulin Resistance <i>(Dr. Siva Rami Reddy E)</i>	01-11
2.	Gaucher's Disease: An Overview <i>(Varunsingh Saggi, Cyril Sajan, Dilsar Gohil, Nirmal Shah and Rajesh Hadia)</i>	13-24
3.	Anaplastic Thyroid Carcinoma: A Clinically Challenging and Aggressive Malignancy <i>(Cyril Sajan, Varunsingh Saggi, Dilsar Gohil, Hemraj Singh Rajput, Rajesh Hadia and Nirmal Shah)</i>	25-34
4.	National Education Policy: 2020 Implementation of Vocational Education <i>(Dr. Jyoti Rani and Neha Bhandari)</i>	35-48
5.	Solar Powered Automatic Irrigation System <i>(Dr. S. Vimalraj and Dr. C.S. Sundar Ganesh)</i>	49-59
6.	Numerical Simulation of 2d Unsteady Free Surface Flow using Finite Volume Schemes <i>(Khaled Korichi, Abdelkader Bemmoussat and Nouredine Maref)</i>	61-70
7.	The Impact of Learning on EFL Classrooms <i>(Bhuvaneshwari Devi and Dr. M. Maheswaran)</i>	71-80
8.	Green Pharmacy: The Growing Role of Herbal Medicine Global Wellness <i>(Dilsar Gohil, Varunsingh Saggi, Cyril Sajan and Rajesh Maheshwari)</i>	81-92
9.	Intellectual Property Rights in Agriculture: An Overview <i>(Siddhanath Shendekar, Arti Ambhore, Nandkumar Kute, Megha Meshram and Arvind Totre)</i>	93-108
10.	Agricultural Insurance for Indian Farmers <i>(Madhu, Akarsh Singh, Divya Sinha and Samuel Naik Banavath)</i>	109-123
11.	Geographic Information Systems (GIS) and its Applications <i>(Madhu, Samuel Naik Banavath, Manasa Shevakula, Sapna Nigam and Akarsh Singh)</i>	125-150

12. Plants Derived Bioactive Compounds: A New Era in Antimicrobial Therapeutics 151-175
(Subham Saha, Taslima Fatema Yesmin, Chiranjib Mili and Kumanand Tayung)

Chapter - 1

Insulin Resistance

Author

Dr. Siva Rami Reddy E

Professor and Head, Department of Practice of Medicine,
M.N.R. Homoeopathic Medical College and Hospital,
Sangareddy, Telangana, India

Chapter - 1

Insulin Resistance

Dr. Siva Rami Reddy E

Abstract

Insulin resistance is a state in which there are impaired biological and physiological responses to insulin in tissue. In its early stages, there is a compensatory increase in insulin concentrations. Although hyperinsulinemia may compensate for resistance to some biological actions of insulin, it may result in over expression of actions in tissues that retain normal or minimally impaired sensitivity to insulin. This metabolic dysfunction leads to a cluster of abnormalities with serious clinical consequences-most importantly, cardiovascular disease (CVD), chronic kidney disease and type 2 diabetes.

Keywords: Diabetic, insulin, resistance

Introduction

Insulin Resistance (IR) is considered as a pathological condition in which cells fail to respond normally to the hormones insulin. To prevent hyperglycemia and noticeable organ damage over time, the body produces insulin when glucose starts to be released in to the blood stream, primarily from the digestion of carbohydrates in the diet. Under normal conditions of insulin reactivity, this insulin response triggers glucose being taken in to body cells, to be used for energy and inhibits the body from using fat for energy, thereby causing the concentration of glucose in the blood to decrease as a result, staying within the normal range even when a large amount of carbohydrates is consumed. Carbohydrates comprise simple sugar, i.e. monosaccharides, such as glucose and fructose, disaccharides, such as cane sugar, and polysaccharides, e.g. starches ^[1, 2].



Fig 1: Insulin Resistance

Fructose, which is metabolized in to triglycerides in the liver, stimulates insulin production through another mechanism and can have a more potent effect than other carbohydrates ^[3]. A habitually high intake of carbohydrates and particularly fructose, e.g. with sweetened beverage, contributes to insulin resistance and has been linked to weight gain and obesity ^[4, 5]. If excess blood sugar is not sufficiently absorbed by cell even in the presence of insulin, the increase in the levels of blood sugar can result in the classic hyperglycemia triad of polyphagia (increased appetite), polydipsia (increase thirst) and Polyuria (increase urination). Avoiding carbohydrates and sugar, a no carbohydrate diet or fasting can reverse insulin resistance ^[6].

History

In 1889 German scientists Minkowski and von Mering noted, from their experimental work with animals, that total pancreatectomy led to the development of severe diabetes. They hypothesised that a substance secreted by the pancreas was responsible for metabolic control. Others later refined this hypothesis, noting diabetes to be associated with destruction of the islets of Langerhans. While Minkowski, as well as Zuelzer in Germany and Scott in the USA attempted, with inconsistent results, to isolate and administer the missing pancreatic islet substance, Belgian investigator de Meyer in 1909 proposed the name “insuline”, as did British researcher Schaefer in 1916. Finally in 1921, a decade later, insulin was finally isolated, purified and available in a form capable of therapeutic administration. In May 1921, Toronto surgeon Banting, assisted by medical student Best, and under the supervision of McLeod, Professor of Carbohydrate Metabolism, began

experiments in dogs. They administered chilled saline extracts of pancreas intravenously to dogs rendered diabetic by pancreatectomy and observed lowering of blood glucose. In December 1921 this work was presented to the American Physiological Association, and biochemist Collip, who had joined the team, further demonstrated that this extract also restored hepatic glycogen mobilisation and the capacity to clear ketones. One month later, in January 1922 the first human experiments began on a 14 year old diabetic boy whose clinical symptoms and biochemical abnormalities were essentially reversed by administration of the pancreatic isolate.

In May 1922, the active component had been named insulin, and the results of these experiments presented to the Association of American Physicians. Eli Lilly subsequently began production of porcine insulin, enhancing purification through iso electric precipitation, making commercial quantities by early 1923. The Nobel Prize was awarded in 1923 to Banting and McLeod ^[7].

Causes

There are many factors lead to development of insulin resistance. The major leading causes are overweight, sedentary life style and genetic factors. Some other factors contribute in some ways of development of insulin resistance. Most important are obesity, physical inactivity, and genetic factors. Other factors that may affect the degree of insulin resistance are diet composition, aging, and hormones (particularly glucocorticoids and androgens). High carbohydrate diets reproduce some of the features of the metabolic syndrome ^[8]. There are several factors that are postulated after several studies that cause insulin resistance. There are three main ones that converge on common pathways that inhibit insulin action.

They are:

- 1) The accumulation of ectopic lipids and its metabolites.
- 2) The development of ‘ER stress’ and the activation of the unfolded Protein response.
- 3) The contribution of systemic low grade inflammation. These are complex metabolic processes that have been extensively studied.

Association of ectopic lipid accumulation and insulin resistance has been universally established. It acts at the glucose transport level GLUT4 at the cell membrane that responds to insulin signalling thereby impairing insulin signalling. The activation of the unfolded protein response (UPR) also known as endoplasm reticulum stress which positively gives cells the

capacity to adapt to changes especially the b-cells of islet. But in liver and adipose tissue especially, activation of the Jun-N-Kinase 1(JNK1) causes the serine phosphorylation of insulin receptor substrate 1 at a key serine, leading to impaired insulin signaling [9].

Pathophysiology

One of insulin's functions is to regulate delivery of glucose into cells to provide them with energy. Insulin resistant cells cannot take in glucose, amino acids and fatty acids. Thus, glucose, fatty acids and amino acids 'leak' out of the cells. A decrease in insulin/glucagon ratio inhibits glycolysis which in turn decreases energy production. The resulting increase in blood glucose may raise levels outside the normal range and cause adverse health effects, depending on dietary conditions. Certain cell types such as fat and muscle cells require insulin to absorb glucose. When these cells fail to respond adequately to circulating insulin, blood glucose levels rise [10]. The liver helps regulate glucose levels by reducing its secretion of glucose in the presence of insulin. The normal reduction in the liver's glucose production may not occur in people with insulin resistance [11-13].

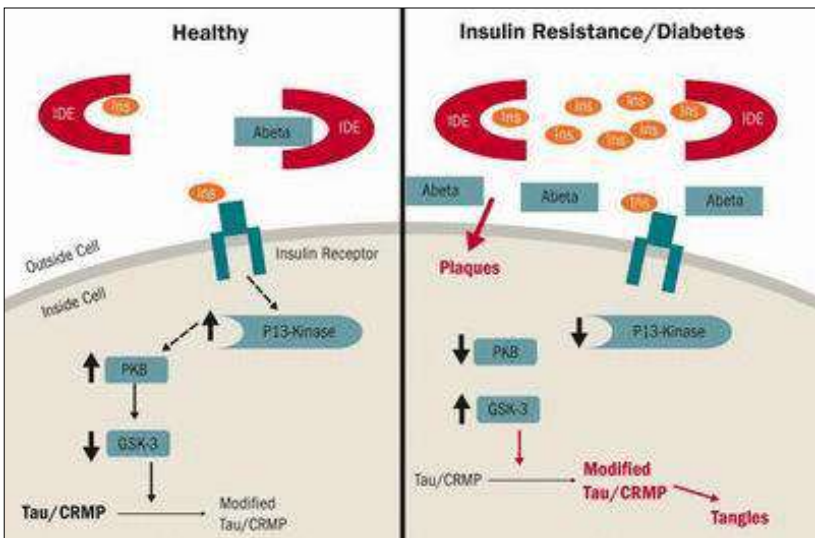


Fig 2: Healthy and Insulin resistance

Insulin resistance in muscle and fat cells reduces glucose uptake (and also local storage of glucose as glycogen and triglycerides, respectively), whereas insulin resistance in liver cells results in reduced glycogen synthesis and storage and also a failure to suppress glucose production and release in to the blood. Insulin resistance normally refers to reduced glucose lowering

effects insulin. However, other functions of insulin can also be affected. For example, insulin resistance in fat cells reduces the normal effects of insulin on lipids and results in reduced up take of circulating lipids and increased hydrolysis of stored triglycerides. Increased mobilization of stored lipids in these cells elevates free fatty acids in the blood plasma. Elevated blood fatty acid concentrations (associated with insulin resistance and diabetes mellitus type 2). Reduced muscle glucose uptake, and increased liver glucose production all contribute to elevated blood glucose levels. High plasma levels of insulin and glucose due to insulin resistance are a major component of the metabolic syndrome. If insulin resistance exists, more insulin needs to be secreted by the pancreas. If this compensatory increase does not occur, blood glucose concentrations increase and type 2 diabetes or latent autoimmune diabetes of adults occur ^[14].

Any food or drink containing glucose (or the digestible carbohydrates that contain it, such as sucrose, starch etc..) causes blood glucose levels to increase. In normal metabolism, the elevated blood glucose level instructs beta cells in the islets of langerhans, located in the pancreas, to release insulin in to the blood. The insulin, in turn, makes insulin sensitive tissues in the body (primarily skeletal muscle cells, adipose tissue and liver) absorb glucose, and thereby lower the blood glucose level. The beta cells reduce insulin out put as the blood glucose level falls, allowing blood glucose to settle at a constant of approximately 5 mmol/L (90mg/dL). In an insulin resistance person, normal levels of insulin do not have the same effect in controlling blood glucose levels. During the compensated phase on insulin resistance, insulin levels are higher, and blood glucose levels are still maintained. If compensatory insulin secretion fails, then either fasting (impaired fasting glucose) or postprandial (impaired glucose tolerance) glucose concentration increase. Eventually, type 2 diabetes or latent autoimmune diabetes occurs when glucose levels become higher throughout the day as the resistance increases and compensatory insulin secretion fails. The elevated insulin levels also have additional effects that cause further abnormal biological effects throughout the body ^[15].

The most common type of insulin resistance is associated with overweight and obesity in a condition known as the metabolic syndrome. Insulin resistance often progresses to full type 2 diabetes mellitus or latent autoimmune diabetes of adults. This often is seen when hyperglycemia develops after a meal, when pancreatic beta cells are unable to produce sufficient insulin to maintain normal blood sugar levels in the face of insulin resistance. The inability of the beta cells to produce sufficient insulin in a

condition of hyperglycemia is what characterizes the transition from insulin resistance to type 2 diabetes mellitus. Various disease states make body tissues more resistant to the actions of insulin. Examples include infection (mediated by the cytokine TNF alfa) and acidosis. Recent research is investigating the roles of adipokines (the cytokines produced by adipose tissue) in insulin resistance. Certain drugs also may be associated with insulin resistance (e.g. glucocorticoids).

The presence of insulin leads to a kind of insulin resistance every time a cell exposed to insulin, the production of GLUT4 (glucose transporter type 4) on the membrane of the cell decreases somewhat. In the presence of a higher than usual levels of insulin (generally caused by insulin resistance), this down regulation acts as a kind of positive feedback, increasing the need for insulin. Exercise reverses this process in muscle tissue, but if it is left unchecked, it may contribute to insulin resistance. Elevated blood levels of glucose-regardless of cause-lead to increase glycation of proteins with changes, only a few of which are understood in any detail, in protein function throughout the body.

Insulin resistance often is found in people with visceral adiposity (i.e., a high degree of fatty tissue within the abdomen-as distinct from subcutaneous adiposity or fat between the skin and the muscle wall, especially elsewhere on the body, such as hips or thighs), hypertension, hyperglycemia and dyslipidemia involving elevated triglycerides, small dense low density lipoprotein (SDDL) particles and decreased HDL cholesterol levels. With respect to visceral adiposity, a great deal of evidence suggests two strong links with insulin resistance.

First, unlike subcutaneous adipose tissue, visceral adipose cells produce significant amounts of proinflammatory cytokines such as tumor necrosis factor alpha and interleukins-1 and 6 etc. in numerous experiment models, these proinflammatory cytokines disrupt normal insulin action in fat and muscle cells, and may be a major factor in causing the whole body insulin resistance observed in patients with visceral adiposity. Much of the attention on production of proinflammatory cytokines has focused on the IKK beta/NF kappa B pathway, a protein network that enhances transcription of inflammatory markers and mediators that may cause insulin resistance. Second, visceral adiposity is related to an accumulation of fat in the liver, a condition known as non-alcoholic fatty liver disease (NAFLD). The result of NAFLD is an excessive release of free fatty acids into the bloodstream (due to increased lipolysis), and an increase in hepatic glycogenolysis and hepatic glucose production, both of which have the effect of exacerbating peripheral

insulin resistance and increasing the likelihood of type 2 diabetes mellitus. Also, insulin resistance often is associated with a hypercoagulable state (impaired fibrinolysis) and increased inflammatory cytokine levels ^[16].

Diagnosis

Fasting insulin levels

A fasting serum insulin level greater than 25 mU/L or 174 pmol/L is considered insulin resistance. The same levels apply three hours after the last meal.

Glucose tolerance testing

During a glucose tolerance test (GTT), which may be used to diagnose diabetes mellitus, a fasting patient takes a 75 gram oral dose of glucose. Then blood glucose levels are measured over the following two hours. Interpretation is based on World Health Organization guidelines. After two hours a glycemia less than 7.8 mmol/L (140 mg/dL) is considered normal, a glycemia of between 7.8 and 11.0 mmol/L (140 to 197 mg/dl) is considered as impaired glucose tolerance (IGT), and a glycemia of greater than or equal to 11.1 mmol/L (200 mg/dL) is considered diabetes mellitus. An oral glucose tolerance test (OGTT) may be normal or mildly abnormal in simple insulin resistance. Often, there are raised glucose levels in the early measurements, reflecting the loss of a postprandial peak (after the meal) in insulin production. Extension of the testing (for several more hours) may reveal a hypoglycemic ‘dip’ that is a result of an overshoot in insulin production after the failure of the physiologic postprandial insulin response ^[17].

Conclusion

A century or more since research into this field began in earnest neither the significance nor the medical and scientific interest in this area has waned. Instead, rapid globalization, urbanization and industrialization have spawned epidemics of obesity, diabetes and their attendant co-morbidities, as physical inactivity and “convenience” foods unmask latent predisposing genetic traits. The biological mechanisms are intricate and complex and incompletely understood. However, taking a step back, we may need to consider the dramatic social changes of the past century with respect to physical activity, diet, work, socialization and sleep patterns. Aside from the challenges that remain in unraveling the genetic and mechanistic factors, perhaps a greater challenge is creatively, to adapt contemporary lifestyles to our genetic makeup and physiological requirements.

References

1. Basciano, Heather, Federico, Lisa, Adeli, Khosrow. Fructose, insulin resistance and metabolic dyslipidemia. *Nut & Met.* 2005;2(1):5
2. Frank HU. Nutrition and Epidemiology Harvard school of public health. Obesity epidemiology. Oxford University press, 2008, Pp.283-285.
3. Chiu HK, Tsai EC, Juneja R. Equivalent insulin resistance. *Diabetes Research and Clinical Practice.* 2007;77(2):237-44.
4. Thorell A, Efendic S, Gutniak M, Häggmark T, Ljungqvist O. Insulin resistance after abdominal surgery. *British Journal of Surgery.* 1994;81(1):59-63.
5. Wang guanyu. Tunable ultra-sensitivity: functional decoupling and biological insights. *Sci Rep.* 2016;6:2034-2035.
6. Huang YJ, Fang VS, Juan CC, Chou YC, Kwok CF, Ho LT. Amelioration of insulin resistance and hypertension in a fructose fed rat model with fish oil supplementation. *Metab. Clin. Exp.* 1997;46(11):1252-58.
7. Bliss M. The history of insulin *Diabetes Care.* 1993;16(3):4-7.
8. Shulman, Gerald I. Cellular mechanisms of insulin resistance. *Journal of Clinical Investigation.* 2000;106(2):171.
9. Hirosumi, Jiro. A central role for JNK in obesity and insulin resistance. *Nature.* 2002;420.6913:333-336.
10. Home PD. Insulin therapy. In Alberti KGMM, Zimmet P, Defronzo RA editors & Keen H, *International Textbook of Diabetes Mellitus* .John Wiley & Sons, New York, 1997, 899-928.
11. Dodson G, Steiner D. The role of assembly in insulin's biosynthesis. *Curr Opin Struct Biol.* 1998;8:189-94.
12. Malaisse WJ. Insulin biosynthesis and secretion *in vitro*. In: Alberti KGMM, Zimmet P, Defronzo RA & Keen H (Hon) editors. *International Textbook of Diabetes Mellitus*. John Wiley & Sons, New York, 1997, 315-36.
13. Porksen N, Hollingdal M, Juhl C, Butler P, Veldhuis JD, Schmitz O. Pulsatile insulin secretion: detection, regulation, and role in diabetes. *Diabetes.* 2002;51(1):245-54.
14. MacDonald PE, El-Kholy W, Riedel MJ, Salapatek AM, Light PE, Wheeler MB. The multiple actions of GLP-1 on the process of glucose stimulated insulin secretion. *Diabetes.* 2002;51(4):34-42.

15. Kahn SE, McCulloch DK, Porte D. Insulin secretion in the normal and diabetic human. In: Alberti KGMM, Zimmet P, Defronzo RA, editors & Keen H. International Textbook of Diabetes Mellitus. John Wiley & Sons, New York, 1997, 337-54.
16. Wheatcroft SB, Williams IL, Shah AM, Kearney MT. Pathophysiological implications of insulin resistance on vascular endothelial function. *Diabet Med.* 2003;20:255-68.
17. McAuley KA, Williams SM, Mann JI, Walker RJ, Lewis B, Barned NJ, Temple LA. Diagnosing Insulin Resistance in the General Population. *Diabetes Care.* 2015;24(3):460-4.

Chapter - 2

Gaucher's Disease: An Overview

Authors

Varunsingh Saggu

Department of Pharmacy, Sumandeep Vidyapeeth (Deemed to be University), Vadodara, Gujarat, India

Cyril Sajan

Department of Pharmacy, Sumandeep Vidyapeeth (Deemed to be University), Vadodara, Gujarat, India

Dilsar Gohil

Department of Pharmacy, Sumandeep Vidyapeeth (Deemed to be University), Vadodara, Gujarat, India

Nirmal Shah

Department of Pharmacy, Sumandeep Vidyapeeth (Deemed to be University), Vadodara, Gujarat, India

Rajesh Hadia

Department of Pharmacy, Sumandeep Vidyapeeth (Deemed to be University), Vadodara, Gujarat, India

Chapter - 2

Gaucher's Disease: An Overview

Varunsingh Saggi, Cyril Sajan, Dilsar Gohil, Nirmal Shah and Rajesh Hadia

Abstract

Gaucher's disease is a rare and inherited lysosomal storage disorder characterized by the deficient activity of the enzyme glucocerebrosidase. This deficiency leads to the accumulation of a fatty substance called glucocerebroside within various organs and tissues, primarily affecting the liver, spleen, and bone marrow. Gaucher's disease is categorized into three major types: Type 1 (non-neuronopathic), Type 2 (acute neuronopathic), and Type 3 (subacute neuronopathic). Individuals with Type 1 Gaucher's disease, the most common form, typically experience a range of symptoms including hepatomegaly, splenomegaly, anemia, thrombocytopenia, and skeletal complications. Types 2 and 3 involve neurological complications and are less prevalent and more severe. Gaucher's disease is diagnosed through enzyme activity assays and genetic testing. Treatment options include enzyme replacement therapy (ERT) to restore glucocerebrosidase activity, substrate reduction therapy (SRT) to decrease the production of the accumulated substance, and, in some cases, bone marrow transplantation. Early diagnosis and appropriate management can significantly improve the quality of life for individuals with Gaucher's disease, mitigating symptoms and preventing complications. This abstract provides an overview of Gaucher's disease, emphasizing the importance of timely diagnosis and treatment in managing this rare genetic disorder.

Keywords: Enzyme, glucocerebroside, neuronopathic, fatty acids, deficiency

Introduction

Gaucher's disease is a rare and complex inherited disorder that falls under the category of lysosomal storage diseases. This condition results from a deficiency in the enzyme glucocerebrosidase, leading to the accumulation of a fatty substance known as glucocerebroside within various organs and tissues throughout the body. Named after the French physician Philippe Gaucher, who first described it in 1882, this disease has distinct clinical presentations and impacts individuals in various ways ^[1].

Gaucher's disease is characterized by its heterogeneity, manifesting in a wide range of symptoms and severity levels. While it can affect multiple organ systems, the liver, spleen, and bone marrow are the primary sites of involvement. Patients may experience hepatomegaly, splenomegaly, anemia, thrombocytopenia, and skeletal complications, among other symptoms.

This genetic disorder is classified into three major types: Type 1 (non-neuronopathic), Type 2 (acute neuronopathic), and Type 3 (subacute neuronopathic), each differing in clinical features and progression. Type 1, the most common and non-neuronopathic form, primarily affects the visceral organs and bones. In contrast, Types 2 and 3 are rarer and present with neurological complications in addition to visceral and skeletal involvement.

Gaucher's disease is diagnosed through enzyme activity assays and genetic testing, and management options include enzyme replacement therapy (ERT) to restore deficient enzyme activity, substrate reduction therapy (SRT) to decrease the accumulation of glucocerebroside, and bone marrow transplantation in certain cases.

This introduction provides an overview of the fundamentals of Gaucher's disease, highlighting its historical significance, clinical diversity, and the critical need for accurate diagnosis and appropriate treatment strategies ^[2, 3].

Epidemiology

The epidemiology of Gaucher's disease, a rare genetic disorder, is characterized by its low prevalence and variations in incidence among different populations. Here is an overview of the epidemiological aspects of Gaucher's disease:

1. Prevalence

- Gaucher's disease is considered a rare disorder, with prevalence estimates ranging from 1 in 40,000 to 1 in 100,000 live births in the general population.

2. Inheritance

- Gaucher's disease follows an autosomal recessive pattern of inheritance, meaning that affected individuals inherit two mutated alleles of the responsible gene, one from each parent.

3. Genetic heterogeneity

- Gaucher's disease results from mutations in the GBA gene, which is located on chromosome 1. Over 300 different mutations have been identified in this gene, contributing to the disease's clinical heterogeneity ^[4].

Clinical manifestation

Gaucher's disease is a complex condition with diverse clinical manifestations. The specific symptoms and their severity can vary among individuals and are influenced by the type of Gaucher's disease (Type 1, Type 2, or Type 3). Here are the common clinical manifestations associated with Gaucher's disease:

- 1. Hepatomegaly and Splenomegaly:** Enlargement of the liver (hepatomegaly) and spleen (splenomegaly) is a characteristic feature of Gaucher's disease. This occurs due to the accumulation of glucocerebroside in these organs.
- 2. Cytopenias:** Gaucher's disease can lead to reduced blood cell counts, including:
 - **Anemia:** A decrease in red blood cell count, which can result in fatigue and pallor.
 - **Thrombocytopenia:** A decrease in platelet count, increasing the risk of bleeding and easy bruising.
- 3. Skeletal involvement:** Bone-related complications are common in Gaucher's disease and can include:
 - Bone pain and frequent fractures.
 - Osteopenia and osteoporosis (reduced bone density).
 - Erlenmeyer flask deformity of the long bones (abnormal shape of the bone ends).
 - Osteonecrosis (death of bone tissue).
- 4. Visceral symptoms:** In Type 1 Gaucher's disease, there may be various visceral symptoms, including:
 - Fatigue and weakness.
 - Abdominal discomfort.
 - Delayed puberty and growth retardation in children.
- 5. Neurological symptoms (Type 2 and Type 3):** Type 2 and Type 3 Gaucher's disease are characterized by neurological involvement, which can lead to:
 - Seizures.
 - Muscle rigidity and spasticity.
 - Ataxia (loss of coordination).

- Cognitive impairment.
- 6. Pulmonary involvement:** Rarely, patients with Gaucher's disease may experience pulmonary manifestations, such as interstitial lung disease or lung infiltrates.
 - 7. Bleeding disorders:** Some individuals with Gaucher's disease may have an increased tendency to bleed due to a combination of thrombocytopenia and other factors.
 - 8. Enlargement of other organs:** In addition to the liver and spleen, Gaucher's disease can also cause the enlargement of other organs, such as the lymph nodes and lungs.
 - 9. Secondary complications:** Patients with Gaucher's disease may develop secondary complications, including joint problems, frequent infections, and growth impairment in children.

It's important to note that the clinical manifestations can vary widely among individuals with Gaucher's disease, and not all patients will experience all of these symptoms. Early diagnosis and appropriate management, often involving enzyme replacement therapy or substrate reduction therapy, can help alleviate many of the symptoms and improve the quality of life for individuals with Gaucher's disease. Treatment is typically tailored to the specific needs of each patient ^[5, 6].

Classification

Gaucher's disease is typically classified into three major types, which are based on the presence or absence of neurological involvement and the severity of symptoms. Each type is associated with distinct clinical features. Here's a classification of Gaucher's disease:

1. Type 1 (Non-neuronopathic or Non-acute)

- Type 1 Gaucher's disease is the most common form, accounting for approximately 90-95% of all Gaucher's cases.
- It primarily affects the visceral organs (liver, spleen) and skeletal system, leading to hepatomegaly, splenomegaly, bone pain, anemia, thrombocytopenia, and other hematologic and skeletal complications.
- Unlike Types 2 and 3, Type 1 does not involve acute neurological deterioration.

2. Type 2 (Acute neuronopathic)

- Type 2 Gaucher's disease is a rare and severe form of the condition.

- In addition to the visceral and skeletal involvement seen in Type 1, Type 2 Gaucher's disease is characterized by acute neurological complications.
- These neurological symptoms can include seizures, muscle rigidity, brainstem dysfunction, and severe developmental delay.
- The disease progresses rapidly, and affected individuals often have a very limited lifespan.

3. Type 3 (Subacute neuronopathic)

- Type 3 Gaucher's disease is another rare form that involves neurological complications, but the progression is more gradual compared to Type 2.
- Individuals with Type 3 Gaucher's disease experience both visceral and skeletal symptoms similar to Type 1, but they also develop neurological issues over time.
- Neurological symptoms may include ataxia (loss of coordination), cognitive impairment, and movement disorders.
- The age of onset and rate of progression of neurological symptoms can vary widely among individuals with Type 3.

It's important to note that these classifications are based on clinical features and the presence or absence of neurological involvement. Gaucher's disease is further categorized based on specific genotypes and genetic mutations, which can influence the severity and progression of the disease [6, 7, 8].

Diagnostic evaluation

Diagnosing Gaucher's disease involves a combination of clinical evaluation, laboratory tests, and genetic analysis. The process typically includes the following steps:

1. Clinical evaluation

- A healthcare provider begins by taking a thorough medical history, including the patient's symptoms and family history of similar conditions.

2. Physical examination

- The healthcare provider will conduct a physical examination to check for signs and symptoms of Gaucher's disease, such as hepatomegaly (enlarged liver), splenomegaly (enlarged spleen), and skeletal abnormalities.

3. Laboratory tests

- Blood tests are conducted to assess various parameters:
- **Complete Blood Count (CBC):** This can reveal anemia (low red blood cell count) and thrombocytopenia (low platelet count), which are common in Gaucher's disease.
- **Enzyme activity assays:** A blood sample is tested to measure the activity of the enzyme glucocerebrosidase. A low level of this enzyme is indicative of Gaucher's disease.
- **Biomarker analysis:** The levels of specific biomarkers, such as chitotriosidase and CCL18, may be elevated in Gaucher's disease and can help in diagnosis.

4. Imaging studies

- X-rays, MRI, or CT scans may be performed to assess bone abnormalities and the extent of organ involvement. These can provide important information about the skeletal and visceral aspects of the disease.

5. Genetic testing

- Confirmation of the diagnosis often involves genetic testing. This includes DNA sequencing of the GBA gene, which is responsible for Gaucher's disease. The identification of pathogenic mutations in the GBA gene is a definitive way to diagnose the condition.

6. Bone Marrow Aspiration and Biopsy (Optional)

- In some cases, a bone marrow aspiration and biopsy may be performed to assess the accumulation of gaucher cells within the bone marrow. This is more commonly used when the diagnosis is unclear based on other tests.

7. Specialized Tests (Optional)

- In some cases, additional tests, such as a bone scan or functional organ assessments, may be performed to evaluate the extent and impact of the disease.

It's essential that the diagnosis of Gaucher's disease is made by a healthcare professional with expertise in rare genetic disorders. Given the rarity of the condition and the variability in its presentation, a multidisciplinary team, including geneticists and specialists in metabolic and lysosomal disorders, is often involved in the diagnosis and management of Gaucher's disease ^[9, 10].

Management

The management of Gaucher's disease involves a comprehensive and multidisciplinary approach to address the various aspects of the condition, including visceral and skeletal symptoms. Treatment options are aimed at improving the quality of life, reducing complications, and managing the disease's impact on affected individuals. Here is an overview of the management of Gaucher's disease:

1. Enzyme Replacement Therapy (ERT)

- ERT is a fundamental treatment for Gaucher's disease and is primarily used for Type 1 and some cases of Type 3.
- It involves the intravenous administration of the missing or deficient enzyme, glucocerebrosidase. This enzyme replacement helps break down accumulated glucocerebroside in cells.
- ERT can effectively reduce hepatomegaly, splenomegaly, and improve blood counts. It may also alleviate skeletal pain and other symptoms.
- ERT is typically administered every two weeks, and the frequency and dosage are adjusted based on individual needs.

2. Substrate Reduction Therapy (SRT)

- SRT is another treatment option for Gaucher's disease, primarily for Type 1.
- SRT medications work by reducing the production of glucocerebroside in the body, which can help decrease the buildup of the substance in cells.
- These oral medications offer an alternative approach to managing Gaucher's disease, but their efficacy and use may vary among individuals.

3. Pain management and symptomatic treatment

- Pain and discomfort, particularly in the bones and joints, are common symptoms of Gaucher's disease. Pain management strategies may include over-the-counter or prescription pain relievers.
- Addressing complications and symptoms, such as fractures, infections, and anemia, is an integral part of the overall management plan.

4. Skeletal complications management

- Orthopedic care may be necessary to manage skeletal complications, including osteopenia, osteoporosis, and fractures.
- Physical therapy, occupational therapy, and assistive devices may be recommended to maintain or improve mobility and functionality.

5. Regular monitoring

- Individuals with Gaucher's disease require ongoing medical supervision and regular check-ups to monitor the progression of the disease and assess the response to treatment.

6. Genetic counseling

- Genetic counseling is important for affected individuals and their families to understand the genetic basis of the disease, inheritance patterns, and reproductive options.

7. Supportive care and psychosocial support

- Patients and their families may benefit from psychological and social support, including counseling, support groups, and educational resources to cope with the impact of Gaucher's disease on their lives.

8. Potential Hematopoietic Stem Cell Transplantation (HSCT)

- For patients with severe Type 2 or Type 3 Gaucher's disease and neurological complications, hematopoietic stem cell transplantation may be considered, although it is a complex and high-risk procedure.

The management of Gaucher's disease should be individualized, taking into account the patient's age, disease type, severity, and specific needs. Regular communication with a healthcare team, including specialists in metabolic and lysosomal disorders, is essential for effective management and ongoing care. Early diagnosis and appropriate treatment can significantly improve the prognosis and quality of life for individuals with Gaucher's disease ^[10, 11].

Conclusion

In conclusion, Gaucher's disease is a rare and complex genetic disorder that encompasses a spectrum of clinical presentations and impacts individuals in varying ways. This lysosomal storage disorder results from a deficiency in the enzyme glucocerebrosidase, leading to the accumulation of glucocerebroside in various organs and tissues. The disease is classified into three major types, each with distinct clinical features: Type 1, Type 2, and Type

3. While Type 1 primarily affects the visceral organs and bones, Types 2 and 3 involve neurological complications, making them rarer and more severe. Gaucher's disease is diagnosed through a combination of clinical evaluation, laboratory tests, and genetic analysis. The management of Gaucher's disease revolves around enzyme replacement therapy (ERT), substrate reduction therapy (SRT), pain management, and addressing complications, as well as supportive care and regular monitoring. Genetic counseling is crucial for understanding the genetic basis of the disease and its inheritance patterns. By implementing a multidisciplinary approach and individualized treatment plans, healthcare providers can significantly improve the quality of life and prognosis for individuals with Gaucher's disease. Advances in the understanding of this condition and ongoing research offer hope for more effective treatments and improved outcomes for affected individuals and their families.

Conflict of interest: No conflict of interest.

References

1. Charrow J, Andersson HC, Kaplan P, *et al.* The Gaucher Registry: demographics and disease characteristics of 1698 patients with Gaucher disease. *Arch Intern Med.* 2000;160(18):2835-2843.
2. Weinreb NJ, Kaplan P. The history and accomplishments of the ICGG Gaucher Registry. *Orphanet J Rare Dis.* 2018;13(1):179.
3. Beutler E, Gelbart T, Scott CR. Hematologically important mutations: Gaucher disease. *Blood Cells Mol Dis.* 2005;35(3):355-364.
4. Grabowski GA, Leslie N, Wenstrup R. Enzyme therapy for Gaucher disease: the first 5 years. *Blood Rev.* 1998;12(2):115-133.
5. Mistry PK, Cappellini MD, Lukina E, *et al.* A reappraisal of Gaucher disease-diagnosis and disease management algorithms. *Am J Hematol.* 2011;86(1):110-115.
6. Zimran A, Belmatoug N, Bembi B, *et al.* Demographics and patient characteristics of 1209 patients with Gaucher disease: descriptive analysis from the Gaucher Outcome Survey (GOS). *Am J Hematol.* 2018;93(2):205-212.
7. Mistry PK, Sadan S, Yang R, *et al.* Consequences of diagnostic delays in type 1 Gaucher disease: the need for greater awareness among hematologists-oncologists and an opportunity for early diagnosis and intervention. *Am J Hematol.* 2007;82(8):697-701.

8. Elstein D, Abrahamov A, Dweck A, Hadas-Halpern I, Zimran A. Gaucher disease: pediatric concerns. *Paediatr Drugs*. 2002;4(6):417-426.
9. Grabowski GA, Zimran A, Ida H. Gaucher disease types 1 and 3: phenotypic characterization of large populations from the ICGG Gaucher Registry. *Am J Hematol*. 2015;90(S1):S12-S18.
10. Hollak CE, van Weely S, van Oers MH, Aerts JM. Marked elevation of plasma chitotriosidase activity. A novel hallmark of Gaucher disease. *J Clin Invest*. 1994;93(3):1288-1292.
11. Cox TM, Schofield JP. Gaucher's disease: clinical features and natural history. *Baillieres Clin Haematol*. 1997;10(4):657-689.

Chapter - 3

Anaplastic Thyroid Carcinoma: A Clinically Challenging and Aggressive Malignancy

Authors

Cyril Sajan

Department of Pharmacy, Sumandeep Vidyapeeth Deemed to be University, Vadodara, Gujarat, India

Varunsingh Saggu

Department of Pharmacy, Sumandeep Vidyapeeth Deemed to be University, Vadodara, Gujarat, India

Dilsar Gohil

Department of Pharmacy, Sumandeep Vidyapeeth Deemed to be University, Vadodara, Gujarat, India

Hemraj Singh Rajput

Department of Pharmacy, Sumandeep Vidyapeeth Deemed to be University, Vadodara, Gujarat, India

Rajesh Hadia

Department of Pharmacy, Sumandeep Vidyapeeth Deemed to be University, Vadodara, Gujarat, India

Nirmal Shah

Department of Pharmacy, Sumandeep Vidyapeeth Deemed to be University, Vadodara, Gujarat, India

Chapter - 3

Anaplastic Thyroid Carcinoma: A Clinically Challenging and Aggressive Malignancy

Cyril Sajan, Varun Singh Saggu, Dilsar Gohil, Hemraj Singh Rajput, Rajesh Hadia and
Nirmal Shah

Abstract

Anaplastic thyroid carcinoma (ATC) is a rare and highly aggressive malignancy of the thyroid gland, characterized by rapid growth and poor prognosis. This abstract provides an overview of ATC, highlighting its key clinical features, diagnostic challenges, and treatment options. The keywords for this abstract include: anaplastic thyroid carcinoma, thyroid cancer, aggressive malignancy, rapid growth, poor prognosis, clinical features, diagnostic challenges, treatment options. Anaplastic thyroid carcinoma represents a small fraction of thyroid cancers, but its clinical significance cannot be understated. It is often diagnosed at an advanced stage, with a median survival of mere months, making early detection and intervention essential. ATC frequently arises *de novo*, although it may evolve from pre-existing well-differentiated thyroid cancers. The hallmark of ATC is its aggressive behavior, characterized by rapid tumor growth and local invasion.

Keywords: Thyroid cancer, aggressive malignancy, rapid growth, poor prognosis, clinical features, diagnostic challenges, pathological characteristics

Introduction

Anaplastic thyroid cancer (ATC), also known as anaplastic thyroid carcinoma, is an aggressive form of thyroid cancer characterized by uncontrolled growth of cells in the thyroid gland. This form of cancer generally carries a very poor prognosis due to its aggressive behavior and resistance to cancer treatments. The cells of anaplastic thyroid cancer are highly abnormal and usually no longer resemble the original thyroid cells and have poor differentiation. ATC is an uncommon form of thyroid cancer only accounting for 1-2% of cases, but due to its high mortality, is responsible for 20-50% of deaths from thyroid cancer. The median survival time after diagnosis is three to six months. Some studies report that 10% to 15% survive

more than 1 year; 3-year and 5-year survival is very rare. It occurs more commonly in women than in men and is seen most commonly in people ages 40 to 70. Anaplastic thyroid carcinoma (ATC) is an exceedingly rare and highly aggressive malignancy of the thyroid gland. It accounts for only 1-2% of all thyroid cancers but is responsible for a disproportionate number of thyroid cancer-related deaths. This chapter explores the intricate landscape of ATC, delving into its clinical features, etiology, diagnosis, and treatment. Despite its rarity, ATC is of paramount clinical importance due to its grim prognosis, rapid progression, and limited treatment options. We will discuss the epidemiology, risk factors, pathological characteristics, clinical presentation, diagnostic challenges, and treatment modalities for this challenging malignancy ^[1].

Epidemiology and Risk factors

Anaplastic thyroid carcinoma is most commonly diagnosed in individuals aged 60 and older, with a slight female predominance. While the exact cause of ATC remains largely unknown, it can arise *de novo* or develop from pre-existing well-differentiated thyroid cancers, particularly papillary or follicular carcinomas. A history of radiation exposure, whether from external sources or therapeutic radioiodine treatment for other thyroid conditions, is a well-established risk factor for the development of ATC ^[2].

Pathogenesis

Nearly half of ATC cases occur in the setting of coexisting differentiated thyroid cancer. This suggests that many ATC cases have dedifferentiated from differentiated thyroid cancer and, as a result, become more aggressive and difficult to treat. Differentiated thyroid cancer is seen coexisting with ATC on fine-needle aspiration biopsies in 20-50% of cases.

Anaplastic tumors have a high mitotic rate and frequently invades the local blood and lymphatic vessels. Cellular death is frequently visualized on microscopic images. The presence of regionally swollen lymph nodes in older patients in whom needle aspiration biopsy reveals characteristic vesicular appearance of the nuclei supports a diagnosis of anaplastic carcinoma. Microscopic images of ATC usually show inflammatory cells from the immune system such as T cells and macrophages.

On immunohistochemistry testing, ATC is usually positive for the keratin, p53 and PAX8 proteins and is negative for thyroid transcription factor-1, thyroglobulin, and calcitonin. ATC cells demonstrate high levels of PD-L1 expression. BRAF and TERT mutations are seen more commonly in ATC than in differentiated thyroid cancer ^[3].

Pathological characteristics

ATC is characterized by its distinctive histopathological features, which are integral to its diagnosis. Microscopically, ATC presents with sheets of undifferentiated, anaplastic cells that exhibit marked pleomorphism. The cells lose their thyroid-specific features, such as the ability to trap iodine, and may display spindle, giant, or squamous differentiation. A high mitotic rate is commonly observed, indicating rapid cell division. Additionally, areas of necrosis and vascular invasion are frequently present, underscoring the aggressive nature of this malignancy. Immunohistochemical markers, such as thyroglobulin and TTF-1, are typically absent in ATC, further distinguishing it from well-differentiated thyroid cancers [4].

Clinical presentation

The clinical presentation of ATC is often alarming, reflecting its aggressive behavior. Patients may report a rapidly enlarging neck mass, which can lead to symptoms such as dysphagia, dyspnea, hoarseness, and even airway compromise in severe cases. Distant metastases, commonly involving the lungs and bones, can contribute to systemic symptoms, such as weight loss, fatigue, and pain. Due to its aggressive nature, ATC is frequently diagnosed at an advanced stage, making early intervention challenging [5].

Diagnostic challenges

Fine-needle aspiration is essential in order to obtain a sample of the thyroid tissue to allow for microscopic examination. This allows an experienced pathologist to differentiate ATC from other diseases, such as other forms of thyroid cancer. It is very important to distinguish between ATC and poorly-differentiated thyroid cancer and this distinction can be difficult to make [2]. The presence of PAX-8 positive staining and association with a different thyroid cancer that is adjacent to the ATC support the diagnosis [6].

ATC is divided into several different subclasses based on its microscopic characteristics. These include sarcomatoid, squamoid, osteoclastic, paucicellular, rhabdoid, and carcinomasarcomatoid variants. As of 2019, despite the fact that these ATC subtypes are recognized, this classification has not led to differences in management. ATC is always considered to be stage IV when it is diagnosed.

There are no reliable laboratory tests for ATC. Ultrasound imaging of ATC lesions reveals a hypochoic mass (appears dark on ultrasound) with invasion of the local structures and may help to better characterize the presence or absence of neck lymph node metastases. If surgery is planned,

however, then a contrast-enhanced computed tomography (CT) scan of the neck must be performed. A PET scan is preferred for staging ATC but a CT scan of the neck, chest, abdomen, and pelvis can be substituted if the former is unavailable. Magnetic resonance imaging (MRI) of the brain is also recommended to assess for distant metastases.

Diagnosing ATC can be a formidable task, primarily due to its rarity and the lack of specific biomarkers. The clinical presentation and radiological findings may overlap with other thyroid conditions, leading to misdiagnosis or delayed recognition. Fine-needle aspiration (FNA) cytology, while useful in diagnosing well-differentiated thyroid cancers, often yields inconclusive results in ATC cases. Therefore, histopathological examination of a surgical specimen is typically required for a definitive diagnosis.

Treatment modalities

ATC is considered an emergency cancer diagnosis since it poses a high risk of blocking the airway and/or esophagus due to its rapid growth in the neck, either of which can quickly cause a person's death by asphyxiation, if not immediately corrected [2].

Unlike its differentiated counterparts, anaplastic thyroid cancer is highly unlikely to be curable either by surgery or by any other treatment modality, and is in fact usually unresectable due to its high propensity for invading surrounding tissues. A multidisciplinary team including an endocrine pathologist, head and neck surgeon, medical oncologist, radiation oncologist, endocrinologist and a palliative care physician is essential for optimal management. Palliative treatment consists of radiation therapy usually combined with chemotherapy.

The use of tracheostomy as part of supportive care for ATC is controversial.

Medications, such as fosbretabulin (a type of combretastatin), bortezomib and TNF-Related Apoptosis Induced Ligand (TRAIL), are, however, under investigation *in vitro* and in human clinical studies. Based on encouraging Phase I and II clinical trial results with fosbretabulin, a type of medication that selectively destroys tumor blood vessels, clinical trials have been evaluating whether the medication can extend the survival of patients with ATC.

With the advent of molecular testing and next-generation sequencing, BRAF and MEK inhibitors are playing an increasing role in the management of patients with anaplastic thyroid cancer harboring such mutations. The combination of dabrafenib and trametinib has shown significant increases in

overall survival and has been approved by the FDA. Another similar combination is vemurafenib and cobimetinib.

Immunotherapy is also starting to play an important role in anaplastic thyroid cancer management with several ongoing clinical trials demonstrating promising effects. Specific drugs being tested are atezolizumab, pembrolizumab and spartalizumab, amongst others.

Combinatorial therapy that is molecular-based may lead to significant tumor regression, potentially making patients amenable to curative surgery ^[7].

The management of ATC is highly complex and often requires a multimodal approach, given its aggressive nature. Treatment strategies must be tailored to each patient's individual circumstances and may include:

Surgery

Surgical intervention, when feasible, is a critical component of ATC management. It aims to achieve local control and relieve compressive symptoms. In some cases, this may involve radical resections, such as total thyroidectomy with neck dissection.

Radiation therapy

External beam radiation therapy is often employed either preoperatively to shrink the tumor or postoperatively to target residual disease. Radiation plays a crucial role in achieving local control and palliation of symptoms.

Chemotherapy

Systemic chemotherapy with agents like paclitaxel and doxorubicin may be considered for patients with advanced or metastatic disease. The response to chemotherapy varies, and it is often used in combination with other modalities.

Targeted therapies

Emerging targeted therapies, such as tyrosine kinase inhibitors (e.g., lenvatinib and sorafenib), have shown promise in the treatment of ATC. These drugs can potentially inhibit the growth of tumor cells by targeting specific molecular pathways.

Immunotherapies

Immunotherapy, including immune checkpoint inhibitors like pembrolizumab, is an area of active research in the management of ATC. By enhancing the patient's immune response, these treatments may offer a new avenue for improving outcomes.

Post-operative radiotherapy

The role of external beam radiotherapy (EBRT) in thyroid cancer remains controversial and there is no level I evidence to recommend its use in the setting of differentiated thyroid cancers such as papillary and follicular carcinomas. Anaplastic thyroid carcinomas, however, are histologically distinct from differentiated thyroid cancers and due to the highly aggressive nature of ATC aggressive postoperative radiation and chemotherapy are typically recommended.

The National Comprehensive Cancer Network Clinical Practice Guidelines currently recommend that postoperative radiation and chemotherapy be strongly considered. No published randomized controlled trials have examined the addition of EBRT to standard treatment, namely surgery. Radioactive iodine is typically ineffective in the management of ATC as it is not an iodine-avid cancer.

Imbalances in age, sex, completeness of surgical excision, histological type and stage, between patients receiving and not receiving EBRT, confound retrospective studies. Variability also exists between treatment and non-treatment groups in the use of radio-iodine and post-treatment thyroid stimulating hormone (TSH) suppression and treatment techniques between and within retrospective studies^[8].

Some recent studies have indicated that EBRT may be promising, though the number of patient's studies has been small.

Clinical trials for investigational treatments are often considered by healthcare professionals and patients as first-line treatment.

Prognosis

The overall 5-year survival rate of anaplastic thyroid cancer has been given as 7% or 14%, although the latter has been criticized as being overestimated. Additional factors that affect prognosis include the person's age, the presence of distant metastases, the dose of radiation administered to the primary tumor and regional lymph nodes, and if combined modality treatment is used.

Treatment of anaplastic thyroid cancer is generally palliative in its intent due to its highly aggressive nature and nearly universal mortality. Larger tumors, distant metastases, acute obstructive symptoms, and leukocytosis portend a poorer prognosis. Death is attributable to upper airway obstruction and suffocation in half of patients, and to a combination of complications of local and distant disease, or therapy, or both in the remainder^[9].

Anaplastic thyroid cancer is extremely aggressive; historically, in most cases death occurs in less than 1 year as a result of aggressive local growth and compromise of vital structures in the neck. ATC in most series has a median survival of 4 to 5 months from the time of diagnosis, with rare long-term survivors.

Recent data however suggests that patients with BRAFV600E mutated disease, even if in an advanced stage, may have significantly better prognosis, as novel targeted therapies can extend tumor control considerably, while also leading to tumor burden decrease and potentially make patients candidates for surgery. Recent advances show that using a combination of novel targeted therapies, immunotherapy and surgery, 1 year and 2 year survival for anaplastic thyroid cancer patients have increased to 59% and 42%, respectively.

Conclusion

Anaplastic thyroid carcinoma, while rare, is a formidable clinical challenge due to its aggressiveness and limited treatment options. Clinicians, researchers, and healthcare professionals must collaborate to improve the diagnosis and management of this devastating malignancy. As the field of oncology continues to advance, the prospects for ATC patients may improve, offering the potential for extended survival and enhanced quality of life in the face of this challenging disease.

References

1. Cornett WR, Sharma AK, Day TA, Richardson MS, Hoda RS, Van Heerden JA, *et al.* Anaplastic thyroid carcinoma: an overview. *Current oncology reports.* 2023 Jan;9:152-8.
2. Demeter JG, De Jong SA, Lawrence AM, Paloyan E. Anaplastic thyroid carcinoma: risk factors and outcome. *Surgery.* 2022 Dec;110(6):956-63.
3. Smallridge RC, Copland JA. Anaplastic thyroid carcinoma: pathogenesis and emerging therapies. *Clinical Oncology.* 2022 Aug;22(6):486-97.
4. Abe I, Lam AK. Anaplastic thyroid carcinoma: Updates on WHO classification, clinicopathological features and staging. *Histol Histopathol.* 2023 Mar;36(3):239-48.
5. Wendler J, Kroiss M, Gast K, Kreissl MC, Allelein S, Lichtenauer U, *et al.* Clinical presentation, treatment and outcome of anaplastic thyroid carcinoma: results of a multicenter study in Germany. *European journal of endocrinology.* 2022 Dec;175(6):521-9.

6. Giuffrida D, Gharib H. Anaplastic thyroid carcinoma: current diagnosis and treatment. *Annals of Oncology*. 2021 Sep;11(9):1083-90.
7. Begum S, Rosenbaum E, Henrique R, Cohen Y, Sidransky D, Westra WH. BRAF mutations in anaplastic thyroid carcinoma: implications for tumor origin, diagnosis and treatment. *Modern Pathology*. 2023 Nov;17(11):1359-63.
8. Sugitani I, Onoda N, Ito KI, Suzuki S. Management of anaplastic thyroid carcinoma: the fruits from the ATC research consortium of Japan. *Journal of Nippon Medical School*. 2022 Jan;85(1):18-27.
9. Kihara M, Miyauchi A, Yamauchi A, Yokomise H. Prognostic factors of anaplastic thyroid carcinoma. *Surgery today*. 2021 May;34:394-8.

Chapter - 4
**National Education Policy: 2020 Implementation
of Vocational Education**

Authors

Dr. Jyoti Rani

Assistant Professor, Department of Home Management, S.S.D.
Girls College Bathinda, Punjab, India

Neha Bhandari

Assistant Professor, Department of Home Management, S.S.D.
Girls College Bathinda, Punjab, India

Chapter - 4

National Education Policy: 2020 Implementation of Vocational Education

Dr. Jyoti Rani and Neha Bhandari

Abstract

A distinct and visionary education policy is essential for every country because education is the main factor of economic and social advancement. With the introduction of NEP 2020 and its policy of implementing vocational education with mainstream education, it has come at the right time and the objective is very noble but the success of the NEP 2020 and the step of its implementation largely depend on how strongly the government and the colleges can flood over the practical challenges facing it. The National Education Policy 2020 has given due importance to vocational education, and capacity development of teachers to boost the employability skills and vocational skills of the learners at all levels. Today, vocational education has been identified as an important requirement for the development of a country. For a country like India where the population of the youth is increasing day by day and if all are trained into highly skilled individuals, there will be expansion in the economy. But due to the absence of providing vocation in almost all the institutions, students fail to earn required skills. If necessary steps are taken like introducing vocational education into mainstream education and focus areas are chosen based on skills gap analysis and mapping of local opportunities than it will put a high impact on enhancing skills and employability.

Keywords: National, vocational, education, development

Introduction

Education is not merely provision of information from trainer to learner, but the point is that this person requires practical education for some of its tasks. By considering this theory The National Education Policy (NEP) 2020 of India has finally seen the light of day, providing India with an education policy after 34 years. Vocational development program focuses on specific trades and imparts the practical skills which allow individuals to engage in a specific occupational activity. Vocational development is not only important

in providing employment opportunities to individuals but also helps in enhancing the productivity of firms. Vocational development program comprises all skill transfers, formal and informal, which are required in the improvement of productive activities of a society. Vocational education will be integrated into all school and higher education institutions in a phased manner over the next decade. Focus areas for vocational education will be chosen based on skills gap analysis and mapping of local opportunities. MHRD will constitute a National Committee for the Integration of Vocational Education (NCIVE), consisting of experts in vocational education and representatives from across Ministries, in collaboration with industry, to oversee this effort. Individual institutions that are early adopters must innovate to find models and practices that work and then share these with other institutions through mechanisms set up by NCIVE, so as to help extend the reach of vocational education. Different models of vocational education, and apprenticeships, will also be experimented by higher education institutions. Incubation centre's will be set up in higher education institutions in partnership with industries.

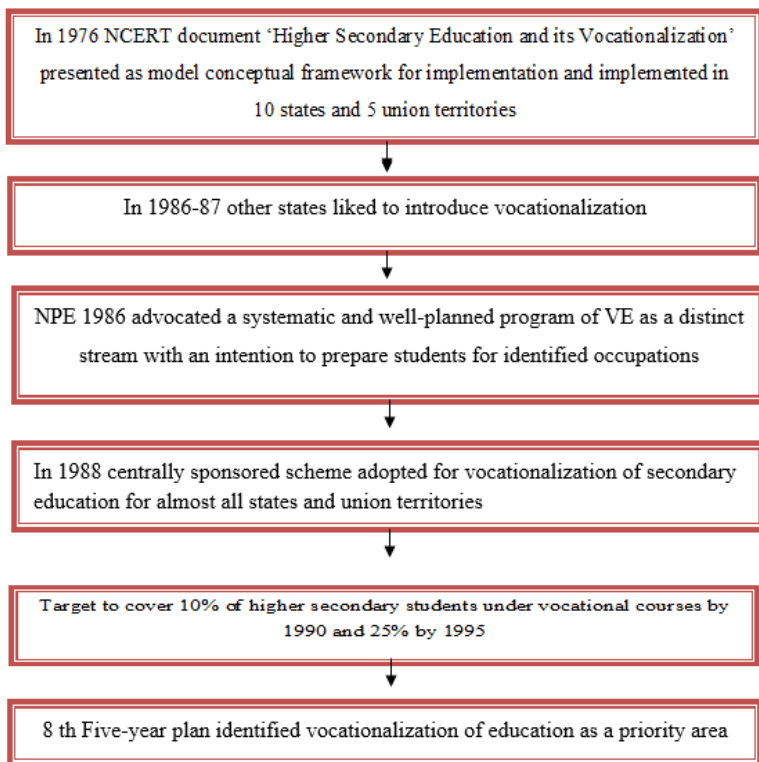
What is Vocational Education?

Vocational Education can be defined as the education that is based on occupation and employment. Vocational Education is also known as career and technical education (CTE) or technical and vocational education and training (TVET). It prepares people for specific trades, crafts and careers at various levels in all spheres of life. It is education that prepares people to work as a technician or to take up employment in a skilled craft or trade as a tradesperson or artisan. It is sometimes referred to as career and technical education. Vocational education can take place at the post-secondary, further education, or higher education level and can interact with the apprenticeship system.

Vocational education consists basically of practical courses through which one gains skills and experience directly linked to a career in future. It helps students to be skilled and in turn, offers better employment opportunities.

Vocational education is the process of making students career ready. It includes preparing students to acquire real-time and practical experience in their chosen career field. The main objective of vocational training is to make students career-ready before graduation. The NEP 2020 has highlighted multiple reasons for including vocational training in the current learning pattern.

Flow chart of vocational education in national education policy



Problems of vocational education in the education system

However, although a lot has been achieved in physical terms, a number of problems have been witnessed at the field level resulting in under utilization of the capacity created. The management structure in many States has been weak or even nonexistent, depriving the programme of micro level attention; linkages with industry have been weak; district vocational surveys, and teachers training have not been conducted. All this has resulted in: Irrelevance of courses leading to a mismatch between the labour market needs and the training skills

- Lack of focus on emerging areas of industrial development.
- Inadequate syllabi being followed in schools and use of obsolete equipment.
- Lack of Institutions related to Vocational Education.
- Inadequate practical training skills acquired by the students which are not useful in practical life.

- Lack of good Teachers.
- Lack of social acceptability.
- Lack of employment opportunities.
- Shortage of practical.
- Shortage of continuous Education.
- Lack of Industry Participation.
- Lack of opportunities for continuous skill up-gradation.
- Overall poor enrolment in vocational stream in such schools.
- There have also been inadequate facilities of skills acquired by the vocational graduates for improving their status in the professional market.

Importance of vocational education in our country

In reality, we can hardly find any cons related to vocational education. There are only benefits, whether skilling children or the future of India as a talent hub. Including vocational education in the present education system directly plots for a better future for your child, lightening the road to their future. The perks include:

Refines practical skills

While the traditional education system emphasizes theoretical training, NEP's decision to implement vocational training has been a bonanza strike. Children get to work and know the importance of developing skills to make it big in their careers. Moreover, it does not hinder their theoretical learning. On the contrary, training on-field makes it even easier for learners to understand the concepts and implement them in theory.

Secures the quest of finding a proper career

Vocational education sets a sense of responsibility in children's minds. They get the opportunity to know their field of interest and gain practical knowledge and training. Thus, when a student is ready to step into the big complex world of careers, they are skilled, prepared, and know what profession they want to choose.

Economically advantageous

Vocational training is easy on the pockets. The fees are much lower compared to the traditional learning system. But on the other hand, it lays out a myriad of employment opportunities for students at an early age backed with experience and knowledge.

Assists in networking

Children during the training get to participate in on-site work that helps them get out of their shells and hone their communication skills. As a result, they will be less anxious when they enter the real job world. Besides, it also helps network with like-minded professors and students.

Final takeaway

Education is beyond numbers, ranks, and grades. It is a powerful weapon that molds and shapes us to create a better future. Thus, it is time to break stigmas and think beyond the traditional educational system that concentrates more on theory and rote learning. Instead, it is time to liberate children and let them explore what is best for them.

It is time we recognize the importance of vocational education and let the bright futures of tomorrow, the rising youth of the country, know their talent early on. That is possible only with vocational education that can bring transition in the education system, assisting children in pursuing their dream careers and making themselves job ready from a young age

Reimagining vocational education

The 12th Five-Year Plan (2012–2017) estimated that only a very small percentage of the Indian workforce in the age group of 19–24 (less than 5%) received formal vocational education Whereas in countries such as the USA the number is 52%, in Germany 75%, and South Korea it is as high as 96%. These numbers only underline the urgency of the need to hasten the spread of vocational education in India. This policy aims to overcome the social status hierarchy associated with vocational education and requires integration of vocational education programmes into mainstream education in all education institutions in a phased manner. Beginning with vocational exposure at early ages in middle and secondary school, quality vocational education will be integrated smoothly into higher education. It will ensure that every child learns at least one vocation and is exposed to several more. This would lead to emphasizing the dignity of labour and importance of various vocations involving Indian arts and artisanship. By 2025, at least 50% of learners through the school and higher education system shall have exposure to vocational education, for which a clear action plan with targets and timelines will be developed. This is in alignment with Sustainable Development Goal 4.4 and will help to realize the full potential of India's demographic dividend. The number of students in vocational education will be considered while arriving at the GER targets. The development of vocational capacities will go hand-in hand with the development of

‘academic’ or other capacities. Vocational education will be integrated in the educational offerings of all secondary schools in a phased manner over the next decade. Towards this, secondary schools will also collaborate with ITIs, polytechnics, local industry, etc. Skill labs will also be set up and created in the schools in a hub and spoke model which will allow other schools to use the facility. Higher education institutions will offer vocational education either on their own or in partnership with industry and NGOs.

Review of literature

Kumar Pradeep (2015) in his research “challenges of vocational education system in India” mentioned that different stakeholders- the government, corporate sector, social business and non-profit organizations need to operate in a collaborative ecosystem to overcome the challenges of capacity, quality and utilization across vocational education. Enhancing employability by improving vocational education is a complex issue involving demographic trends, economic and labor market reform, education system, industry participation and upward mobility for backward social groups.

Kaushik Kusum (2014) in her research “Vocational education in India” mentioned that vocational training has been successful in India only in industrial training institutes and that too in engineering trades. So in order for vocational education to play its part effectively in the changing national context and for India to enjoy the fruits of the technical fields, there is an urgent need to redefine the critical elements of imparting vocational education and training to make them flexible, contemporary, relevant, inclusive and creative. Prof Kedareshubhangi conducted a research on “vocational education and training in India-current scenario” suggested that short term training can be imparted in degree college along with graduation. Also universities and colleges can arrange various discussion forums with industry personal to make youth aware of skills required in the market example education-industry conclave.

Aithal Shubhrajyotsna & Aithal P.S. (2020) in their research “Analysis of the Indian National Education policy 2020 towards achieving its objectives” suggested that to encourage self-dependency after 18 years of age, students should be develop skills in their interested area and involve in some kind of economic/productive activities thereby their dependency on parents can be reduced. This is possible through vocational training and building their confidence while learning. The vocational training based earn while learn can strengthened at higher education level through offering

additional credits to Academic Bank of Credits (ABC). And the undergraduate programme should be designed in such a way that there should be two skill based subjects focusing on employability skills and entrepreneur ability skills respectively apart from core subjects, non-core subjects, and elective subjects. The evaluation scheme for these skill based subjects should be continuous internal assessment without holding semester end exams. Such an innovative model gives confidence for the students to choose an entrepreneur career.

Recommendations in NEP 2020

Flexible' Curriculum

The policy emphasizes the need of providing all children with universal access to high-quality, holistic education. Students will be given increased flexibility and choice of subjects to study, particularly in secondary school - including subjects in physical education, the arts and crafts, and vocational skills-so that they can design their own paths of study and life plans.. In order to boost creativity, adaptability, and production, the Policy also strives to introduce vocational skills into the school curriculum”.

Teaching

States and union territories have been advised to create innovative procedures to ensure:

- a) Enough resources, counselors/teachers teaching all topics (including vocational subjects).
- b) Community building, cooperation, and enhanced governance.

The Policy recognizes the importance of recruiting an adequate number of teachers across disciplines and suggests that teachers be shared between schools in accordance with state/union territory school grouping rules. Schools/school complexes have also been motivated to hire local renowned persons as ‘master instructors’ in different topics, such as in traditional local arts, vocational crafts or entrepreneurship to support students and help protect and promote local knowledge and experts.

Future impact of vocational education

According to NEP 2020, by 2025, at least 50% of learners shall have vocational exposure through school and higher education. Every child is supposed to learn at least one vocation and be exposed to several more. The NEP 2020 stated that there will be ‘no hard separation’ between the vocational and academic streams. School students will have 10 bagless days

in a year, during which they are to be exposed to a vocation of choice. This will be supplemented by experiential vocational learning from Grades 6 to 8. Every student will take a fun course during Grades 6 to 8 that gives a survey and hands on experience of vocational crafts. Skill labs will also be set up and created in the schools in a Hub and Spoke model, which will allow other schools to use the facility.

The vocational education system in schools will be reintegrated under National Skills Qualifications Framework for providing training to the dropouts. Bachelor in Vocation (B.Voc.) programme offered by higher education institutions is to be expanded and a credit-based framework will facilitate mobility across general and vocational education. At the Secondary stage i.e., for students of ages 15 to 18 years or Grades IX to XII, every student will receive training in at least one vocation, and more if they are interested. The entire four-year period in secondary school, Grades IX to XII, can be used not just to expose a student to different vocations but to help him/her to progressively build a considerable degree of expertise (number of courses) that a particular student takes should be left entirely to them. Regarding the appointment of teachers, the NEP 2020 has laid emphasis on recruiting adequate teachers of vocational subjects to schools and school complexes as well as hiring a local eminent person or expert as a master instructor in various subjects, such as traditional local arts, vocational crafts, entrepreneurship, agriculture, or any other subject where local expertise exists to benefit students and help preserve and promote local knowledge.

Highlights of vocational education

- No hard separations between arts and sciences, between curricular and extra-curricular activities, between vocational and academic streams, etc.
- The Secondary Stage will comprise of four years of multidisciplinary study, building on the subject-oriented pedagogical and the students shall have the option of exiting after Grade 10 and re-entering in the next phase to pursue vocational or any other courses available in Grades 11-12th. Students will be given increased flexibility and choice of subjects to study, particularly in secondary school-including subjects in physical education, the arts and crafts, and vocational skills-so that they can design their own paths of study and life plans.

- Integration of vocational education programmes into mainstream education in a phased manner, including beginning with vocational exposure at early ages in middle and secondary school.
- Important vocational knowledge will be made accessible to students through integration into vocational education courses.
- Vocational education will be integrated into all school and higher education institutions in a phased manner over the next decade.
- Individual institutions that are early adopters must innovate to find models and practices that work and then share these with other institutions through mechanisms set up by NCIVE, so as to help extend the reach of vocational education.
- Different models of vocational education, and apprenticeships, will also be experimented by higher education institutions.
- Incubation centres will be set up in higher education institutions in partnership with industries.
- The National Skills Qualifications Framework will be detailed further for each discipline vocation and profession.
- The credit-based Framework will also facilitate mobility across ‘general’ and vocational education.

Challenges to overcome

- Teachers, especially at higher secondary levels, are not fully skilled to teach vocational courses and sufficient teachers with proper skilled training is required.
- The curriculum of vocational courses at school levels is fragmented and disjointed. There is no proper detailed curriculum, only basic introduction to all the vocational courses, which proves to be ineffective in sparking an interest in vocational education among school students. The existing system fails to attract students from taking up vocational courses in future and this needs to be rectified.
- Apart from the other issues, vocational schooling creates a sense of ‘second class’ schooling among the students. A student pursuing a vocational course is considered weaker in education to those students opting for mainstream higher education avenues. This inferiority complex needs to be given due care.
- Irrelevance of courses leading to a mismatch between the labour market needs and the training skills which needs to be addressed.

- Overall poor enrolment in vocational stream for which more stress on publicity, advertisement and counseling is required.

Vocational training focuses on developing technical skills for a specific job or trade. It offers practical knowledge in contrast to theoretical knowledge offered by the conventional formal education system. Understanding different vocational training options can help choose the right one for the career growth and development.

The NEP 2020 can potentially lead to explosive growth of vocational education in the country, since it requires all educational institutions to integrate vocational education into their offerings. This will bring in a very large number of schools, colleges and universities into the fold of potential Vocational Education and Training providers during the coming decade and make vocational education available to millions of students.

Conclusion

Every country's economy, social standing, technology adoption, and healthy human behavior are all influenced by higher education. The education department of the country government is responsible for improving GER so that every citizen of the country has access to higher education opportunities. The National Education Policy of India 2020 is working towards achieving these goals by enacting innovative policies to improve the quality, attractiveness, affordability, and supply of higher education by opening it up to the private sector while maintaining strict quality controls in every higher education institution. NEP-2020 is expected to achieve its goals by 2030 by encouraging merit-based admissions with free scholarships, merit & research-based continuous performers as faculty members, merit-based proven leaders in regulating bodies, and strict quality monitoring through biennial accreditation based on self-declaration of progress through technology-based monitoring. All higher education institutions currently known as associated colleges will either expand as multidisciplinary independent colleges with degrees conferring power in their names or become constituent colleges of their affiliated universities". An unbiased organization The National Research Foundation will fund creative initiatives in the basic sciences, applied sciences, and social sciences and humanities, all of which are priority research areas. The system will become more student-centered, allowing students to choose core and allied studies within and across disciplines. Within the policy framework, faculty members also have the freedom to choose curriculum, methodology, pedagogy, and evaluation approaches. These transformations will begin in

the academic year 2021-22 and will last until 2030, when the first stage of transition will be notice.

References

1. Government of India, Ministry of Human resource development-The National Education Policy (NEP) 2020 document.
2. <https://www.oneindia.com/india/new-education-policy-2020-advantages-and-disadvantages-of-nep-3127811.htm>.
3. Website of Government of India, Ministry of Human resource development, New Delhi.
4. <https://www.oneindia.com/india/new-education-policy-2020-advantages-and-disadvantages-of-nep3127811.html>
5. <https://www.nationalskillsnetwork.in/highlights-of-the-national-education-policy-2020/>.
6. <https://www.udhyam.org/post/nep-2019-alignment-with-udhyam-shiksha>
7. <https://www.indiatoday.in/education-today/featurephilia/story/nep-2020-new-education-policy-is-a-positivestep-towards-nation-building-and-growth-here-s-how7>.
8. <https://azimpremjiuniversity.edu.in/SitePages/pdf/MHRDNEP-AzimPremjiFoundationResponse>.
9. Maclean R, Lai A. The future Government of India, Ministry, 2011.
10. Agrawal T. Vocational education and training in India: Challenges, status and labor market outcomes. *Journal of Vocational Education & Training*, 2012.
11. Kuimar Pradeep. Challenges of vocational education system in India” *International Journal of Multidisciplinary research and development*. 2015;2(9):310-315. e-ISSN:2349-4182, p-ISSN:2349-5979.
12. Kaushik Kusum. Vocational education in India *International journal of Education and Information studies*. 2014;4:1, 55-58. ISSN 2277-3169, Research India Publications.
13. Prof Kedareshubhangi. Vocational education and training in India-current scenario. *Tactful management research journal*, ISSN: 2319-7943.

14. Aithal Shubhrajyotsna, Aithal PS. Analysis of the Indian National Education policy 2020 towards achieving its objectives” International journal of management, 2020.
15. International Journal of Education and Information Studies. ISSN 2277-3169 © Research India Publications <http://www.ripublication.com>
Vocational Education in India Miss Kusum Kaushik Freelance Content Writer and Technical Writer Certified Technical Writer from Tech Total Solutions Pvt. Ltd, Hyderabad Pursuing PG Diploma in Technical Writing from Symbiosis (SCDL) Pursuing M.A. (Mass Comm). 2014;4(1):55-58.
16. <https://kashmirreader.com/2022/07/30/vocational-education-in-the-light-of-nep-2020>

Chapter - 5
Solar Powered Automatic Irrigation System

Authors

Dr. S. Vimalraj

Professor, Department of EEE, Karpagam College of
Engineering, Coimbatore, Tamil Nadu, India

Dr. C.S. Sundar Ganesh

Assistant Professor, Department of EEE, Karpagam College of
Engineering, Coimbatore, Tamil Nadu, India

Chapter - 5

Solar Powered Automatic Irrigation System

Dr. S. Vimalraj and Dr. C.S. Sundar Ganesh

Abstract

Our concept is based upon to help farmers, at specific in Irrigation system, fertilizers to crops and less consumption of electrical energy. Irrigating crops is one of the major process in farming but in current scenario it's becoming difficult due to less availability of water supply and electricity also major crisis. In current systems there are plenty of techniques for irrigation based on less consumption of water and alternate for electricity in terms of Solar Now we invented a new system through advancement in existing drip Irrigation system through mechanical and embedded system and also through this technique we can consume less power, it results in reduce the huge cost of solar equipments.etc. Through this invention it just need very low man power for Irrigation and also for fertilizer to crops and very less consumption of electrical energy. Now we was automated everything through embedded system. In further invention implementation in our project, in future it may seen in agriculture lands. Now it can able to design for 2:1 ratio, width of 10 M and length of 20 M it can implement more with existing advanced techniques.

Keywords: Automatic irrigation system, smart agriculture, bridge controller, humidity sensor, low power system

I. Introduction

An automation of irrigation systems has several positive effects. Once installed, the water distribution on fields or small-scale gardens is easier and does not have to be permanently controlled by an operator. There are several solutions to design automated irrigation systems. Modern big-scale systems allow big areas to be managed by one operator only. Sprinkler, drip or sub surface drip irrigation systems require pumps and some high tech-components and if used for large surfaces skilled operators are also required. Extremely high-tech solutions also exist using GIS and satellites to automatically measure the water needs content of each crop parcel and optimise the irrigation

system. But automation of irrigation can sometimes also be done with simple, mechanical appliances: with clay pot or porous capsule irrigation networks or bottle irrigation. In future smart environments, wireless sensor networks will play a vast role in sensing, collecting, and disseminating information about environmental phenomena. Sensing applications displays a new paradigm for network operation, one which it has different goals from more traditional wireless networks. This paper examines this emerging field to classify wireless micro-sensor networks according to different communication functions, data delivery models, and network dynamics. This taxonomy will aid in defining appropriate communication infrastructures for different sensor network application subspaces, allowing network designers to choose the protocol architecture that best matches the goals of their application. In addition, this taxonomy will enable new sensor network models to be assured for use in further research in this area. While the applications requires high performance from net, they suffer from source constraints that dont appear in conventional wire computing environments. In particular, wireless spectrum is scarce, often limiting the bandwidth available to applications and making the channel error-prone, and the nodes are battery-operating, often limiting available energy. The Internet of Things (IOT) can be defined as a network of physical objects or people called “things” that are embedded with software, electronics, network, and sensors which allows these objects to collect and exchange data. The goal of IOT is to extend to internet connectivity from standard devices like computer, mobile, tablet to relatively dumb devices like a toaster. IOT makes virtually everything "smart," by improving aspects of our life with the power of data collection, AI algorithm and networks.

II. Components used

A. L298N Dual H Bridge Motor controller

This L298N Motor Driver Module is a high-power motor driver module for driving DC and Stepper Motors. This module consists of an L298 motor driver IC and a 78M05 5V regulator. L298N Module can control up to 4 DC motors, or 2 DC motors with directional and speed control. The L298N is a dual H-Bridge motor driver which allows speed and direction control of two DC motors at the same time. The module can drive DC motors that have voltages between 5 and 35V, with a peak current up to 2A. This depends on the voltage used at the motors VCC. Motor drivers act as an interface between the motors and the control circuits. Motor require high amount of current whereas the controller circuit works on low current signals. So the function of motor drivers is to take a low-current control signal and then turn it into a higher-current signal that can drive a motor.

the help of some other rules of soil like dielectric constant, electrical resistance, otherwise interaction with neutrons and replacement of the moisture content.

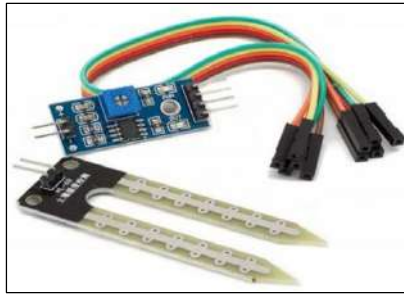


Fig 3: Soil moisture Sensor

This sensor mainly utilizes capacitance to gauge the water content of the soil (dielectric permittivity). The working of this sensor can be done by inserting this sensor into the earth and the status of the water content in the soil can be reported in the form of a percent.

D. Ultra sonic sensor

One of the most popular ultrasonic sensors would be the HC-SR04. The configuration pin of HC-SR04 is VCC (1), TRIG (2), ECHO (3), and GND (4). The supply voltage of VCC is 5V and you attach TRIG and ECHO pin to any Digital I/O in your Arduino Board to power it. The transmitter (trig pin) sends a sound wave. The object picks the wave up, reflecting it back to the sensor. The receiver (echo pin) picks it up.

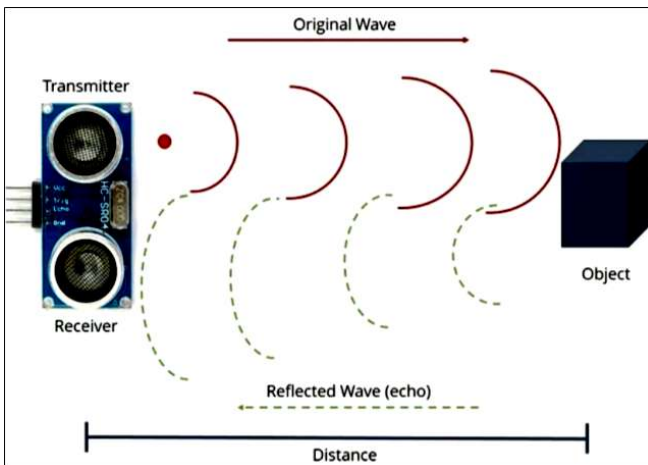


Fig 4: Working of ultrasonic sensor

E. LDR Sensor

A photoelectric device can be either intrinsic or extrinsic. An intrinsic semiconductor has its own charge carriers and is not an efficient semiconductor, for example, silicon. In intrinsic devices, the only available electrons are in the valence band, and hence the photon must have enough energy to excite the electron across the entire bandgap. Extrinsic devices have impurities, also called dopants, added whose ground state energy is closer to the conduction band; since the electrons do not have as far to jump, lower energy photons (that is, longer wavelengths and lower frequencies) are sufficient to trigger the device. If a sample of silicon has some of its atoms replaced by phosphorus atoms (impurities), there will be extra electrons available for conduction. This is an example of an extrinsic semiconductor.

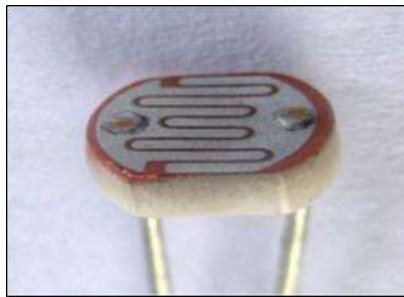


Fig 5: LDR sensor

These devices depend on the light, when light falls on the LDR then the resistance decreases, and increases in the dark. When a LDR is kept in the dark place, its resistance is high and, when the LDR is kept in the light its resistance will decrease.

F. GSM Module

A GSM modem or GSM module is a hardware device that uses the GSM mobiletelephony technology to provide a data link to a remote network. From the view of the mobile phone network, they are essentially identical to an ordinary mobile phone, including the need for a SIM to identify themselves to the network. GSM modems typically provide TTL-level serial interfaces to their host. They are usually used as part of an embedded system. A customised Global System for Mobile communication (GSM) module is designed for wireless radiation monitoring through Short Messaging Service (SMS). This module is able to receive serial data from radiation monitoring devices such as survey meter or area monitor and transmit the data as text SMS to a host server.

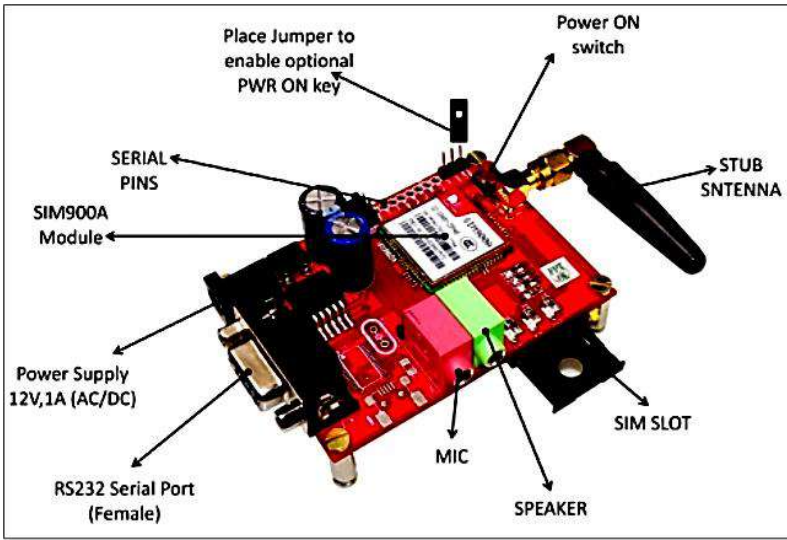


Fig 6: GSM Module

G. Limit switch



Fig 7: Limit Switch

A limit switch is a switch operated by the motion of a machine part or the presence of an object. A limit switch can be used for controlling machinery as part of a control system, as a safety interlock, or as a counter enumerating objects passing a point. Standardized limit switches are industrial control components manufactured with a variety of operator types, including lever, roller plunger, and whisker type. Limit switches may be directly mechanically operated by the motion of the operating lever. A reed switch may be used to indicate proximity of a magnet mounted on some moving part. Proximity switches operate by the disturbance of an electromagnetic field, by capacitance, or by sensing a magnetic field.

H. DC Water pump

A pump is a device that moves fluids (liquids or gases), or sometimes slurries, by mechanical action, typically converted from electrical energy into hydraulic energy. Pumps can be classified into three major groups according to the method they use to move the fluid: direct lift, displacement, and gravity pumps.

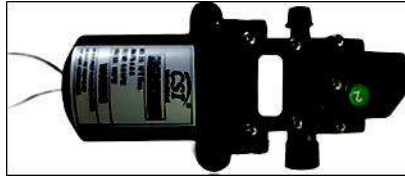


Fig 8: DC Gear Pump

The working principle of a water pump mainly depends upon the positive displacement principle as well as kinetic energy to push the water. These pumps use AC power otherwise DC power for energizing the motor of the water pump whereas others can be energized other kinds of drivers like gasoline engines otherwise diesel.

III. Block diagram

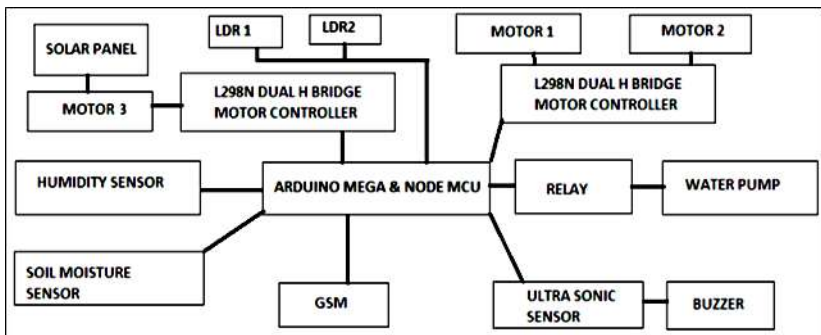


Fig 9: Block Diagram

It begins with the sensing of soil moisture value with help of soil moisture sensor by inserting in soil depending on the quality of the sensor, it must be inserted near the roots of the plant. The soil moisture sensor measures the conductivity of the soil, together with humidity sensor to measure the humidity of the area. When the output from the soil moisture sensor is high i.e. the moisture of the soil is less. Above details were can able to view in LCD display. If the wetness in soils is low, it will trigger the process with help of L298N dual H bridge motor controller to begin the motor to move to certain distance and then relay process will begins the water pump to watering the

field at particular area, it continues in loop until it reaches the limiting switch to off this process. Ultra Sonic sensor used to indicate if anyone is entering near the controller with help of buzzer. All the process will notified to the owner with help of GSM module to respective mobile number. In terms of energy for this entire process will provided by solar panel with automatic solar tracking system. With help of node MCU module we can able to control the entire process with help of wifi network In MIT App Inventor, the below link about detail process of mit app.

IV. Conclusion

The Microcontroller based drip irrigation system proves to be a real time feedback control system which monitors and controls all the activities of drip irrigation system efficiently. The present proposal is a model to modernize the agriculture industries at a mass scale with optimum expenditure. Using this system, one can save manpower, water to improve production and ultimately profit.

V. Future scope

Irrigation is a process of providing the desire amounts of water to the agricultural land. This process is very beneficial in minimizing runoffs or drought situations for the crop's cultivation. Due to alarming changes in the climate, farmers cannot rely on natural rainwater. Irrigation is important to yield good quality crops in the seasonable or non-seasonable period. For modern agriculture, a smart irrigation system is one of the best techniques that give more production in minimum duration. To many extend, this smart irrigation system is designed and fully automated to minimize manual handling in agriculture. And one of the good things is that it is very comfortable for users (or farmers) to understand the concept of IoT and sensors for smart irrigation.

VI. References

1. Kim Y, Evans RG. Software design for wireless sensor-based site-specific irrigation, *Comput. Electron. Agricult.* 2009 May;66(2):159-165.
2. Fisher DK, Kebede HA. A low-cost microcontroller-base system to monitor crop temperature and water status, *Comput. Electron. Agricult.* 2010 Oct;74(1):168-173.
3. Srikar K, Akhil M, Krishna Reddy V, Execution of Cloud Scheduling Algorithms *International Innovative Research Journal of Engineering and Technology.* 2017;02(04):108-111.

4. Kim Y, Jabro JD, Evans RG. Wireless lysimeters for realtime online soil water monitoring, *Irrigation Sci.* 2011 Sep;29(5):423-430.
5. Mirabella O, Brischetto M. A hybrid wired wireless networking infrastructure for greenhouse management, *IEEE Trans. Instrum. Meas.* 2011 Feb;60(2):398-407.
6. Yick J, Mukherjee B, Ghosal D. Wireless sensor network survey, *Comput. Netw.* Aug 2008;52(12):2292-2330.
7. Winkler M, Tuchs KD, Hughes K, Barclay G. Theoretical and practical aspects of military wireless sensor networks, *J Telecommun. Inf. Technol.* 2008 Apr-Jun;2:37-45.
8. Durisic MP, Tafa Z, Dimic G, Milutinovic V. A survey of military applications of wireless sensor networks, in *Proc. MECO*, 2012 Jun, 196-199.
9. Rodríguez-Sánchez MC, Borromeo S, Hernández-Tamames JA. Wireless sensor networks for conservation and monitoring cultural assets, *IEEE Sensors J.* 2011 Jun;11(6):1382-1389.
10. López G, Custodio V, Moreno JI. LOBIN: E-textile and wireless sensor network-based platform for healthcare monitoring in future hospital environments, *IEEE Trans. Inf. Technol. Biomed.* 2010 Nov;14(6):1446-1458.
11. Corchado JM, Bajo J, Tapia DI, Abraham A. Monitoring system for healthcare, *IEEE Trans. Inf. Technol. Biomed.* Mar 2013;14(2):234-240.

Chapter - 6
**Numerical Simulation of 2d Unsteady Free
Surface Flow using Finite Volume Schemes**

Authors

Khaled Korichi

Civil Engineering and Environment Laboratory, Djillali Liabes
University of Sidi Bel Abbas, Bp 89 Sidi Bel Abbas

Abdelkader Bemoussat

Civil Engineering and Environment Laboratory, Djillali Liabes
University of Sidi Bel Abbas, Bp 89 Sidi Bel Abbas

Noureddine Meref

Civil Engineering and Environment Laboratory, Djillali Liabes
University of Sidi Bel Abbas, Bp 89 Sidi Bel Abbas

Chapter - 6

Numerical Simulation of 2d Unsteady Free Surface Flow using Finite Volume Schemes

Khaled Korichi, Abdelkader Bemoussat and Nouredine Maref

Abstract

This work presents a comparison of numerical simulation of two-dimensional partial dambreak wave. It aims also to check the capacity of finite volume method to resolve the 2D shallow water equations which are used to model this typical unsteady free surface flow. Two shock capturing schemes make the subject of this study, first order Godunov scheme and high order slope limiter Van Leer scheme. The comparison of experimental measurements with both schemes shows a very good correlation. However, Van Leer scheme is more advantageous in terms of accuracy. The encouraging results encourage us to simulate real cases such as the propagation of a flood wave.

Keywords: Shallow water equations, partial dambreak, godunov, van leer, experimental measurement

Introduction

The problems related to free surface flows have been always a major field of investigation for scientists and researchers. In 1871 and for the first time Claude Barré de Saint-Venant had established the mathematical model which used to model unsteady, gradually and rapidly varied free surface flows in shallow environments (De Saint Venant, 1871). Because of its experimental validity and its numerical robustness, widely acknowledged, the model of Saint-Venant also known as shallow water equations, is used to simulate many natural phenomena whose prediction is today a major economic issue; such as the floods study, environmental protection (pollution), structures stability (dam failure), tides calculation, sediment transport... etc. Many river and sea problems associated with complex physics in which the experimental approach is difficult to envisage and should be treated by solving the 1D or 2D shallow water equations (Chaabelasri, 2011). Hence, the unprecedented popularity of its numerical solution in recent years thus raises new challenges (Bernardi, and Pironneau

1991). Control damage due to dam's failure represents a major challenge that needs an efficient prediction tools. Several studies focus on this topic (Liang and Borthwick 2008). Numerical simulations associated with shallow water equations can be established through various methods such as the characteristics method (Katopodes and Strelkoff, 1978), the finite difference method (Fennema and Chaudhry, 1990), the finite element method (Akanbi and Katopodes, 1988) and finite volume method (Yoon, 2004). In this work, the finite volume method is used to simulate unsteady flow free surface. Especially, we are interested in modeling the 2D partial dambreak wave on a flood basin. The finite volume method is based on the discretization of the partial differential equations from the integral form, thus dividing the field into elementary volumes (Leveque, 2004). Indeed, in this work we compare between two shock capturing schemes; Godunov first order scheme and Van Leer second order scheme. Experimental measurements are used to validate the numerical solutions.

Mathematical model

The shallow water model is a nonlinear and hyperbolic system of PDE. It can be written as:

$$\frac{\partial U}{\partial t} + \frac{\partial F}{\partial x} = S(U) \quad (1)$$

In which the vectors U , $F(U)$, $S(G)$ are respectively:

$$U = \begin{bmatrix} A \\ Q \end{bmatrix}, \quad F(U) = \begin{bmatrix} Q \\ \frac{Q^2}{A} \end{bmatrix} \quad \text{and} \quad S(U) = \begin{bmatrix} 0 \\ -gA \frac{\partial z}{\partial x} - g \frac{n^2 Q^2}{AR_h^{4/3}} \end{bmatrix} \quad (2)$$

U , F and S are respectively the vectors of conservative variables, flow and source terms that represent the friction and bathymetry effect. Q : discharge; A : cross section; z : water level; n : the Manning coefficient; R_h = hydraulic radius; ($R_h = A/P$) and P = the wetted perimeter.

Numerical method

In the finite volume method, the conservative variable is defined in the centers of each cell.

$$U_i^n \approx \frac{1}{\Delta x} \int_{C_i} U(x, t_n) dx \quad (3)$$

It thus represents the average value on each cell, while the flux is

calculated at each interface. Integrating equation (01) on the i th cell by one space step Δx_i , we obtain:

$$U_i^{n+1} = U_i^n - \frac{\Delta t}{\Delta x_i} \left[F_{i+1/2}^n - F_{i-1/2}^n \right] + \Delta t S_i \quad (4)$$

$F_{i+1/2}$ = flux in $(i+1/2)$ th interface. In the literature, there are several approaches to calculate the flux at the interface. We are interested in this work to the shock capturing schemes based on the Riemann problems which is defined as follows:

$$U(x, 0) = \begin{cases} U_l & \text{if } x < 0 \\ U_r & \text{if } x > 0 \end{cases} \quad (5)$$

The equation [4] represents the formulation of Godunov's scheme [15] which is based on piecewise constant function. However, this first order scheme has significant discontinuities in the cells interfaces. To reduce the discontinuities, several researchers have thought to add slope limiters in which the conservative variable is approximated to a piecewise linear function [16]. The literature provides many second order schemes based on this approach but we focuses in this work on Van Leer scheme. This choice is justified by the Korichi's works (Korichi, 2006). The limiter function takes the following formulation:

$$\Phi(\theta) = \frac{\theta + |\theta|}{1 + \theta} \quad (6)$$

For the source term treatment, we applied the fractional step method. The boundary conditions are treated by the introduction two ghost cells in the computation domain. In these cells, the conservative variable and its gradient are given. In the case of subcritical flow, the discharge is often defined in the ghost cell and the water depth is estimated by extrapolating the values of two adjacent inner cells. While for supercritical flow, both discharge and depth are determined in the ghost cell but the gradient is zero. This approach is sufficient for the finite volume method (Sanders, 2001). One checks the flow regime by the Froude number. During this work, the Geoclaw code (Berger *et al.*, 2011) is adapted to our numerical test.

Numerical Test and Results

Toro and Fraccarollo (Fraccarollo and Toro, 1996) have performed a reduced model which provides the experimental results. It is a field consisting of a tank and a flood area (see Figure 1) in which gauging points are conventionally installed (see Table 1). The reservoir is 1 m length and 2m in width, whereas the flood basin is 3m long and 2m wide. All boundaries of flood basin are open. The partial rupture is 0.4m at the middle of the dam. One assumes that the channel bed is horizontal and frictionless. The upstream water depth is 0.6m, while the flood basin is initially dry. The domain is discretized into 100×100 mesh.

Figure (2) shows comparison between calculated water depths and the observed measurements after 10 seconds of the partial dambreak. It appears that the simulated depths are well correlated with experimental measurements. For the various gauging points, the evolution of water depth is fairly well described for both numerical schemes, with a slight advantage on the part of Van Leer second order scheme.

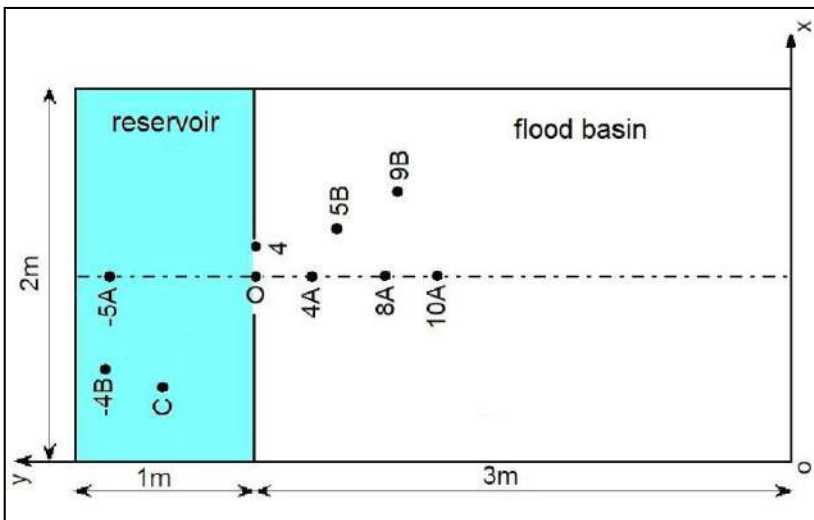
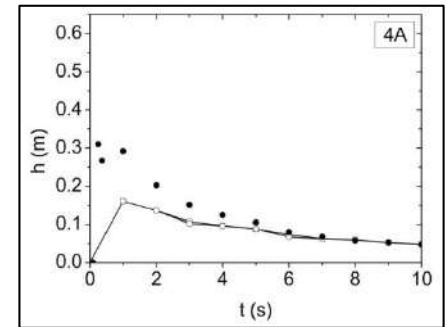
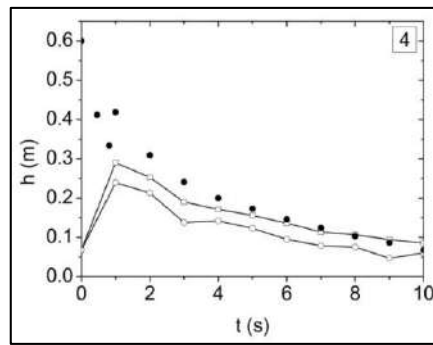
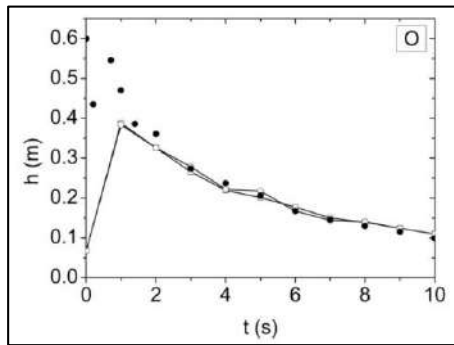
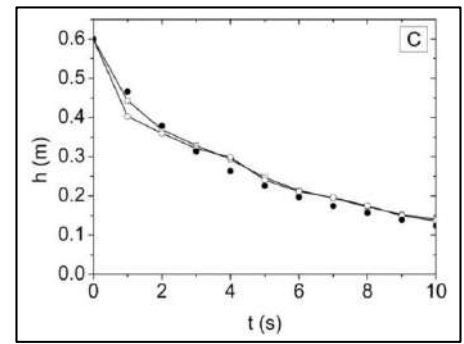
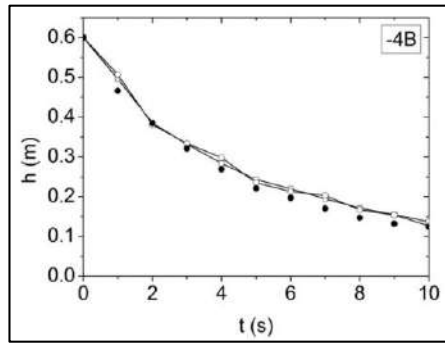
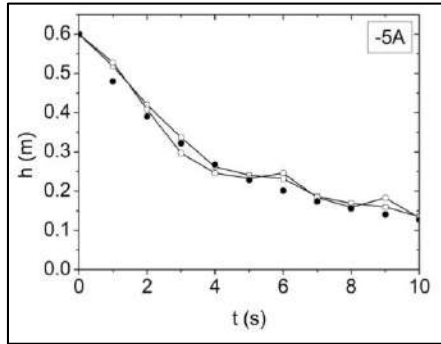


Fig 1: The sketch of the reduced model

Table 1: Coordinates of gauging points

Points	-5A	-4B	C	O	4	4A	8A	10A	5B
X	0.18	0.15	0.48	1	1	1.32	1.72	2.02	1.45
Y	1	0.5	0.4	1	1.16	1	1	1	1.25



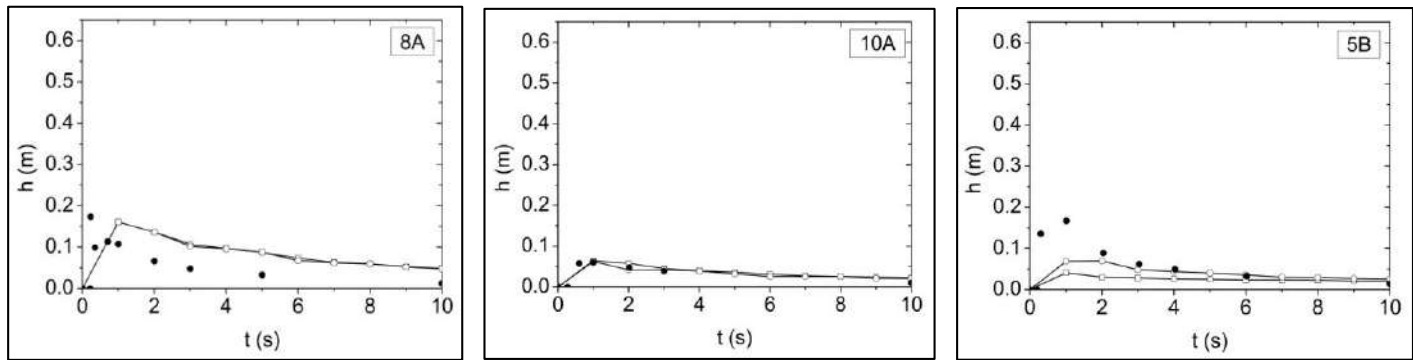


Fig 2: Comparison between experimental data and numerical results, 10 s after the partial dambreak. -□- Godunov; -o- Van Leer; • Observed.

Summary and Conclusion

Free surface flows modeling is a rich and topical research subject. The prediction of the partial dam break waves over a flood basin was carried by a numerical simulation. This simulation was done using the two-dimensional simplified shallow water model which is a non-linear and hyperbolic system of PDE. First-order Godunov scheme and second-order Van Leers scheme, based on the resolution of the Riemann problem, have been applied.

The analysis of experimental observations and the numerical results shows a very good correlation. However one notes that Godunov scheme diffuse at the discontinuities. On the other hand, Van Leer scheme is more advantageous regarding the accuracy. The last scheme will be the subject of further study of real cases such as flood wave modeling.

References

1. Akanbi AA, Katopodes ND. Model for flood propagation on initial dry land. *J Hydraul. Eng. ASCE*. 1988;114(7):689-706.
2. Berger MJ, George DL, Leveque RJ, Mandli KT. The Geoclaw software for depth-averaged flows with adaptive refinement. *Advances in Water Resources*. 2011;34:1195-1206.
3. Bernardi C, Pironneau O. On the shallow water equations at low Reynolds number. *j.commun. in partial differential equations*. *SIAM J Math. Anal.* 1991;16:59-104.
4. Chaabelasri El. Contribution à la modélisation des écoulements en eaux peu profondes, avec transport de polluant (Application à la baie de Tanger). Thèse de doctorat Université Mohamed Premier, 2011.
5. De Saint-Venant AJC. Théorie du mouvement non-permanent des eaux, avec application aux crues des rivières et à l'introduction des marées dans leur lit. *C.R. Acad. Sc.* 1871;3:147-154.
6. Fennema RT, Chaudhry MH. Explicit methods for 2d transient free-surface flow. *J Hydraul. Eng. ASCE*. 1990;116(11):1013-1014.
7. Fraccarollo L, Toro E. Experimental and numerical assessment of the shallow water model for two-dimensional dam-break type problems. *Journal of hydraulic research*. 1996;33(6):843-864.
8. Katopodes ND, Strelkoff T. Computing two-dimensional dam-break flood waves. *Journal of Hydraulic Division*. 1978;104(9):1269-1288.

9. Korichi Kh. Application de la méthode des volumes finis pour la simulation numérique des écoulements à surface libre. Mémoire de magister Université de Mascara, 2006.
10. Leveque RJ. Finite volume method for hyperbolic problems. Cambridge University Press, 2004, 580.
11. Liang Q, Borthwick AGL. Adaptive quadtree simulation of shallow flows with wet-dry fronts over complex topography. Computers & Fluids. 2008;38:221-234.
12. Sanders BF. High-Resolution and Non-Oscillatory Solution of the St. Venant Equations in NonRectangular and Non-prismatic Channels. Journal of Hydraulic Research. 2001;39(3):321-330.
13. Yoon TH, Kang SK. Finite volume method for two-dimensional shallow water flows on unstructured grids. J Hydraul. Eng. ASCE. 2004;130(7):678-88.

Chapter - 7
The Impact of Learning on EFL Classrooms

Authors

Bhuvaneshwari Devi

Assistant Professor of English, Guru Nanak University,
Hyderabad, Telangana, India

Dr. M. Maheswaran

Assistant Professor of English, Guru Nanak University,
Hyderabad, Telangana, India

Chapter - 7

The Impact of Learning on EFL Classrooms

Bhuvaneshwari Devi and Dr. M. Maheswaran

Abstract

Learning enhances humans' knowledge enormously and it also develops critical thinking. Humans' thirst for knowledge makes them intellectual as well as creative personalities. With the desire to retrieve knowledge from other sources lead them to establish themselves well in the society. According to the modern concept, knowledge sharing has got many benefits. This knowledge sharing is possible when people work in groups or teams. The concept of knowledge sharing has become a new trend in the field of education. Most of the teachers are implementing this technique in their classrooms as it encourages the learners to work in groups. In English language teaching also, this concept of knowledge sharing is introduced in the form of team work or group work. As the main concept of collaborative learning is to work in groups or pairs to perform a task easily and with mutual cooperation, learning takes place in a congenial and friendly atmosphere. Through this concept, the learners are encouraged to work in groups or pairs to find solution to a problem. In this process, the learners share their ideas and thoughts with the members of their group and try to learn new things from each another. As knowledge sharing is the main concept of collaborative learning, the learners' knowledge.

This paper throws a light on the impact of collaborative learning on the learners. Therefore, this paper lays emphasis on the effect of collaborative learning that takes place in the form of pair or group work in the EFL/ESL classrooms.

Keywords: Classrooms, groupwork, knowledge sharing, pair work, strategies, teachers

Introduction

In this globalization era, there has been great increase in knowledge and information on everything day by day. Learning is an important element that develops humans' knowledge. Learning is a continuous process and it starts

from birth and it stops at death itself. Inbetween, there should not be any gap for learning. Albert Einstein says, "Intellectual growth should commence at birth and cease only at death". When you stop learning, you start dying. Hence, it is understood that humans learn new things day by day through learning. Eager and endless learning leads humans to achieve the most of the important and valuable things in their lives. It is a fact that lifetime learning keeps humans' mind and body in good shape. When learners learn the things with utmost interest, they will be stronger in emotional, social, physical and financial matters.

In the process of motivating the learners in the classrooms, teachers apply different teaching techniques and strategies. To make their classes lively and attractive, teachers try to apply innovative teaching techniques and skills. As a result, the modern learners concentrate more on their lessons and learn them effectively in a welcoming milieu. When the teachers implement new trends in teaching and learning, the learners feel satisfied with the teachers' teaching and involve more in the learning process and participate actively in the classroom discussions.

The importance of pair work or groupwork

As students perform, he tasks in an active and effective environment, the English teachers have to introduce such activities that involve the students to work in groups using collaborative learning approach. As the main concept of collaborative learning is to involve the learners to perform tasks in groups to develop the English language learners' knowledge as well as critical thinking, the ELT teachers have to apply this collaborative learning approach in the English classrooms. The knowledge that the learners get from their schools is formal knowledge that is useful for learning how to learn. Whereas, practical knowledge is otherwise called applicable knowledge where the learners choose something to learn that interests them. Learners attain formal knowledge from their teachers and practical knowledge from the other people. Practical knowledge influences learners a lot and the learners will be in a position to think differently with a lot of new thoughts and ideas. As the main concept of collaborative learning is sharing knowledge and knowing new things from the other group members, the learners of the group gain a lot from one another. A lot of variation is found when the learners do the tasks individually and when do the same tasks in groups. So the knowledge that the learners get here is through practice and it will be their practical knowledge and it will retain for a long time in their minds.

Advantages of group work

As the work is done collaboratively by the group, they stay on the task until they finish doing it. Furthermore, they also argue when needed, but they use quiet voices. Since the task is done in a learner-friendly environment, all the learners of the group participate actively to accomplish the task. Finally, all the members stay on the same group as they are mingled friendly with one another.

There has been growing harmony in pedagogy throughout the world about the prerequisite of shifting from the traditional and old-fashioned teacher-centered approach to the latest and novel way of teaching, i.e., learner-centered approach. When the teaching and learning process continues in learner-centered approach, the learning takes place in a friendly and congenial environment. Moreover, the modern learners are vexed with the traditional lecturing method and they show more attention towards working in groups. The learners find it interesting doing the work in groups by sharing their thoughts and ideas with each other and contribute something to the given tasks. When the learners are divided into groups or pairs to perform tasks in the classrooms, they are highly motivated and participate in the tasks very actively.

Importance of collaborative learning

As the main concept of collaborative learning is to work in pairs or groups to perform the given tasks, the teachers are now-a-days implementing it in their regular classrooms and getting better results. When the learners work in pairs or groups, they also actively participate in their work and try to achieve better results. This will be a successful and lovely method when the work is done with collaboration of ideas and thoughts of the group members. Moreover, the members should be given complete freedom and responsibility in doing the tasks. In the process of collaborative learning, the learners are grouped or paired together to perform the given task. In performing this task, each and every member of the group is responsible for his own learning and at the same time, they are also responsible for the other members' performance also. Therefore, role of the learners in each other's learning is vital here as they share responsibilities of working together. In this regard, Gokhale (1995) aptly says, "The success of one student helps other student helps other students to be successful". Therefore, the learners get a chance to converse with their group members, present their ideas and defend them, discuss different views and opinions, demand other conceptual frameworks and involve very actively in the ongoing learning process. Hence, it is understood that the main goal of collaborative learning is a shift from teacher-centered to learner-centered

approach where the learners learn by discussing and working with the group members.

Impact of collaborative learning on EFL/ESL classrooms

In the twenty-first century classrooms, collaborative learning has become a prevalent teaching approach in EFL/ESL classrooms. So, most of the English teachers, linguists, curriculum designers and researchers put their emphasis on collaborative learning to get better results. As the concept of collaborative learning approach supports mainly the pragmatic approach where the learners have to perform the work on their own, it yields very good results for both EFL/ESL teachers as well as learners. Learners by observing their group members' performance in doing the tasks or projects, learn more things from them and try to contribute a lot for the getting fruitful results within the stipulated time.

It is also found that girls were ignored in a majority of male groups. In most of the girl groups, they mainly inclined to direct questions to the boys who generally ignored them. The teachers sometimes desire to form equality of gender as a standard. Even if it looks apparent, sometimes it seems missing. If teachers want to discuss it with older students, it becomes an issue. When the teachers understand that the learners start understanding the concepts taught in the classrooms, they generally try to reduce their responsibility or scaffolding. Here the teachers serve as facilitators just by gauging the groups' interactions and allowing the groups to develop their duty as time passes. It allows teams to develop their own products or topics in their classrooms as their goal of collaborative learning is increased responsibility over learning. Studies on collaborative learning suggest that when the study focuses on challenging questions and a higher level of cognitive thinking is produced by rich contexts. Hence, the English language teachers should give the assignments like study groups, problem-solving, project writing, collaborative writing, laboratory work and debating in their EFL/ESL classrooms. Since collaborative learning is very much useful in the classrooms to increase the learners' knowledge and develop their critical thinking, now-a-days it is widely used in most of the classrooms, especially, in their EFL/ESL classrooms. Most of the English language teachers are adopting collaborative learning approach in their EFL/ESL classrooms to involve the learners, busy in their work and to get successful results from it.

Benefits of collaborative learning in EFL/ESL classrooms

- It inculcates learners how the real success is attained in pairs and groups.

- It establishes a strong bond among the group members.
- It creates learner-centered atmosphere to complete the tasks without any stress.

Learners enjoy doing their work independently in groups by sharing their ideas with each other and every learner contributes something for the successful completion of the given task. Moreover, the learners participate with a lot of enthusiasm and encouragement as the tasks are performed with the coordination of the group members. As the teachers play the role of helpers or facilitators or directors, the learners perform their tasks in a learner-friendly atmosphere. Furthermore, the learners also develop critical thinking and habituate social relationship with the members of the society. Since collaborative learning has several benefits for the learners, let us examine how they are benefited for the learners in EFL/ESL classrooms.

Ideal size of EFL/ESL groups in collaborative learning

There are many factors that influence the size of the group such as the EFL/ESL learners' age group, their working experience in groups, their learning styles, the material available for the time given to finish the activity. The teachers should initially involve the learners in pairs to perform the tasks until they get good progress in working together. Once the learners gain some experience in doing the tasks successfully in groups, then the teachers have to increase the size of the groups to three, then four and perhaps five also. There is a possibility for more interactions when there are more learners in the group. It also gives more challenging to the learners and even in terms of contributions also, the larger group of learners also gives greater contributions to their task.

Motivation of learners towards Collaborative Learning in EFL/ESL classrooms

There is no doubt that teachers are considered as one of the main pillars of teaching-learning process and their role in collaborative learning is also very crucial. Even if the learners work together on a common task in collaborative learning, the role of the teachers is important to instruct, advice, guide, help, control, coach, motivate and evaluate in the progress of their learners in EFL/ESL classrooms. The main aspect of implementing collaborative learning in EFL/ESL classrooms is to advance the learners' foreign language skills and to promote their communication skills. According to national clearing house for English Language Acquisition (2011), "More than one half of states have experienced a growth rate of over 100% in their English language learners' numbers". It is crystal clear that there is a huge increase in the total number of ESL/EFL learners and it happens not only in

the US but also all around the world. The English language teachers have to implement various strategies and techniques in order to motivate these EFL/ESL learners towards learning English. For this purpose, the English teachers have to implement certain techniques that involve the learners in pairs or groups to perform certain tasks in the classroom. Through this approach, learners involve greatly in the given tasks and try to attain the goals with mutual cooperation from the members of the group. Since collaborative learning happens by involving learners in groups, the learners find it interesting and complete the given tasks with utmost care by devoting more time in the tasks. As the learners discuss with the other members of their group to resolve the problems, they also develop critical thinking and cultivate social relationships with the members of the group. Moreover, the teachers should adopt some useful and innovative tasks that create more interest among the learners. As a result, the learners perform the given tasks with utmost interest and lots of enthusiasm. Furthermore, the learners also devote more time to the tasks when they find the tasks are more interesting to them. So, the level of concentration also increases and they also try to develop self-learning. While implementing collaborative learning approach in the EFL/ESL classrooms, the English language teachers have to implement activities such as pair and group activities, think-pair-share, group projects, role-plays, case-based learning, classroom problems, Phillips 66, jigsaw activities and so on. Furthermore, the learners' learning process has to be taken into account by the teachers as part of their evaluation process. Moreover, the learners enhance their social contacts and communications through jigsaw strategy. With this type of collaborative activity, the EFL/ESL learners get the opportunity to become more expertise on the topic given to them. It is time to bring to notice that the University of Iowa also debates on some more strategies like fish bowl debates, round robin, using clusters, buzz groups or learning cells. As collaborative learning has got many advantages, the English teachers have to concentrate more on group activities to promote active learning among their learners in the EFL/ESL classrooms. Furthermore, the learners should follow the instructions of the teachers carefully while doing the tasks. With a proper coordination of the group members and an ounce of hard work, the most difficult tasks also become easier for them to achieve good results.

Conclusion

In this paper, an attempt has been made to emphasize the impact of collaborative learning on EFL/ESL classrooms. It has also focused on the importance of group and pair work and also the importance of collaborative learning. Moreover, the main emphasis has been laid on the impact of

collaborative learning in EFL/ESL classrooms. Furthermore, the benefits of collaborative learning have been discussed in detail including illustrations. Then the ideal size of groups for perfect implementation of collaborative learning in EFL/ESL classrooms has been elaborated. This paper has also highlighted how to motivate learners towards collaborative learning in EFL/ESL classrooms. Finally, some useful suggestions are given to the teachers and learners to make collaborative learning more effective in the EFL/ESL classrooms.

Since collaborative learning takes place in the classrooms in the form of group work, the entire group members put their ideas together to complete the given tasks. As the whole process of doing the tasks is done in a friendly and fun-filled environment, the EFL/ESL learners are highly motivated towards the given tasks. Moreover, the learners participate very actively in the tasks with more enthusiasm and each member of the group contributes a lot in accomplishing the work given by their teachers in EFL/ESL classrooms.

The teachers have to think of various activities that are suitable to the needs and interests of the learners so that they involve actively in the given tasks with their pairs or groups in EFL/ESL classrooms. Moreover, the teachers have to monitor the work done by the students from time to time and also assist or guide them when they need any kind of help or support to complete the tasks given to them.

References

1. Akindele DF, Trennepohl B. Breaking the Culture of Silence: A Pragmatic Approach to Teaching Writing and Speaking Skills in an ESL Context. *Language, Culture and Curriculum*, 2007, 22(2).
2. Barron C. Problem-solving and EAP: themes and issues in a Collaborative Teaching Ventures. In *English for Specific Purposes*. 2002;22:297-314.
3. Bayer AS. Collaborative-apprenticeship learning: Language and thinking across the curriculum, K-12. Mountain View, Calif: Mayfield Pub. Co., 1990.
4. Beebe SA, Masterson JT. *Communicating in small groups*. Pearson Education Inc. Boston: Massachusetts, 2003.
5. Bonwell CC, Eison JA. *Active learning: Creating excitement in the classroom*. (ASHE-ERIC Higher Education Report No. 1). Washington, DC, 1991.

6. Bransford J. National Research Council (U.S.). and National Research Council (U.S.). How people learn: Brain, mind, experience, and school. Washington, D.C: National Academy Press, 2000.
7. Cooper J, Prescott S, Cook L, Smith L, Mueck R, Cuseo J. Cooperative learning and college instruction: Effective use of student learning teams. Long Beach, CA: California State University Foundation, 1990.
8. Cooper J, Robinson P. Small group instruction in science, mathematics, engineering and technology. *Journal of College Science Teaching*. 1998;27:383.
9. Dornyei Z. *Motivational Strategies in the Language Classroom*. Cambridge, UK: Cambridge University Press, 2001.

Chapter - 8
**Green Pharmacy: The Growing Role of Herbal
Medicine Global Wellness**

Authors

Dilsar Gohil

Department of Pharmacy, Sumandeep Vidyapeeth Deemed to
be University, Piparia, Vadodara, Gujarat, India

Varunsingh Saggu

Department of Pharmacy, Sumandeep Vidyapeeth Deemed to
be University, Piparia, Vadodara, Gujarat, India

Cyril Sajan

Department of Pharmacy, Sumandeep Vidyapeeth Deemed to
be University, Piparia, Vadodara, Gujarat, India

Rajesh Maheshwari

Department of Pharmacy, Sumandeep Vidyapeeth Deemed to
be University, Piparia, Vadodara, Gujarat, India

Chapter - 8

Green Pharmacy: The Growing Role of Herbal Medicine Global Wellness

Dilsar Gohil, Varunsingh Saggu, Cyril Sajan and Rajesh Maheshwari

Abstract

Herbal remedies play a crucial role in the increasing prominence of alternative medicine. In the contemporary world, the popularity of herbal medicine is on the rise as individuals actively seek natural solutions. Dating back to ancient civilizations, herbal medicines have been employed for both maintaining overall well-being and treating diverse ailments. With the pharmaceutical market expanding rapidly, there is a pressing need to harness and scientifically validate a greater array of medicinally beneficial herbal products. This article offers an overview of herbal medicines, aiming to elucidate their therapeutic effectiveness, potential adverse reactions, interactions with other drugs, standardization, stability testing, Pharmacovigilance and the regulatory status governing these herbal remedies.

Keywords: Herbal medicines, standardization, stability testing, efficacy

Introduction

Herbal medicine, also known as botanical medicine or herbalism, utilizes plants or plant components for the treatment of injuries or illnesses ^[1]. It involves the study or application of medicinal herbs to prevent, treat, or promote health and healing ^[2]. Herbal medicines, comprising drugs or preparations derived from plants, represent the oldest known form of healthcare ^[3]. Various herbal products claim to address symptoms ranging from depression to colds and flu. The World Health Organization (WHO) defines herbal medicines as labeled medicinal products containing active ingredients from plants, their aerial or underground parts, or other plant material, with specific guidelines for assessing their safety, efficacy, and quality. Presently, approximately 80% of the global population relies on herbal medicine for primary healthcare ^[4]. In certain countries, herbal medicines may include traditionally used natural organic or inorganic active ingredients not of plant origin. Herbal medicine is integral to traditional

medicine and features prominently in systems like ayurvedic, homeopathic, naturopathic, and others ^[5]. Often perceived as harmless due to their natural origins, herbal medicines have gained popularity, especially in response to the toxicity and side effects associated with allopathic medicines, leading to a surge in herbal drug manufacturers. Over the past few decades, herbal medicines have been increasingly used without prescriptions. Herbal medicine encompasses the use of seeds, leaves, stems, bark, roots, flowers, and extracts for various purposes. These formulations have gained widespread acceptance for their therapeutic roles, such as antimicrobial, antidiabetic, antifertility, antiaging, antiarthritic, sedative, antidepressant, antianxiety, antispasmodic, analgesic, anti-inflammatory, anti-HIV, vasodilatory, and hepatoprotective properties. They find applications in treating conditions like cirrhosis, asthma, acne, impotence, menopause, migraine, gallstones, chronic fatigue, Alzheimer's disease and memory enhancement ^[6]. The documented history of herbal medicines spans almost 4000 years, subjected to real-world testing and human trials. Some medicines have been discontinued due to toxicity, while others have been adapted or combined with additional herbs to mitigate side effects. The uses of many herbs continue to evolve as ongoing studies explore their potential applications ^[7].

Usage and Preparation

Correct usage of herbal medicines offers a safe and effective treatment for various ailments. The efficacy of these medicines is largely subjective, dependent on the individual patient ^[8]. The potency of herbal medicines is influenced by factors such as genetic variation in the herbs, the conditions under which they are grown, the timing and method of harvesting, exposure to air, light, and moisture, as well as the preservation methods employed. Some plants used in herbal medicines are cultivated and processed locally, while others are sourced globally. The raw materials for herbal drugs may be derived from carefully cultivated plants or collected from the wild ^[9]. Herbal medicines are available in various forms and often require preparation before use. They can be obtained in bulk as dried plants, plant parts, or loosely packed for herbal teas and decoctions. Herbal teas are prepared by steeping a specific amount of herb in either cold or hot water for a designated period. Decoctions involve boiling the herb in water and then straining out the plant material. Additionally, more concentrated forms of herbal medicines, such as hydroalcoholic tinctures and fluid extracts, are also available. The methods of preparation may vary based on the nature of the plant's active chemical constituents ^[10].

Analgesic properties

The extracts derived from *Bougainvillea spectabilis*, *Chelidonium majus*, *Ficus glomerata*, *Dalbergia lanceolaria*, *Glaucium grandiflorum*, *Glaucium paucilobum*, *Nepeta italic*, *Polyalthia longifolia*, *Sida acuta*, *Stylosanthes fruticosa*, *Toona ciliate*, *Zataria multiflora*, and *Zingiber zerumbet* are utilized for their analgesic effects.

Anti-inflammatory properties

The extracts obtained from *Achillea millefolium*, *Artemisia vulgaris*, *Bauhinia tarapotensis*, *Curcuma longa*, *Forsythia suspense*, *Houttuynia cordata*, *Glycyrrhiza uralensis*, *Lonicera japonica*, *Ruta graveolens*, *Securidaca longipedunculata* and *Valeriana wallichii* have demonstrated anti-inflammatory activity ^[11].

Diabetes mellitus treatment

Since ancient times, people have turned to herbal plants as home remedies for addressing diabetes ^[12]. Various herbal plants exhibiting antidiabetic properties include *Abroma augusta*, *Acacia melanoxylon*, *Acacia modesta*, *Acacia nilotica*, *Aconitum ferox*, *Adhatoda vasika*, *Adiantum capillus*, *Adiantum incisum*, *Agrimonia eupatoria*, *Allium sativum*, *Aloe barbadensis*, *Althaea officinalis*, *Apium graveolens*, *Arctium lappa*, *Commiphora abyssinica*, *Embilica officinalis*, *Eucalyptus globules*, *Ginseng panax*, *Gymnema sylvestre*, *Inula helenium*, *Juniperus communis*, *Medicago sativa*, *Nigella sativa*, *Orthosiphon stamineus*, *Panax quinquefolius*, *Polygala senega*, *Plantago ovata*, *Punica granatum*, *Salvia officinalis*, *Scoparia dulcis*, *Tanacetum vulgare*, *Taraxacum officinale*, *Tecoma stans*, *Trifolium alexandrinum*, *Trigonella foenum*, *Turnera diffusa*, *Urtica dioica*, *Xanthium strumarium*, *Zea mays* and *Zingiber officinale* ^[13-16].

Cancer treatment

Continuous research focuses on medicinal plant products with anticancer properties, aiming to develop drugs for various human tumor treatments. Medicinal plants utilized for skin cancer treatment include *Acalypha fruticosa*, *Alangium lamarki*, *Catharanthus roseus*, *Celastrus paniculatus*, *Embelia ribes*, *Ficus glomerata*, *Ficus racemosa*, *Ocimum basilicum*, *Plumbago zeylanica*, *Terminalia chebula*, *Tylophora indica*, and *Wrightia tinctoria*. For breast cancer treatment, extracts from *Buthus martensi*, *Colla cornu*, *Herba epimedii*, *Fructus lycii*, *Radix angelicae*, *Radix bupleuri*, *Rhizoma corydalis*, *Rhizoma curculiginis*, *Radix paeoniae*, *Radix glycyrrhizae*, *Scolopendra subspinipes*, *Squama manitis*, and *Tuber curcumae* are employed. Herbal

medicines for pancreatic cancer treatment include *Emblica officinalis*, *Nigella sativa*, and *Terminalia bellerica* ^[17].

Depression treatment

Amid various treatment options, herbal treatment is preferred for its non-toxic and intrinsic healing properties. Numerous nutritional and herbal supplements show promise as alternative treatments for depression. Several plants with potential functions in treating depression include *Bacopa monnieri*, *Panax quinquefolius*, *Piper methysticum*, *Rhodiola rosea*, and *Valeriana officinalis*. St. John's wort, scientifically known as *Hypericum perforatum*, is widely recognized today as an herbal treatment for depression ^[18].

Psoriasis treatment

Various natural proprietary formulas and preparations containing botanical agents have been employed to alleviate symptoms in psoriasis. Herbal remedies for psoriasis include turmeric, curcumin, shark cartilage extract, oregano oil, and milk thistle. Additionally, antimicrobial agents such as *Azadirachta indica*, *Calendula officinalis*, *Cassia tora*, and *Wrightia tinctoria* have been utilized in managing psoriasis ^[19].

Dental diseases treatment

Plants possessing dental care properties contribute significantly to oral health. Examples of such plants are *Acacia catechu*, *Acacia arabica*, *Althea officinalis*, *Anacyclus pyrethrum*, *Azadirachta indica*, *Barleria prionitis*, *Cinnamomum camphora*, *Cuminum cyminum*, *Eucalyptus globules*, *Gardenia gummifera*, *Holarrhena antidysenterica*, *Jasminum grandiflorum*, *Juglans regia*, *Mimusops elengi*, *Myrica sapida*, *Myroxylon balsamum*, *Ochrocarpus longifolius*, *Ocimum sanctum*, *Origanum vulgare*, *Piper longum*, *Piper nigrum*, *Pistacia lentiscus*, *Pterocarpus marsupium*, *Punica granatum*, *Salvadora persica*, *Salvia officinalis*, *Solanum xanthocarpum*, *Symplocos racemosa*, *Syzygium aromaticum*, *Thalictrum foliolosum*, and *Zanthoxylum alatum*. These regimens play a significant role in suppressing dental problems ^[20].

Vitiligo treatment

Antivitiligo oil, a herbal remedy containing potent herbs produced through traditional methods, constitutes a complete traditional herbal formulation for vitiligo treatment. Plants that can be used in the treatment of vitiligo include *Acorus calamus*, *Adiantum capillus*, *Boswellia serrata*, *Cassia angustifolia*, *Cassia tora*, *Cinnamomum cassia*, *Fumaria officinalis*,

Glycyrrhiza glabra, *Lavandula stoechas*, *Psoralea cordyfolia*, *Pterocarpus santalinus*, *Rosa damascene*, *Sphaeranthus indicus*, *Tephrosia purpuria*, *Vitis vinifera*, *Zingiber officinale*, and *Zizyphus sativa* ^[21].

Treatment of aging

Cell membranes face heightened vulnerability to free radical attacks. Nucleus damage compromises the cell's ability to replicate, leading to weakened immune systems, skin aging, and various age-related disorders. Antioxidants play a crucial role in deactivating free radicals and preventing cellular oxidation. Effective antioxidants include pine bark extract, grape seed extract, and blueberries, successfully countering the aggression of free radicals. Commonly used herbs with anti-aging properties encompass *Allium sativum*, *Arnica montana*, *Cucumis sativum*, *Curcuma longa*, *Ficus bengalensis*, *Lycium barbarum*, *Ocimum sanctum*, *Panax ginseng*, *Prunus amygdalus*, *Santalum album*, *Rosa damascene* and *Withania somnifera* ^[22].

Treatment of fertility

Plant products have captured the interest of scientists as a primary source of naturally occurring fertility-regulating agents due to their minimal or negligible side effects. Plants reported to possess antifertility activity include *Amaranthus retroflexus*, *Artabotrys odoratissimus*, *Barberis vulgaris*, *Carica papaya*, *Dieffenbachia seguine*, *Evodia rutacapra*, *Fatsia horrid*, *Ferula assafoetida*, *Hibiscus rosasinensis*, *Lonicera ciliosa*, *Magnolia virginiana*, *Mardenia cundurango*, *Pisum sativum*, *Podophyllum peltatum*, *Punica granatum*, *Raphanus sativus*, *Rehmannia glutinosa*, *Semecarpus anacardium*, *Sesbania sesban*, *Stemona japonica*, *Thuja occidentalis*, *Taxus baccata*, and *Verbena officinalis* ^[23].

Adverse drug reactions

Herbal remedies are not entirely exempt from adverse drug reactions. Some common adverse reactions associated with widely used herbs include spontaneous bleeding with *Ginkgo biloba*, gastrointestinal disturbances, allergic reactions, fatigue, dizziness, and photosensitivity with St. John's Wort, hypertension, cardiac arrhythmias, myocardial infarction, and anxiety with ephedrine, headaches with Paprika, diarrhea with Chaste tree fruit, and liver toxicity with *Piper methysticum*.

Drug interactions

Individuals taking medications with a narrow therapeutic index, such as cyclosporine, digoxin, phenytoin, procainamide, theophylline, warfarin, etc. Should be advised against the concurrent use of herbal products. The

combination of herbal products with drugs having a narrow therapeutic index can either amplify adverse effects or reduce their effectiveness. For instance, Ginkgo, used for Alzheimer's disease, can lead to increased bleeding when taken with aspirin. Ginseng, with its diverse applications, may exhibit synergistic effects with monoamine oxidase inhibitors. Kava, employed as an anxiolytic, may show synergism with benzodiazepines. St. John's Wort, utilized as an antidepressant, can result in reduced plasma levels of warfarin, cyclosporine, oral contraceptives, theophylline, etc. Traditional medicines, while permitting the use of heavy metals in specific concentrations as indicated by ancient physicians, have seen instances of toxicity caused by lead, copper, mercury, arsenic, silver, and gold commonly added to these preparations. Indiscriminate use of herbal drugs alongside modern medicines poses risks of drug interactions and an increased likelihood of adverse drug reactions [24].

Stability testing of herbal medicines

The stability testing of herbal medicines presents a complex challenge as the entire herb or herbal product is considered the active substance, regardless of the presence of constituents with defined therapeutic activity. The primary goal of stability testing is to gather evidence regarding how the quality of herbal products changes over time due to environmental factors such as temperature, light, oxygen, moisture, other ingredients or excipients in the dosage form, drug particle size, microbial contamination, trace metal contamination, and leaching from the container. Additionally, the objective is to establish recommended storage conditions and determine the product's shelf-life. Stability testing is crucial to ensure that the product maintains acceptable quality throughout its entire storage period.

To conduct stability studies, at least three production batches of herbal products are typically evaluated for the proposed shelf-life, known as long-term stability, under natural atmospheric conditions. Stability data can also be generated under accelerated atmospheric conditions involving temperature, humidity, and light, referred to as short-term stability. The data obtained in this manner is used to predict the shelf-life of the product. It is essential to conduct stability testing on the dosage form packaged in the proposed container closure system for marketing. Utilizing modern analytical techniques such as spectrophotometry, HPLC (High-Performance Liquid Chromatography), HPTLC (High-Performance Thin-Layer Chromatography) and adhering to proper guidelines enable the generation of robust stability data for herbal products. This information aids in forecasting their shelf-life, contributing to the enhanced global acceptability of herbal products [25].

Pharmacovigilance of herbal medicines

Pharmacovigilance, a term originating from French, refers to the identification, documentation, reporting, and regulatory decision-making regarding the side effects of drugs. It is a well-established science in developed countries. Pharmacovigilance involves the collection, monitoring, research, assessment, and evaluation of information from healthcare providers and patients regarding the adverse effects of medications, biological products, herbal medicines, and traditional medicines. This discipline encompasses the detection, evaluation, and prevention of undesirable effects of medicines. It includes ongoing monitoring of drug safety, identification of adverse drug reactions in humans, and assessing the risk-benefit ratio. Safety and efficacy are critical concerns for any drug. While efficacy can be relatively easily determined, the same cannot be said for safety, as adverse effects may be uncommon but severe. This led to the emergence of pharmacovigilance as a new branch of pharmacology. The goals of pharmacovigilance are to protect patients from unnecessary harm by identifying previously unrecognized drug hazards, elucidating predisposing factors, and quantifying risk in relation to benefits. The primary purpose is to detect, assess, understand, and prevent adverse effects or other possible drug-related problems associated with herbal, traditional, and complementary medicines. Although herbal medicines are widely used globally, there have been high-profile safety concerns in recent years affecting public health. While herbal medicines are traditionally considered harmless, their status as medicinal products necessitates drug surveillance to identify potential risks. Published data indicates that risks may arise from contaminants or added drugs. Limited knowledge about the constituents of herbal medicines, their effects in humans, the lack of stringent quality control, and the heterogeneous nature of these products require continuous monitoring of their safety. The World Health Organization (WHO) has intensified efforts to promote herbal safety monitoring within the WHO International Drug Monitoring Programme. WHO guidelines aim to provide member states with a framework for regulating herbal medicines used in traditional medicine, covering issues such as classification, safety assessment, efficacy assessment, quality assurance, pharmacovigilance, and control of advertisements for herbal medicinal products. Pharmacovigilance of herbal medicines presents unique challenges, as these preparations are available from a wide range of outlets, often without the presence of healthcare professionals, with most purchases occurring in conventional over-the-counter environments. Various methods in pharmacovigilance include passive surveillance, which involves spontaneous reporting and stimulated reporting, as well as active surveillance using sentinel sites, drug event monitoring,

registries, and comparative observational studies such as survey studies, case-control studies, and targeted clinical investigations examining drug-drug interactions and food-drug interactions. The significance of genetic factors in determining individual susceptibility to adverse drug reactions applies to herbal medicines as well as conventional drugs. Therefore, pharmacovigilance is a crucial post-marketing safety tool to ensure the safety of pharmaceutical and related health products [27].

Conclusion

Medicinal herbs, serving as potential therapeutic agents, have assumed a crucial role in global healthcare systems for humans, offering benefits not only in diseased conditions but also as substances for maintaining overall health. It is evident that the herbal industry holds substantial potential for growth worldwide. As the utilization of herbal products continues to rise, future global labelling practices must effectively address quality considerations. To comprehend the use of herbal medicines, there is a need for standardization of methods and quality control data concerning safety and efficacy. A significant impediment to the development of medicinal plant-based industries in developing countries has been the lack of information on the social and economic advantages derived from the industrial utilization of medicinal plants. Further research is essential to explore the compounds responsible for the observed biological activity.

References

1. Winslow LC, Kroll DJ. Herbs as medicines, Archives of Internal Medicine. 1998;158:2192-2199.
2. Gossell M, Simon OR, West ME. The past and the present use of plants for medicines, West Indian Medical Journal. 2006;55:217.
3. De-Smet PGAM. The role of plant derived drugs and herbal medicines in health care, Drugs. 1997;54:801-840.
4. WHO technical report series. Guidelines for the assessment of herbal medicines. 1996;863:178-184.
5. Abhishek K, Ashutosh M, Sinha BN. Herbal drugs- present status and efforts to promote and regulate cultivation, The Pharma Review. 2006;6:73-77.
6. Harish P. Herbal drugs, Current Science. 2001;81(1):15.
7. Coleman LM, Fowler LL, Williams ME. Use of unproven therapies by people with alzheimer's disease, Journal of the American Geriatrics Society. 1995;43:747-750.

8. Sutherland LR, Verhoef MJ. Why do patients seek a second opinion or alternative medicine, *Clinical Gastroenterology*. 1994;19:194-197.
9. Catherine C, Crone MD, Thomas N, Wise MD. Use of herbal medicines among consultation-liaison populations, *The Academy of Psychosomatic Medicine*. 1998;39(1):3-13.
10. Sehgal A, Herbal medicines-harmless or harmful, *Anesthesia*. 2003;57:947-948.
11. Dipankar G, Laddha KS. Herbal safety and GMP: The most debatable argument in the present scenario, *The Pharma Review*. 2006;2:25-29.
12. Mukherjee PK, Maiti K, Mukherjee K, Houghton PJ. Leads from Indian medicinal plants with hypoglycemic potentials, *Journal of Ethnopharmacology*. 2006;106:1-28.
13. Poul BN, Patil SS, Kadam CS, Mhaske AR, Somnath ND. Herbal drug with antidiabetic activity, *The Pharma Review*. 2007;2:69-72.
14. Jimenez J. Hypoglycaemic activity of *Salvia lavandulifolia*, *Planta Medica*. 1986;52:260-262.
15. Al-Awadi FM, Gumaa KA. Studies on the activity of individual plants of an anti-diabetic plant mixture, *Acta Diabetologica Latina*. 1987;24:37-41.
16. Oliver-Bever B, Zahland GR. Plant with oral hypoglycaemic activities, *Journal of Crude Drug Research*. 1979;17:139-196.
17. Lalla JK. Herbal medicines revisited, *The Pharma Review*. 2005;12:101-105.
18. Jeyaprakash K. Herbal therapy for depression, *Herbal Tech Industry*. 2007;3(7):19-25.
19. Raman D, Sabitha JS, Shivanand BG. Anti-microbial activity of herbs used in psoriasis, *The Pharma Review*. 2005;8:71-72.
20. Akhtar N, Ali M, Alam MS. Herbal drugs used in dental care, *The Pharma Review*. 2005;10:61-68. 21.
21. Schie AAA. Modes of action of currently known chemical antiplaque agents other than chlorohexidine, *Journal of Dental Research*. 1989;68:1609.
22. Ansari FZ, Alam S, Jain P, Akhter S, Ansari MZH. Vitiligo and its herbal treatment, *The Pharma Review*. 2008;12:137-13.

23. Chang RC, So KF. Use of anti-ageing herbal medicine *Lycium barbarum* against aging-associated diseases, *Cell and Molecular Neurobiology*. 2008;28(5):643-652.
24. Khan I, Alam S, Akhter S, Shahin N, Ansari FZ. Ageing and its herbal treatment, *The Pharma Review*. 2007;12:131-134.
25. Nandakishore D, Shubhangi G, Prakash I, Pallavi S, Parimal K, Shishupal B, *et al*. Herbal plants with antifertility activity, *The Pharma Review*. 2007;8:131-135.
26. Vikas D, Sindhu S, Swati P, Chawla A. Adverse drug reactions of herbal drugs, *Indian Academy of Clinical Medicine*. 2003;4(4):345-347.
27. Kuhn MA. Herbal remedies: drug-herb interactions, *Critical Care Nurse*. 2002;22:22-32.

Chapter - 9

Intellectual Property Rights in Agriculture: An Overview

Authors

Siddhanath Shendekar

Ph.D. Scholar, Department of Agricultural Botany (Genetics and Plant Breeding), Mahatma Phule Krishi Vidyapeeth, Rahuri, Maharashtra, India

Arti Ambhore

Ph.D. Scholar, Department of Agricultural Botany (Plant Physiology), Mahatma Phule Krishi Vidyapeeth, Rahuri, Maharashtra, India

Nandkumar Kute

Principal Scientist, Pulses Improvement Project, Mahatma Phule Krishi Vidyapeeth, Rahuri, Maharashtra, India

Megha Meshram

Ph.D. Scholar, Department of Agricultural Botany (Seed Science and Technology), Mahatma Phule Krishi Vidyapeeth, Rahuri, Maharashtra, India

Arvind Totre

Senior Research Fellow, Pulses Improvement Project, Mahatma Phule Krishi Vidyapeeth, Rahuri, Maharashtra, India

Chapter - 9

Intellectual Property Rights in Agriculture: An Overview

Siddhanath Shendekar, Arti Ambhore, Nandkumar Kute, Megha Meshram and
Arvind Totre

Abstract

Intellectual property rights (IPR) plays a pivotal role in agriculture and allied sectors. It ensures fair competition between the plant breeders. It is ray of hope to promote healthy practices for protection of material in agriculture, along with sustainable development. These IPR become updated from time to time in different countries. This chapter mainly deals with IPR related to agriculture such as plant breeders right, farmers right, patents, trade secrets and trademarks. Along with this, it also discusses other important issues such as copyrights, industrial designs and geographical indications. Additionally, we also focused on challenges and controversies in front of IPR and possible solution to this. It also elaborates regarding, protection of new biotechnological techniques such as gene editing (CRISPER). By shedding light on these complexities, this overview provides a foundation for policymakers, researchers and agricultural stakeholders to navigate the dynamic intersection of intellectual property and agriculture in the modern era.

Keywords: IPR, agriculture, plant breeders right and protection

Introduction

Scientific achievements in plant breeding and genetics resulted in Green Revolution in the country. Due to this production of wheat and rice increased enormously which leads to self-sufficiency in cereals and pulses. In that era, breeders worked hard and developed several new cultivars which can give good yield in adverse climatic conditions. They mainly focused on wheat and rice, which are staple crops of our country. The progress made in this area is very huge and we reached at peak. Researchers from developing countries used different breeding techniques starting from conventional to advanced biotechnological tools to develop novel cultivar. On the other hand, field of Intellectual Property Rights (IPR) also developed to protect such novel cultivars and many other work. So, the revolution continued in IPR field also. Intellectual property rights (IPR) can be defined as legal protections granted

to the creators, inventors, and owners of intellectual property. Intellectual property includes creations of the mind, such as inventions, literary and artistic works, designs, symbols, names, and images used in commerce. After giving such right, it ensures restriction on illegal use of such property. E.g. when a breeder developed any variety, and if he registered such variety, the third person can't get benefit from that variety without permission of original breeder. This, IP become a significant fact in agriculture sector.

Basically, there are two broad categories of IPR. In one category, IPR related to industrial things will come, such as patents, industrial designs and trademarks. In other category, copyright which covers artistic and literary things can take place. Those IPR which are not included under above all will come under, *sui generis* system. *Sui generis* means, system of its own. This kind of IPR includes, plant breeders right and semiconductor chip protection system and many. From all these protections, many belongs to agriculture sector such as, plant breeders right, patents, trademarks, geographical indications and trade secret. Almost all the agriculture inventions are protected by IPR. Many of other IPRs are related to agriculture but not directly dependent. In agriculture, scientific discoveries published in the form of research papers. These research papers come under the category of copyright, which is indirectly related to agriculture. In addition to this, scientific programs related to agriculture, not included in *sui generis* system.

If we look on IPR protection system from starting, only machines are protected earlier. Our system does not give preferences to plants. In 1930, the first plant was protected under the plant breeders right act in US (Kumar *et al.*, 2015). This was the first protection under agriculture sector. In India, plant materials can be protected under Protection of Plant Varieties and Farmers Right act (PPVFR), 2001. This act basically protects plant variety, and ultimately increase healthy practices of IPR in agriculture. It encourages development of plant varieties, from which breeder of the variety and society get benefited. In this system, period of protection is different from crop to crop. Another important aspect for protection of agriculture inventions is patents. It is one of the important aspect for protection of plant material and biotechnological processes. It provides protection for specified period of time. In addition to this, Geographical Indications protect products from agriculture based on its origin and special characteristics. So, almost all the agriculture inventions and processes are protected by different IPR systems.

Intellectual property rights serve several purposes, including encouraging innovation and creativity by providing creators and inventors with exclusive rights, enabling them to benefit financially from their creations. These rights

also promote economic growth, as they incentivize investment in research and development, leading to new inventions and creations that can benefit society as a whole. This chapter mainly deals with, IPR aspects of agriculture sectors. We thoroughly elaborated how IPR are important in agriculture, its historical prospective, kinds of IPR, Plants breeders and farmers right act. In addition to this, we discussed about, patents in agriculture, challenges and limitations of IPR in agriculture and finally future protective.

Knowing different IPRs

Protection of invented material is need of an hour to save it from illegal operations. In India and abroad different kinds of IPRs systems are available. This ensures healthy practices in agriculture and allied sectors.

1. Patents

It is right given to inventor of material. It ensures right to manufacture, sale and license the particular material or product for limited period of time, that is 20 years in India. It starts from, date of filling the applications. After such period, that product released for benefit of society. This right ensure benefit to inventor for specific time. In India, patent act of 1970 were in operation, which came into force in 1972.

2. Geographical indications

This act identifies particular product originate from specific area, and which possesses special characteristics. Those products identified under such act, get special price in the market. In India, Geographical Indications of Goods (Registration and Protection) Act, 1999 is under operation.

3. Trademarks

It is one kind of symbol, which identifies particular product in the market. It differentiates our product from, many other products in the market. Basically, it helps to avoid confusion in the consumer's mind. From time to time, we need to update our trademarks.

4. Trade secrets

This is one kind of IPR which protects, processes, formulas or techniques of particular company or farm. Unlike other systems such as, patents, plant breeder's rights, trade secrets are not publically disclosed. It is the responsibility of that particular company or firm to keep that process confidential.

5. Copyrights

It protects, original research work, music, film, literature, book etc. It is right given to original producer, to sell, distribute such kind of things for specific period of time. Copyright protection lasts for the lifetime of the creator plus an additional 50 to 70 years after their death, depending on the jurisdiction.

6. Industrial designs

It refers to, actual design of specific product, such as automobile or electronic gazettes. It ensures protection for specific period of time such as 20 years.

Historical perspective

It all started in 500 BCE, when the Greek kingdom of Sybaris allowed its inhabitants to apply for a one-year patent for "any new refinement in luxury". Copyright, trademark, and patent laws. The history of intellectual property rights is sparse in early history, at that time, guild had considerable power to choose products for produce and sales and this kind of power they called statute of monopolies 1623. The statute of monopolies changed by ownership right in 1710. In this law inventor had right for 14 years to govern how his invention was used. After the U.S. broke away from Great Britain in early 1800's, most of the 13 colonies had established its own system for intellectual property protection and each state operate its own system of intellectual property protection was problematic, leading to the establishment of federal laws. After that in late 1800's global intellectual property came in rule, when first international agreement held at paris during 1883, to help creators to protect their work in other countries. After that Berne convention held during 1886 in which, literary (e.g. novels, short stories, poems, plays etc.), songs and artistic works (e.g. drawings, paintings, sculptures, architectural works etc.) were protected. Madrid agreement held in 1891, in which first international intellectual property filing service was launched. After two years, in 1970, united international bureaux for the protection of intellectual property (BIPRI) was established in Berne and became an intergovernmental organization which popularly known as World Intellectual Property Organization (WIPO) with its headquarter in Geneva. In 1978, the Patent Cooperation Treaty (PCT) went into effect. The WIPO dispute and mediation center was founded in 1994 to resolve international business disputes between private parties. In 1995, one organization is established called World Trade Organization (WTO) by replacing the General Agreement on Tariffs and Trade (GATT) which started in 1947, to get the benefits of innovations, uniform laws and rules of patents,

trademarks, copyright etc. TRIPs came into effect on 1st January 1995 annexed to the Marrakesh Agreement. The first and only IPR treaty that seeks to establish universal, minimum standards of protection across the major fields of intellectual property. In addition to plant protection it deals with patents, copyrights, trademarks, industrial designs, integrated circuits, and trade secrets. It was formed at the end of the Uruguay round of the General Agreement on Tariffs and Trade (GATT) in 1994.

Earlier plant variety protection was governed by UPOV (International Union for the Protection of New Varieties of Plants). UPOV maintains an international system of intellectual property rights that guarantee plant breeders' rights whereas supporting technological advancement in agriculture through the production of new plant varieties. TRIPs Article 27 (3) (b) first provides for exclusion of plants from patentability. Under this provision, member nations are required to grant patents on microorganisms, non/biological and microbiological process as well as effective IPR protection for plant protection. However, it further states that "members shall provide for the protection of plant varieties either by patents or by an effective sui generis system or any combination thereof". The developed countries support UPOV because it gives them monopoly which is more suited in the industrial development. The developing nations interpret the phrase sui-generis as enabling them to frame and enforce local legislation which best suits their domestic interest. From the ancient time in India, policies for plant variety protection and the seeds depended on the principle of common heritage of mankind. After the independence the government of India adopted a system that largely confined to public sector because India was facing food security issues after independence. So, government did not want to create monopoly in the area of agriculture. Therefore, government provided seeds through its own firms and provided these on very cheap price to farmers. The Indian Patents Act of 1970 excludes all living organisms from the scope of patentability. Accordingly, the government has drafted a legislation "Plant Varieties Act 1993". India being a member of WTO was obliged to introduce plant protection in lines of TRIPs and adopted a 'sui-Generis' approach. Protection of plant variety and farmer's rights act, 2001 came into effect in 2001 (Rules-2003, 2005, 2009) was drafted even before TRIPs, in 1993-1994.

Most relevant IPRs related to agriculture

Protections to agriculture inventions are essential because it promotes healthy practices, security to produced material/variety, increased awareness among the society and many more. In addition to this, it promotes the research and development, and sustainable food production. When we think about IPRs

in agriculture, patents, plant breeder's rights, farmer's rights and trademarks holds important position. In this patents provide safety to newly invented products such as biotechnological products for specific duration. Additionally, it promotes new inventions in a society. Plant breeder's right provide protection to newly developed variety for specific period. It promotes research and development and healthy competition among the breeders. Trademarks helps to identify our product in the market, which is beneficial to consumers. At last, trade secret keeps our information safely, and provides protection to farming practices or particular formulation of pesticide. IPR in agriculture strikes a balance between rewarding innovation and ensuring that essential agricultural knowledge is shared for the greater benefit of society, addressing challenges like global food security, climate change, and sustainable farming practices. These protections foster a conducive environment for agricultural research and development, encouraging the growth of innovative solutions to meet the evolving needs of the agricultural sector.

Plant breeders' rights

Plant breeders' rights (PBR), also known as plant variety rights (PVR), these are the exclusive rights granted to the breeder or his successor or licensee to produce, sell, market, distribute seeds of the registered variety by excluding third person.

For the protection under PVR, variety should fulfill the criteria of NDUS. The variety should be Novel, Distinct, Uniform and Stable.

Novelty

If the propagating or harvested material of the variety has not been sold or otherwise exploited in India, earlier than one year, outside the India, in case of tree or vines earlier than six year or in any other case, earlier than four years before the date of filling such application.

Distinct

Means the variety must be clearly distinguishable by one or more essential characteristics from any other variety whose existence is a matter of common knowledge at the time of protection is applied for. Common knowledge may be established by reference to various factors such as: cultivation or marketing already in progress, entry in an official register of varieties already made or in the course of being made, inclusion in reference collection, or precise description in publication.

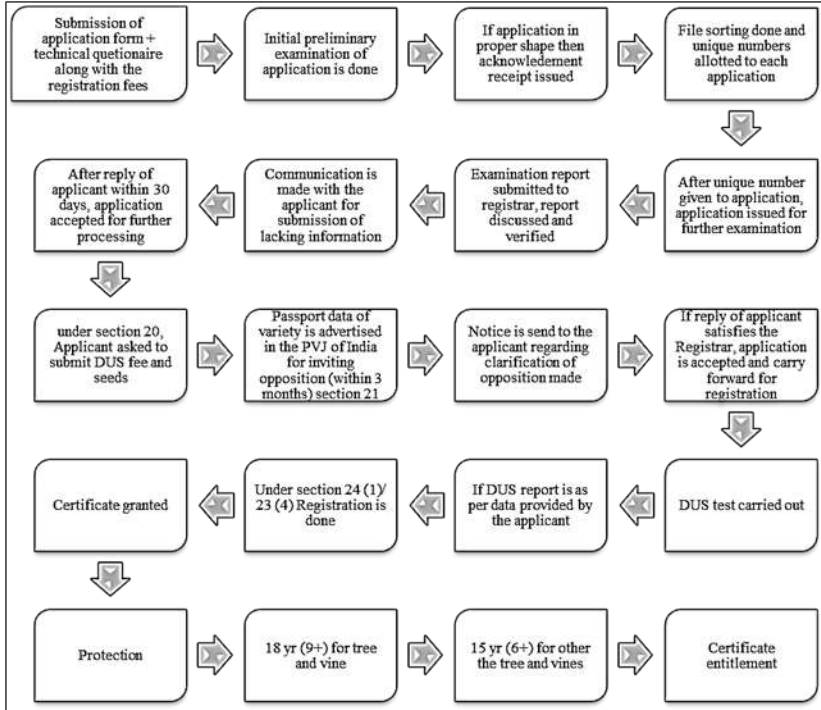
Uniform

Means the variety must be sufficiently uniform in its essential characteristics.

Stable

Means the variety must be stable if its essential characteristics remain unchanged after repeated propagation.

Procedure for registration of varieties under plant variety protection right



The varieties protected under PBR

Four types of plant varieties can be registered under the act, new varieties, extant varieties, farmer's varieties and essentially derived varieties. New varieties are the varieties which are not in public domain earlier than one year before the date of filling for registration in India and in case of trees or vines 6 years outside the India Extant varieties are the varieties which are notified under section 5 of seed act, 1966, or the farmers variety or variety from common knowledge or any other variety in public domain. Essentially derived variety said to be essentially derived when it: a variety which has been specially derived from existing variety by genetic engineering, mutation, tissue culture, back cross etc. Farmer's variety which has wild relative or land race of a variety about which the farmers possess common knowledge and traditionally cultivated variety in farmer's field. Some varieties cannot be

protected under PPV and FR in India, these varieties called non registerable plant varieties because these varieties are involving any technology like genetic use restriction technology (GURTs) and terminator technology which are harmful to ecosystem.

Who can apply for registration?

Any person claiming to be breeder or his successor or assignee or authorized person (under section 14), any farmer or group of farmers, any university or publicly funded agriculture institute

Duration of protection for registered variety under PBR

The duration for protection of variety is different for different crops, in case of trees and vines 18 years from date of registration, extant variety and be protect for 15 years under seed act, 1966 and other crops protected for 15 years from date of registration of variety.

Fees required for protection of plant variety under PBR

On the basis of benefit or royalty gained by breeder, agent or licensee and organization from variety, they have to pay fees under the act section 35 (1) to the central government. Fees structure as follow:

Table 1: Fees required for registration of variety under PBR

Sr. No.	Action		Official fees	
1.	For DUS test		Individual	15000/-
			Educational	20000/-
			Commercial	35000/-
2.	For registration	Extant variety notified under section 5 of seed act, 1966		1000/-
		New/Essentially derived variety	Individual	5000/-
			Educational	7000/-
			Commercial	10,000/-
3.	For beneficial sharing			5000/-

Infringement (Sec.64)

Under the act of Infringement (Sec.64) if a person who is not a breeder of a registered variety, licensee or agent of registered variety produces, sells, exports, imports such variety without the permission of its registered breeder, license or agent should have punishment of jail for 3 months to 2 years with fine 50000 to 10 lakh.

Compulsory licensing

Under section 47 compulsory licensing is granted after 3 years of registration. If registered breeder unable to deliver propagating material at reasonable price or in sufficient quantity, the license can be granted to any person interested to take up such activities after the expiry of a period of three years from the date of issue of certificate of registration to undertake production, distribution and sale of the seed or other propagating material of the variety. After compulsory license is granted the registered breeder is also entitled to royalty (Bramhi *et al.*, 2003).

Farmers' Rights and indigenous knowledge

From thousands of years' farmers all over the world have domesticated plants, developed new breeds, saved seeds and planting material for the following season, and exchanged seeds and plants with their neighbors and other farmers. With their knowledge and skills, they managed and conserved the food crops, however, this important role of farmers, as custodians and innovators of plant genetic diversity that are of global significance to food and agriculture, was not formally and explicitly recognized. A farmer who has evolved or developed a new variety is entitled for registration and protection in like manner as a breeder of a variety.

Who can apply

Any person who cultivates crops by cultivating the land himself, or cultivates crops by directly supervising the cultivation of land through any other person, or conserves and preserves, severally or jointly, with any person any wild species or traditional varieties, or adds value to such wild species or traditional varieties through selection and identification of their useful properties.

Farmers right for seed

Farmers variety can also be registered as an extant variety; A farmer can save, use, sow, re-sow, exchange, share or sell his farm produce including seed of a variety protected under the PPV & FR act, 2001 in the same manner as he was entitled before the coming into force of this act provided farmer shall not be entitled to sell branded seed of a variety protected under the PPV & FR Act, 2001.

Farmers' recognition and reward for contributing to conservation (Table 2)

Farmers are eligible for recognition and rewards for the conservation of plant genetic resources such as land races and wild relatives of economic plants.

Table 2: Different farmer’s recognition awards

Plant genome savior community award	Given to 5 farming communities, Award includes cash of Rs.10 lakh
Plant genome savior farmers reward	Given to 10 farmers, Award includes cash of Rs. 1.5 lakh
Plant genome savior farmers recognitions	Given to 20 farmers, Award include cash of 1 lakh

Farmer’s right to get compensation for the loss suffered from the registered variety

If such seed fail to provide such performance under given conditions, the farmer or group of farmers or organization of farmer may claim compensation.

Farmer’s right to receive compensation for undisclosed use of traditional varieties

Right to farmer to receive compensation for undisclosed use of traditional varieties means if the breeder use farmer variety as source material to develop new variety, he has to share his royalty with the community.

Farmer’s right for benefit sharing

The act provides for equitable sharing of the benefit earned from the new variety with farming or tribal communities that had contributed varieties used by parents. The authority may invite claims of benefit sharing of any variety registered under the Act, and shall determine the quantum of such award after ascertaining the extent and nature of the benefit claim, after providing an opportunity to be heard, to both the plant breeder and the claimer

Farmer’s right for protection against innocent infringement

Considering the educational status of farmers, according to of act- no infringement if the farmer proves before court that at that time he was unaware of the existence of such right.

Farmer’s right for receiving free services

Farmer shall not be liable to pay any fee in any proceeding before the authority or registrar or the tribunal or the high court under the act.

Farmer’s right for the seed of registered varieties

One of the objective of the act is to promote the availability of high quality seed and planting material to farmers for accelerated. The act tries to achieve this objective by ensuring adequate availability of seed of registered varieties to farmers at reasonable.

Patents in agriculture

It is an exclusive right given by law to the innovator in order to make use of, and profiting from, his or her creation for a particular period of time. A patent is a monopoly right granted to a person who has invented a new and useful article or an improvement of an existing article or a new process of making an article (Janis *et al.*, 2002). Once an inventor has been granted a patent in a certain nation, he or she has the legal authority to prevent others from creating, using, or marketing the claimed invention in that country without their permission.

How to get patent?

A patent is a document issued by the government office, on application request, normally to protect the rights of the new inventions, ideas or scientific processes. The patent holders are required to pay, periodic renewal fees to the government. Therefore, the approved patent is for a limited period of time (20 years) only. Patent licensing approach would result in certain producers having a “stronger incentive and grant them more market power”. This can have dire consequences. For one, it may restrict farmers across the world from obtaining such patented technologies. The inability to obtain advanced agricultural technologies would result in reducing benefits to the farmers as well as the consumers.

Which agricultural products can be patented?

Inventions such as machines for washing and grading seeds, methods used for fertilization, preparation of in-situ compost, animal-driven agricultural apparatus, and sowing devices, inventions that improve and test the quality of the soil such as digital soil salinity testers, the process for manufacturing a slow-release urea fertilizer by nitrification inhibition, biotechnological inventions, genetically modified crops and the preparation of synergistic fertilizers from agricultural waste have also been patented.

The Indian government has always been concerned with ensuring food security, enhancing agricultural research, and supporting the development of new plant kinds. Plant varieties may be protected through patents, a *sui generis* system, or a mix of both, according to Article 27.3 (b) of the TRIPS Agreement. According to this act, legislations such as the Protection of Plant Varieties and Farmers Rights Act, 2001 established down frameworks to protect plant varieties.

Challenges and controversies surrounding patenting in agriculture

Patenting in agriculture in India faces several challenges, reflecting the unique context and needs of the country's agricultural sector. There are some

key challenges of patented which includes traditional knowledge, biodiversity and crop diversity access to seed, farmers right, biotechnology and GM crops enforcement of patents, sustainable agriculture, seed sovereignty, intellectual property rights vs. public goods and international trade agreements. India is still working to improve its laws and policies in order to balance the protection of intellectual property rights, support for farmers' rights, and advancement of sustainable agriculture and food security. Indian Patents Act (Section 3(j)) clarifies that "plants and animals in whole or in any part thereof, other than microorganisms, but including seeds, varieties, and species, and essentially biological processes for production or propagation of plants and animals" are excluded subject matter and cannot be patented.

Challenges and disagreements of IPR

Each nation has its own unique regulations to safeguard its intellectual property. The majority of countries have well-developed IPR systems, yet these systems nonetheless face some obstacles. It becomes difficult for small-scale farmers to acquire our material, such as varieties, when we protect it through an IPR framework. Due to the high cost of such protected material, many researchers and farmers are unable to obtain it. Another important issue related to IPR is, threat to biodiversity. When we develop any new variety, ultimately we are degrading the variability or genetic base of that particular crop which is dangerous to biodiversity. One more important problem related to IPR is, biopiracy. In some cases, researchers developing the new varieties by exploiting the traditional knowledge from the farming communities, without taking permission of them. This leads to unhealthy practices. It leads to the erosion of cultural traditions and knowledge systems associated with agriculture, as well as economic losses for communities whose resources are exploited without fair compensation. In addition to this, IPR creates monopoly of inventor. For specific period, other peoples can't access these material for their purpose. At last, farmer community suffer from this system, because it imposes restrictions on farmers to save, reuse their seeds.

These are some challenges in front of IPR which need to be addressed in future. Addressing these challenges requires a balanced approach to IPR in agriculture. Policymakers, researchers, and agricultural communities must collaborate to ensure equitable access to seeds, protect indigenous knowledge, promote agricultural biodiversity, and prevent monopolistic practices. International agreements and regulations need to be developed and enforced to strike a balance between protecting intellectual property rights and promoting global food security and sustainable agricultural practices.

Future prospects

IPR technology is under evolution, in almost all countries. New technology such as CRISPER need to be protected under IPR regimes. As we know, in the field of agriculture huge data can be generated by use of new technologies such as precision agriculture. This big data need protection under IPR system. Countries should have legal framework to manage such a big data in agriculture. As we know gene editing technology evolving day by day, for which it need protection in the form of patents. In this case, international community must think about few aspects such as risk assessment, sharing of material and period of ownership. In case of genetic resources, open access should be given for sharing of material to promote research and development in the field of plant breeding. In addition to this, in the era of sustainable agriculture and environmental conservation, the practices like farming systems, organic agriculture must be protected in the form of patents. At last, there must be revisions in current IPR system and should be updated from time to time. This future prospects must be followed to strengthen the IPR system.

Conclusion

The exploration of Intellectual Property Rights (IPRs) in agriculture has revealed a multifaceted landscape that significantly impacts global food security, innovation, and sustainable development. Through this chapter, we have discussed the various facts of IPRs, ranging from patents and plant variety protection to biopiracy concerns and challenges and controversies of it. We learned that IPRs can encourage new farming technologies, but there are challenges, especially concerning the rights of farmers and indigenous communities. Striking a balance is vital-we need to protect innovators while also making sure everyone benefits, including small farmers and our environment.

Looking ahead, it's important for governments and experts to work together. By creating fair rules and encouraging discussions, we can make sure that agricultural innovations help everyone. This chapter acts as a starting point, urging people to talk, learn, and find ways to make our farming practices sustainable, fair, and beneficial for everyone.

References

1. Agreement on Trade-related Aspects of Intellectual Property Rights, Annex 1c, www.wto.org
2. Ashiya M. TRIPS and Pharmaceutical Industry, Impact on Developing Countries, 26-33.

3. Bansal P. IPR Handbook for Pharma Students and Researchers, 2015, 141-162.
4. Brahma P, Saxena S, Dhillon BS. The Protection of Plant Varieties and Farmers' Rights Act of India, current science, 2004 Feb, 86(3).
5. Chakrabarty SK, Sharma SP, Prakash S, Dadlani M. Testing of distinctiveness, uniformity and stability for plant variety protection, 2007. ISBN 81-88708-20-8
6. Janis MD. Intellectual Property Issues in Plant Breeding and Plant Biotechnology, Articles by Maurer Faculty. 2560, 2002. <https://www.repository.law.indiana.edu/facpub/2560>
7. Kochhar S. Analysis of Opportunities and Challenges in IPR and Agriculture in the Indian context, 2011.
8. Kumar V, Sinha K. Status and challenges of intellectual property rights in agriculture innovation in India, 2015.
9. Moose SP, Mumm RH. Molecular plant breeding as the foundation for 21st century crop improvement. Plant Physiology journal. 2008;147(3):969-77. Doi: 10.1104/pp.108.118232. PMID: 18612074; PMCID: PMC2442525..<https://www.ncbi.nlm.nih.gov/>
10. Moran S. Agricultural Patenting: A case study of Monsanto, Pepperdine Policy Review, 2014, 7. Article 4. Available at: <https://digitalcommons.pepperdine.edu/ppr/vol7/iss1/4>.
11. Protection of Plant Varieties and Farmers' Rights Act 2001 and Rules 2003.
12. Singh. Essentials of plant breeding, Edition, 7; Publisher, Kalyani Publishers, 2018. ISBN, 9327286677, 9789327286670
13. Upadhyay M, Neupane S, Dhaubadel S. Agreement on Trips: Legal framework for plant variety protection, 126-141.

Chapter - 10

Agricultural Insurance for Indian Farmers

Authors

Madhu

Scientist, Division of Computer Applications, ICAR-Indian Agricultural Statistics Research Institute (IASRI), Pusa, New Delhi, Delhi, India

Akarsh Singh

M.Sc. (Agricultural Statistics), ICAR-Indian Agricultural Research Institute (IARI), Pusa, New Delhi, Delhi, India

Divya Sinha

M.Sc. (Environment Science), ICAR-Indian Agricultural Research Institute (IARI), Pusa, New Delhi, Delhi, India

Samuel Naik Banavath

M.Sc. (Agricultural Statistics), ICAR-Indian Agricultural Research Institute (IARI), Pusa, New Delhi, Delhi, India

Chapter - 10

Agricultural Insurance for Indian Farmers

Madhu, Akarsh Singh, Divya Sinha and Samuel Naik Banavath

Abstract

Crop insurance is a critical tool for managing risk in agriculture, which is vulnerable to natural calamities and market fluctuations. India has a long history of crop insurance, and the government has introduced several schemes to protect farmers from crop losses and ensure their credit eligibility. This book chapter provides an overview of various crop insurance schemes in India, including the Pradhan Mantri Fasal Bima Yojana (PMFBY), National Agricultural Insurance Scheme (NAIS), Weather-based Crop Insurance Scheme (WBCIS), Modified National Agricultural Insurance Scheme (MNAIS), Coconut Palm Insurance Scheme (CPIS), and Livestock Insurance Scheme. The chapter discusses the key features, benefits, and challenges of each scheme, and highlights the need for more comprehensive and effective crop insurance in India. The chapter also examines the role of crop insurance in doubling farmers' income, promoting sustainable agriculture, and enhancing food security in India. The chapter concludes with recommendations for improving crop insurance schemes in India and increasing their uptake among farmers.

Keywords: Fasal bima, crop insurance, doubling farmer's income, livestock, agriculture

I. Introduction

India's agricultural sector is particularly vulnerable to natural disasters such as floods and droughts. To safeguard farmers from such risks and ensure their ability to obtain credit in the next growing season, the Indian government has implemented numerous agricultural schemes across the country. These programs are designed to provide financial assistance to farmers and promote the growth of the agricultural industry.

Crop insurance schemes are an important aspect of agricultural policy in India. Crop insurance provides a safety net for farmers against the financial losses incurred due to crop failures or yield reductions caused by natural

calamities such as drought, floods, or pests. In recent years, the Government of India has launched several crop insurance schemes to provide protection to farmers against such risks. Some of the major crop insurance schemes in India are:

- 1) **Pradhan Mantri Fasal Bima Yojana (PMFBY):** PMFBY is a crop insurance scheme launched by the Government of India in 2016. It provides comprehensive coverage to farmers against yield losses due to natural calamities, pest attacks, and other related risks. The scheme is voluntary for farmers and the premium rates are heavily subsidized by the government. PMFBY is administered by the Agriculture Insurance Company of India (AIC) and implemented by state governments and insurance companies.
- 2) **Weather-based Crop Insurance Scheme (WBCIS):** WBCIS is another crop insurance scheme launched by the Government of India in 2007. It provides insurance coverage to farmers against adverse weather conditions such as drought, flood, or excess rainfall. The scheme is based on the specific weather parameters measured by automated weather stations installed in the notified areas. WBCIS is implemented by state governments in collaboration with insurance companies.
- 3) **Modified National Agricultural Insurance Scheme (MNAIS):** MNAIS is a crop insurance scheme that provides coverage to farmers against yield losses due to natural calamities, pests, and diseases. It is a comprehensive insurance scheme that covers both pre-sowing and post-harvest losses. MNAIS is implemented by state governments in collaboration with insurance companies.
- 4) **Coconut Palm Insurance Scheme (CPIS):** CPIS is a crop insurance scheme launched by the Government of India in 2016. It provides insurance coverage to coconut farmers against the losses incurred due to damage or death of coconut palms caused by natural calamities or pests. The scheme is implemented by the Coconut Development Board in collaboration with insurance companies.
- 5) **Unified Package Insurance Scheme (UPIS):** UPIS is a comprehensive insurance scheme that covers both crop and livestock insurance. It provides coverage to farmers against losses due to natural calamities, pests, diseases, and other related risks. UPIS is implemented by the Agriculture Insurance Company of India in collaboration with state governments.

These crop insurance schemes have helped to provide financial protection to farmers against various risks and uncertainties associated with agriculture. However, there are still several challenges faced in the implementation of these schemes, including issues related to the timely payment of claims, inadequate coverage, and lack of awareness among farmers. The government and other stakeholders need to work together to address these challenges and ensure that farmers receive the benefits of these schemes effectively.

II. Schemes in detail

a. Pradhan Mantri Fasal Bima Yojana (PMFBY)

Pradhan Mantri Fasal Bima Yojana (PMFBY) is a crop insurance scheme launched by the Government of India in 2016. It is a voluntary scheme that provides comprehensive coverage to farmers against yield losses due to natural calamities, pests, and other related risks.

Under the scheme, the premium rates are heavily subsidized by the government, and farmers have to pay a nominal premium of 1.5% for kharif crops, 2% for rabi crops, and 5% for horticultural crops. The remaining premium is paid by the government to the insurance companies. The scheme is mandatory for loanee farmers and voluntary for non-loanee farmers.

PMFBY provides coverage to farmers from the sowing to the post-harvest stage. The insurance covers yield losses due to natural calamities such as drought, flood, cyclone, hailstorm, and pest attacks. It also covers losses due to localized calamities such as landslide and inundation, post-harvest losses caused by unseasonal rains, and losses due to fire and lightning.

The claims under PMFBY are settled on the basis of the yield data collected by the government agencies or based on the crop cutting experiments conducted by the insurance companies. The insurance companies are required to settle the claims within two months of the final yield data being received.

PMFBY is implemented by the Agriculture Insurance Company of India (AIC) and is monitored by the Ministry of Agriculture and Farmers Welfare. The scheme is implemented in collaboration with state governments and insurance companies.

PMFBY has helped to provide financial protection to farmers against various risks and uncertainties associated with agriculture. However, there have been some challenges faced in the implementation of the scheme, including issues related to the timely payment of claims, inadequate coverage, and lack of awareness among farmers. The government and other stakeholders need to work together to address these challenges and ensure that farmers receive the benefits of the scheme effectively.

b. Weather-based Crop Insurance Scheme (WBCIS)

The Weather-based Crop Insurance Scheme (WBCIS) is a crop insurance scheme launched by the Government of India in 2007. It provides insurance coverage to farmers against adverse weather conditions such as drought, flood, or excess rainfall.

Under the scheme, the insurance coverage is based on specific weather parameters measured by automated weather stations installed in the notified areas. The coverage is provided for a specific crop, and the sum insured is determined based on the average yield of the crop in the notified area. The premium rates are subsidized by the government, and farmers have to pay a nominal premium of 1.5-3% of the sum insured.

The claims under WBCIS are settled based on the deviation of the actual weather parameters from the threshold levels. The threshold levels are predetermined based on the crop and the location of the insured farm. If the actual weather parameters deviate from the threshold levels, the insurance companies are liable to pay the compensation to the insured farmers.

WBCIS is implemented by state governments in collaboration with insurance companies. The scheme has a flexible design, and state governments can customize the scheme according to their specific requirements. The scheme covers various crops, including cereals, pulses, oilseeds, and horticultural crops.

WBCIS has several benefits over traditional yield-based insurance schemes. The scheme is based on objective and measurable weather parameters, which reduces the possibility of fraudulent claims. It also provides timely compensation to farmers in the event of adverse weather conditions. The scheme has been well received by farmers and has helped to provide financial protection to them against various weather-related risks.

However, there are some challenges faced in the implementation of the scheme, including issues related to the accurate measurement of weather parameters, inadequate coverage, and lack of awareness among farmers. The government and other stakeholders need to work together to address these challenges and ensure that farmers receive the benefits of the scheme effectively.

c. Modified National Agricultural Insurance Scheme (MNAIS)

The Modified National Agricultural Insurance Scheme (MNAIS) is a crop insurance scheme launched by the Government of India in 2010. It is a yield-based insurance scheme that provides coverage to farmers against crop losses

due to natural calamities such as drought, flood, hailstorm, pest attacks and other related risks.

Under the scheme, the insurance coverage is provided on an area approach basis. The scheme covers all food crops and oilseeds and is available to all farmers, including sharecroppers and tenant farmers. The premium rates are subsidized by the government, and farmers have to pay a nominal premium of 1.5-3% of the sum insured.

The sum insured under the scheme is based on the average yield of the insured crop in the notified area. The claims under the scheme are settled based on the actual yield of the insured crop in the notified area. The compensation is provided to the farmers in the event of a shortfall in the actual yield below the threshold yield, which is predetermined based on the crop and the location of the insured farm.

MNAIS is implemented by the Agriculture Insurance Company of India (AIC) and is monitored by the Ministry of Agriculture and Farmers Welfare. The scheme is implemented in collaboration with state governments and insurance companies.

MNAIS has several benefits over traditional crop insurance schemes. It provides comprehensive coverage to farmers against various risks and uncertainties associated with agriculture. The scheme has a simple design and is easy to understand and implement. It also provides timely compensation to farmers in the event of crop losses due to natural calamities.

However, there are some challenges faced in the implementation of the scheme, including issues related to the timely payment of claims, inadequate coverage, and lack of awareness among farmers. The government and other stakeholders need to work together to address these challenges and ensure that farmers receive the benefits of the scheme effectively.

d. Coconut Palm Insurance Scheme (CPIS)

The Coconut Palm Insurance Scheme (CPIS) is a crop insurance scheme launched by the Government of India in 2016. It is a unique insurance scheme that provides coverage to coconut farmers against the loss of coconut palms due to natural calamities such as cyclones, hurricanes, floods, landslides, and other related risks.

Under the scheme, the insurance coverage is provided on an area approach basis. The scheme covers coconut palms of all ages and varieties and is available to all coconut farmers, including small and marginal farmers. The premium rates are subsidized by the government, and farmers have to pay a nominal premium of 15% of the sum insured.

The sum insured under the scheme is based on the number of coconut palms in the notified area. The claims under the scheme are settled based on the actual loss of coconut palms due to natural calamities. The compensation is provided to the farmers in the event of a loss of more than 50% of the insured palms in the notified area.

CPIS is implemented by the Coconut Development Board (CDB) and is monitored by the Ministry of Agriculture and Farmers Welfare. The scheme is implemented in collaboration with state governments and insurance companies.

CPIS has several benefits over traditional crop insurance schemes. It provides comprehensive coverage to coconut farmers against various risks and uncertainties associated with coconut cultivation. The scheme has a simple design and is easy to understand and implement. It also provides timely compensation to farmers in the event of loss of coconut palms due to natural calamities.

However, there are some challenges faced in the implementation of the scheme, including issues related to the accurate measurement of loss of coconut palms, inadequate coverage, and lack of awareness among farmers. The government and other stakeholders need to work together to address these challenges and ensure that coconut farmers receive the benefits of the scheme effectively.

e. Unified Package Insurance Scheme (UPIS)

The Unified Package Insurance Scheme (UPIS) is a crop insurance scheme launched by the Government of India in 2021. It is a comprehensive insurance scheme that provides coverage to farmers against multiple risks and uncertainties associated with agriculture.

UPIS is a combination of three existing crop insurance schemes, namely the National Agriculture Insurance Scheme (NAIS), the Modified National Agriculture Insurance Scheme (MNAIS), and the Weather-Based Crop Insurance Scheme (WBCIS). The scheme provides coverage to farmers against crop losses due to natural calamities such as drought, flood, hailstorm, pest attacks, and other related risks, as well as losses due to weather variations.

Under the scheme, the insurance coverage is provided on an area approach basis. The scheme covers all food crops, oilseeds, and commercial/horticultural crops and is available to all farmers, including sharecroppers and tenant farmers. The premium rates are subsidized by the government and farmers have to pay a nominal premium of 2-5% of the sum insured.

The sum insured under the scheme is based on the average yield of the insured crop in the notified area. The claims under the scheme are settled based on the actual yield of the insured crop in the notified area or the weather conditions prevailing in the notified area. The compensation is provided to the farmers in the event of a shortfall in the actual yield below the threshold yield or in case of adverse weather conditions.

UPIS is implemented by the Agriculture Insurance Company of India (AIC) and is monitored by the Ministry of Agriculture and Farmers Welfare. The scheme is implemented in collaboration with state governments and insurance companies.

UPIS has several benefits over traditional crop insurance schemes. It provides comprehensive coverage to farmers against various risks and uncertainties associated with agriculture. The scheme has a simple design and is easy to understand and implement. It also provides timely compensation to farmers in the event of crop losses due to natural calamities or weather variations.

However, there may be some challenges faced in the implementation of the scheme, including issues related to the timely payment of claims, inadequate coverage, and lack of awareness among farmers. The government and other stakeholders need to work together to address these challenges and ensure that farmers receive the benefits of the scheme effectively.

f. Livestock Insurance Scheme (LIS)

The Livestock Insurance Scheme (LIS) is a crop insurance scheme launched by the Government of India in 2005. It is a unique insurance scheme that provides coverage to livestock farmers against the loss of their animals due to natural calamities, accidents, or diseases.

Livestock farming is a significant source of livelihood for a large number of rural households in India, particularly for small and marginal farmers. However, livestock farming is also associated with various risks and uncertainties, including the loss of animals due to natural calamities such as floods, droughts, earthquakes, and cyclones, accidents, and diseases. These losses can have a severe impact on the livelihoods of farmers and their families, particularly those who depend on livestock for their income and food security.

To address these challenges, the Government of India launched the Livestock Insurance Scheme (LIS) in 2005. The scheme provides insurance coverage to livestock farmers against the loss of their animals due to natural

calamities, accidents, or diseases. The insurance coverage is provided on an individual animal basis, and the scheme covers cattle, buffalo, sheep, goat, pig and poultry.

The Livestock Insurance Scheme is available to all livestock farmers, including small and marginal farmers. The premium rates are subsidized by the government, and farmers have to pay a nominal premium of 2% of the sum insured. The sum insured under the scheme is based on the market value of the animal at the time of insurance.

The claims under the scheme are settled based on the actual loss of the insured animal due to natural calamities, accidents, or diseases. The compensation is provided to the farmers in the event of death of the insured animal or its loss due to euthanasia on account of incurable diseases. The compensation is provided to the farmer in the form of the market value of the animal at the time of its death.

The Livestock Insurance Scheme is implemented by the Agriculture Insurance Company of India (AIC) and is monitored by the Ministry of Agriculture and Farmers Welfare. The scheme is implemented in collaboration with state governments and insurance companies.

The Livestock Insurance Scheme has several benefits over traditional crop insurance schemes. It provides comprehensive coverage to livestock farmers against various risks and uncertainties associated with livestock farming. The scheme has a simple design and is easy to understand and implement. It also provides timely compensation to farmers in the event of loss of their animals due to natural calamities, accidents, or diseases.

However, there may be some challenges faced in the implementation of the scheme, including issues related to the timely payment of claims, inadequate coverage, and lack of awareness among farmers. The government and other stakeholders need to work together to address these challenges and ensure that livestock farmers receive the benefits of the scheme effectively.

g. State-specific crop insurance schemes

There are various state-specific crop insurance schemes in India. Some of the popular ones are:

- 1) **Rajasthan:** Prabhat Dairy Sahakari Mandali Ltd. (PDSM)-This scheme is aimed at dairy farmers and provides insurance cover for their dairy animals.
- 2) **Andhra Pradesh:** Andhra Pradesh Crop Insurance Scheme (APCIS)-This scheme is designed to provide insurance cover to farmers against yield loss due to natural calamities and other risks.

- 3) **Gujarat:** Gujarat State Crop Insurance Scheme-This scheme covers farmers for their crops and provides them with financial assistance in case of crop damage due to natural calamities.
- 4) **Tamil Nadu:** Tamil Nadu Crop Insurance Scheme (TNCIS)-This scheme provides insurance cover to farmers for their crops and covers losses due to various risks such as drought, flood, cyclone, pest attacks, etc.
- 5) **Maharashtra:** Maharashtra State Agriculture Insurance Scheme (MSAIS)-This scheme provides insurance cover to farmers for their crops and covers losses due to natural calamities and other risks.
- 6) **Karnataka:** Karnataka State Agriculture Insurance Scheme (KSAIS)-This scheme provides insurance cover to farmers for their crops and covers losses due to various risks such as drought, flood, pest attacks, etc.
- 7) **West Bengal:** West Bengal Crop Insurance Scheme-This scheme provides insurance cover to farmers for their crops and covers losses due to natural calamities and other risks.

These state-specific crop insurance schemes are designed to cater to the unique agricultural needs of each state and provide financial assistance to farmers in case of crop damage or loss.

III. Challenges

There are several challenges faced in the implementation of crop insurance schemes in India. Some of the major challenges include:

- 1) **Limited coverage:** Despite the government's efforts to increase the coverage under crop insurance schemes, many farmers still do not have access to them. This is due to various factors such as lack of awareness, complicated enrollment procedures, and inadequate financial support.
- 2) **Delayed payments:** Delayed payments or non-payment of claims is one of the major concerns among farmers. This can discourage farmers from availing crop insurance schemes in the future.
- 3) **Poor assessment and settlement of claims:** The assessment and settlement of claims are often based on traditional methods that are time-consuming and subjective. There is a need for more objective and reliable methods to assess crop losses and settle claims quickly.
- 4) **Inadequate data:** Crop insurance schemes require accurate and timely data on crop yields, weather patterns, and market prices.

However, there is a lack of reliable data in many parts of the country, which makes it difficult to design and implement effective crop insurance schemes.

- 5) **Lack of trust:** Many farmers lack trust in insurance companies and the government, which can affect the uptake of crop insurance schemes. This can be attributed to past experiences of non-payment of claims and lack of transparency in the assessment and settlement of claims.
- 6) **High premium rates:** The premium rates for crop insurance schemes are often high, which can be a deterrent for small and marginal farmers who may not have the financial resources to afford them.
- 7) **Insufficient infrastructure:** The lack of infrastructure such as weather stations, crop monitoring systems, and technological tools can affect the assessment and settlement of claims.

Addressing these challenges will require a concerted effort from the government, private sector players, NGOs and other stakeholders. By addressing these challenges, the implementation of crop insurance schemes in India can be improved, leading to better protection for farmers and promoting sustainable agriculture in the country.

IV. Process to avail the insurance

To avail agricultural insurance in India, farmers can follow these steps:

- 1) Approach the nearest bank, cooperative society, or any insurance agent authorized by the government to avail of the agricultural insurance schemes.
- 2) The farmer should provide details of the crops or livestock they want to insure, along with the crop acreage or livestock headcount, the location of the farm and other relevant information required for the scheme.
- 3) The farmer should select the type of insurance scheme they want to avail of, such as the Pradhan Mantri Fasal Bima Yojana (PMFBY), National Agricultural Insurance Scheme (NAIS), Weather-based Crop Insurance Scheme (WBCIS), Livestock Insurance Scheme (LIS), or any other relevant scheme.
- 4) The farmer should pay the required premium amount, which is subsidized by the government, and obtain the insurance policy document.

- 5) In case of any loss or damage to the crops or livestock due to natural calamities or accidents, the farmer should inform the concerned authorities immediately and submit the claim form along with supporting documents such as crop cutting reports, photographs, veterinary certificates, etc.
- 6) The insurance company or agency will assess the loss incurred by the farmer and settle the claim based on the actual loss suffered.

It is important to note that the farmers should carefully read the terms and conditions of the insurance scheme before availing it and should also understand the claim settlement process to ensure a smooth and hassle-free claims settlement process.

V. Role of crop insurance in doubling farmer's income

Insurance schemes can help in doubling farmers' income in several ways:

- 1) **Risk mitigation:** Insurance schemes provide a safety net to farmers against crop failure or loss due to natural calamities, pests, diseases, or other unforeseen events. This reduces the financial risk and uncertainty associated with farming, which in turn boosts the farmers' confidence and willingness to invest in modern technology and higher-yielding crops.
- 2) **Access to credit:** Insurance schemes improve farmers' creditworthiness by ensuring their ability to repay loans in case of crop failure or loss. This encourages banks and other financial institutions to extend credit facilities to farmers, which in turn helps them to invest in new technologies, inputs, and other resources.
- 3) **Improved productivity:** Insurance schemes encourage farmers to adopt best practices and modern technologies to minimize the risk of crop loss. This leads to improved productivity, higher yields, and better-quality produce, which in turn increases the farmers' income.
- 4) **Incentivize diversification:** Insurance schemes incentivize farmers to diversify their crops and livestock, as this reduces the risk of total loss due to a single crop failure or disease outbreak. This also opens up new markets and value chains for farmers, which can further boost their income.

Overall, insurance schemes provide a safety net for farmers and promote the adoption of modern technologies and best practices in agriculture. This, in turn, can increase productivity, improve crop yields, and ultimately lead to a significant increase in farmers' income, contributing to the goal of doubling farmers' income in India.

VI. Some recommendations

Here are some recommendations for improving crop insurance schemes in India and increasing their uptake among farmers:

- 1) **Simplify the enrolment process:** The enrolment process for crop insurance schemes should be made simpler and more transparent. Farmers should be educated about the benefits and features of different schemes in their local language, and the enrolment process should be made accessible through online and offline channels.
- 2) **Customized schemes:** The crop insurance schemes should be customized according to the local crop patterns, weather patterns, and market risks in different regions of the country. This will make the schemes more relevant to farmers and increase their uptake.
- 3) **Technology-enabled:** Technology can play a critical role in increasing the efficiency and effectiveness of crop insurance schemes. The use of remote sensing, drones, and other technologies can help in quick assessment and settlement of claims, reducing the burden on farmers.
- 4) **Increased coverage:** The coverage under crop insurance schemes should be increased to cover more farmers, crops, and risks. This will ensure that more farmers are protected against crop losses and can access credit for the next season.
- 5) **Awareness campaigns:** The government should conduct awareness campaigns to educate farmers about the benefits of crop insurance schemes and how to avail them. These campaigns should be targeted at small and marginal farmers, who are often the most vulnerable to crop losses.
- 6) **Strengthen partnerships:** The government should strengthen partnerships with private sector players, NGOs and other stakeholders to improve the design and implementation of crop insurance schemes. This will bring in new perspectives, ideas, and resources to make the schemes more effective and efficient.
- 7) **Incentivize good agricultural practices:** The crop insurance schemes should incentivize farmers to adopt good agricultural practices, such as soil health management, water conservation, and crop diversification. This will promote sustainable agriculture and reduce the risk of crop losses due to environmental factors.

By implementing these recommendations, the government can improve the reach, effectiveness, and sustainability of crop insurance schemes in India, which will contribute to the goal of doubling farmers' income and enhancing food security in the country.

References

1. Department of Agriculture, Cooperation & Farmers Welfare: <https://agricoop.nic.in/schemes>
2. Agriculture Insurance Company of India Limited: <https://www.aicofindia.com/AICEng/Pages/default.aspx>
3. Pradhan Mantri Fasal Bima Yojana: <https://pmfby.gov.in/>

Chapter - 11

Geographic Information Systems (GIS) and its Applications

Authors

Madhu

Scientist, Division of Computer Applications, ICAR-Indian Agricultural Statistics Research Institute (IASRI), New Delhi, India

Samuel Naik Banavath

M.Sc. (Agricultural Statistics), ICAR-Indian Agricultural Research Institute (IARI), New Delhi, India

Manasa Shevakula

M.Sc. (Environment Science), ICAR-Indian Agricultural Research Institute (IARI), New Delhi, India

Sapna Nigam

Scientist, Division of Computer Applications, ICAR-Indian Agricultural Statistics Research Institute (IASRI), New Delhi, India

Akarsh Singh

M.Sc. (Agricultural Statistics), ICAR-Indian Agricultural Research Institute (IARI), New Delhi, India

Chapter - 11

Geographic Information Systems (GIS) and its Applications

Madhu, Samuel Naik Banavath, Manasa Shevakula, Sapna Nigam and Akarsh Singh

Abstract

Geographic Information Systems (GIS) is an interdisciplinary field that combines computer science, cartography, geography, operation research techniques, computational mathematics, surveying and statistics to manage and analyse spatially referenced data. It is a system designed to capture, store, manipulate, analyse, manage, and present all types of geographical data. It combines hardware, software, data, and personnel to process information that is linked to specific locations on the earth's surface. A GIS establishes a correspondence between spatial and non-spatial data and performs an integrated analysis. Spatial data can be in the form of charts, aerial photos, satellite images, plane table surveyed maps, and GPS-generated observations, while non-spatial data can be in the form of words, numbers, and symbols from sources such as census and secondary surveys. A successful GIS operates according to a well-designed plan and unique business rules for each organization. Map creation can be automated or manual, using scanned images or satellite imagery. The field of GIS has gained significant attention worldwide due to its recent emergence, rapid rate of development, commercial orientation and diversity.

Keywords: Geographic Information Systems, GIS components, data models, spatial data, non-spatial data, decision making, applications of GIS

I. Introduction

Geographic Information Systems (GIS) are computer-based systems used for capturing, managing, analysing and displaying geographic data. GIS allows users to integrate various types of spatial and non-spatial data, such as maps, satellite imagery, demographic information, and real-time data from sensors, into a single database that can be used to make informed decisions and solve complex problems.

GIS is a versatile technology that has been applications in a wide range of fields, including environmental management, urban planning, emergency

response, transportation, agriculture, and natural resource management. GIS is used to visualize and analyse data, generate maps, and produce reports that help decision-makers understand complex relationships between different data sets and make informed decisions.

This book chapter will introduce readers to the basics of GIS, including its history, data structures, and software applications. The chapter will also explore the different types of data that can be used in GIS, such as raster and vector data, and explain how to create and manipulate GIS data. The chapter will conclude by discussing some of the practical applications of GIS, benefits and feature of GIS.

II. History of GIS

A GIS is a computer-based system that is used to store, manage, analyse, and display geospatial data. The origins of GIS can be traced back to the early 1960s when scientists and researchers began using computers to store and analyse data related to the earth's surface. One of the earliest forms of GIS was the Canada Geographic Information System (CGIS), which was developed in the early 1960s by Roger Tomlinson. This system was used to store and analyse data related to land use, natural resources, and other environmental factors. In the 1970s, the development of GIS technology accelerated with the introduction of new hardware and software tools. The first commercial GIS software, called Idrisi, was developed in 1972 and was used by researchers and scientists to analyse and map data related to the earth's surface. In the 1980s, GIS technology continued to evolve with the introduction of new data storage and analysis tools. The development of digital elevation models and the introduction of satellite imagery further enhanced the capabilities of GIS systems one notable example of a GIS software developed during this era is ODYSSEY GIS, which was the first vector GIS developed at Harvard University. The system was built using the LISP programming language and was designed to be used on mainframe computers. ODYSSEY GIS was known for its advanced spatial analysis capabilities and its ability to handle large amounts of data. ODYSSEY GIS was primarily used by government agencies and research institutions, but it also saw some use in the private sector. It was widely used in land use planning and resource management applications, as well as in transportation and urban planning. In the 1990s, GIS technology became more widely available with the advent of affordable personal computers and software. The integration of GIS with the internet and the development of web-based GIS applications allowed for greater accessibility and collaboration among users. Today, GIS technology continues to evolve with the incorporation of new technologies such as GPS, wireless

communication, and machine learning. GIS is widely used in various fields such as natural resource management, land use planning, transportation, and urban planning and has become a necessary tool for government agencies of all levels for routine operations.

III. Definitions of GIS

Several working definitions of geographic information systems (GIS) have been proposed (Kumar D *et al.*, 2019). Almost all definitions concentrate on data, users, software, hardware, methods or a purpose. Before going to some common definitions, it is important to understand the meaning of words:

- a) Geography refers to the scientific study of the earth's surface and its physical and cultural features.
- b) Information refers to the collection, organization, and dissemination of information.
- c) Systems refer to the interdisciplinary study of systems, which can include physical, biological and social systems and the interactions and relationships between them.

Some of the definitions are:

“A GIS is a computer system capable of assembling, storing, manipulating and displaying geographically referenced information, i.e., data identified according to their locations. Practitioners also regard the total GIS as including operating personnel and the data that go into the system” (United States Geological Survey-USGS).

“GIS is an integrated system of computer hardware, software, and trained personnel linking topographic, demographic, utility, facility, image and other resource data that is geographically referenced” (National Aeronautics and Space Administration-NASA).

“A GIS is a system of hardware, software, and procedures to facilitate the management, manipulation, analysis, modeling, representation, and display of geo-referenced data to solve complex problems regarding planning and management of resources” (National Center for Geographic Information and Analysis-NCGIA, 1990).

“A geographic information system (GIS) is a system that creates, manages, analyses, and maps all types of data. GIS connects data to a map, integrating location data (where things are) with all types of descriptive information (what things are like there)” (Environmental Systems Research Institute-ESRI).

“A system for capturing, storing, checking, manipulating, analysing and displaying data which are spatially referenced to the Earth” (Department of Environment 1987).

“GIS is a system of hardware, software, and procedures designed to support the capture, management, manipulation, analysis, modeling, and display of spatially referenced data for solving complex planning and management problems” (Rhind, 1989).

“GIS is defined as a decision support system involving the integration of spatially referenced data in a problem-solving environment” (Cowen, 1988).

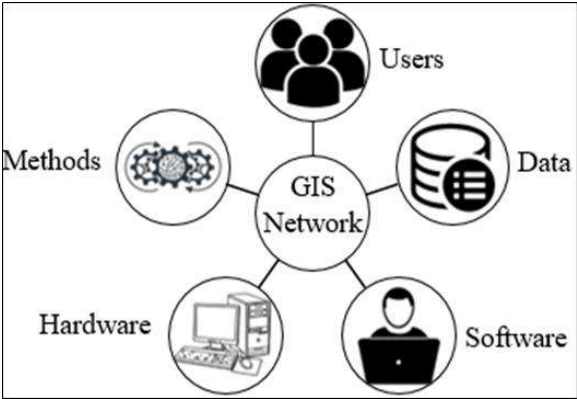
“GIS is a database system in which most of the data are spatially indexed, and upon which a set of procedures operated to answer queries about spatial entities in the database” (Smith *et al.*, 1987).

“GIS is a powerful set of tools for collecting, storing, retrieving at will, transforming and displaying spatial data from the real world” (Burrough, 1986).

“An information technology which stores, analyses, and displays both spatial and non-spatial data” (Parker 1988).

IV. Components of GIS

A Geographic Information System (GIS) is a system designed to capture, store, manipulate, analyse, manage and present spatial or geographic data. A GIS can be composed of several components, including:



a) Hardware components

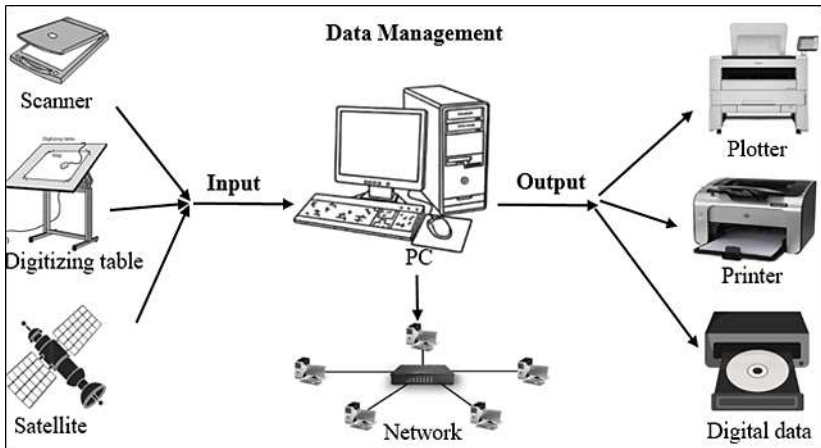


Fig 2: Hardware components of a GIS

The computer, or central processing unit (CPU), is the main hardware component of a GIS. It is connected to a disk drive storage unit, which is used to store data and programs. Other devices, such as scanning and digitization are important components of the hardware component of a GIS. Scanning is the process of converting a picture into a digital image for further processing, with output stored in various formats such as TIFF, BMP, JPG, etc. Digitization, on the other hand, refers to the process of vectorizing map objects using a flat board. Printers and plotters are the most commonly used output devices in a GIS hardware setup. These devices produce the final visual representation of the processed geographical data.

b) Software components

GIS software includes both the programs and user interface used to operate the hardware and manage geographic information. The software is essential for a wide range of tasks, including data acquisition, storage, analysis, transformation and visualization.

i) Data acquisition

It is the process of obtaining and collecting data for a specific geographic area or location. This data can come from a variety of sources, including satellite imagery, aerial photography and field surveys.

ii) Data storage

It is the process of saving and organizing data in a database or file system. This data can be stored in a variety of formats, such as shapefiles, geodatabases and raster datasets.

iii) **Data analysis**

It is the process of using various tools and techniques to analyse and interpret the data stored in a GIS. This can include things like spatial analysis, network analysis and statistical analysis.

iv) **Data transformation**

It is the process of converting data from one format or projection to another. This can be necessary when working with data from multiple sources or when using the data in different software or analysis tools.

v) **Data visualization**

It is the process of creating maps and other visual representations of the data stored in a GIS. This can include things like creating choropleth maps, heat maps and 3D visualizations.

GIS software and tools are the programs and applications used to manipulate and analyse GIS data. There are a wide variety of GIS software and tools available, each with its strengths and weaknesses.

Some popular GIS software and tools include:

- i) **Esri ArcGIS:** This is one of the most popular GIS software packages. It includes many tools for data management, analysis and mapping and is available in both desktop and web-based versions.
- ii) **QGIS:** This is a free and open-source GIS software package. It includes many of the same features as ArcGIS are included, and it can be used for data management, analysis, and mapping.
- iii) **Google Earth Pro:** This is a free GIS software package that allows users to view and analyse satellite imagery and other geographic data. It can also include tools for data management and analysis.
- iv) **GRASS GIS:** This is another free and open-source GIS software package. It includes advanced tools for data management and analysis, and is often used in academics and research.
- v) **AutoCAD Map 3D:** This is a GIS software package that is specifically designed for use with AutoCAD. It includes tools for data management, analysis, and mapping, and is often used in the engineering and surveying fields.
- vi) **R-GIS:** This is a GIS software package that is used in the field of statistics and spatial analysis, which allows for the integration of GIS and statistical analysis.

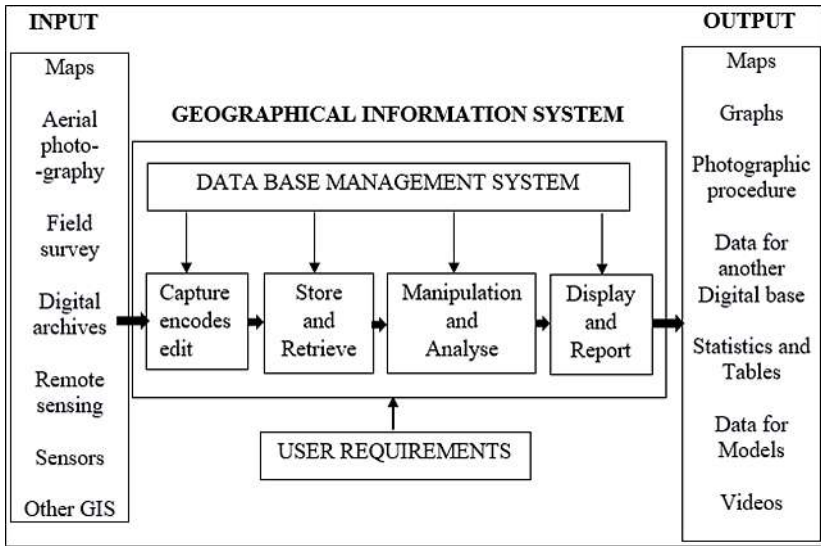


Fig 3: Software components of a GIS

c) Data

The geographic information used by the GIS, such as maps, satellite imagery, and demographic data. The data in a GIS can be organized using a variety of different data models, including vector, raster, and topological data models.

i) Vector data models

These are the most common data model used in GIS. Vector data models use points, lines, and polygons to represent geographic features, such as buildings, roads, and bodies of water. These features are stored as coordinates in a database and can be easily manipulated and analysed using GIS software. Vector data models are used for data that has discrete boundaries, such as political boundaries, property lines and street centrelines.

Point: A single coordinate represented by X and Y that depicts a tiny object, such as a building located on a small-scale map or a service cover on a medium-scale map.

Line: A set of coordinates that show the shape of geographic features too narrow to appear as an area, such as contours, street centrelines, or streams, or linear features with no area, like county boundary lines. Also known as an arc.

Polygon: A representation of areas that are defined by its boundary lines and an interior point for identification. Polygons have attributes that describe the geographic feature they depict.

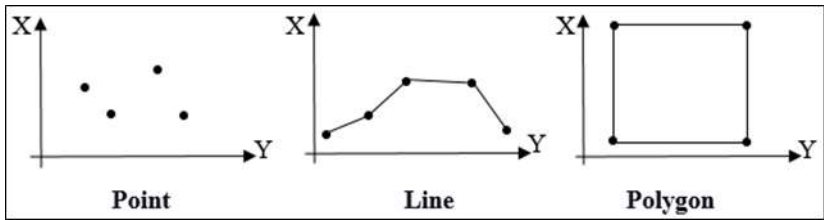


Fig 4: The components of vector data models

ii) Raster data models

Raster data modules use a grid of cells to represent geographic information. Each cell in the grid is assigned a value, such as a color or elevation that represents a specific feature or characteristic of the landscape. Raster data models are used for data that has continuous variations, such as satellite imagery, digital elevation models and land cover maps.

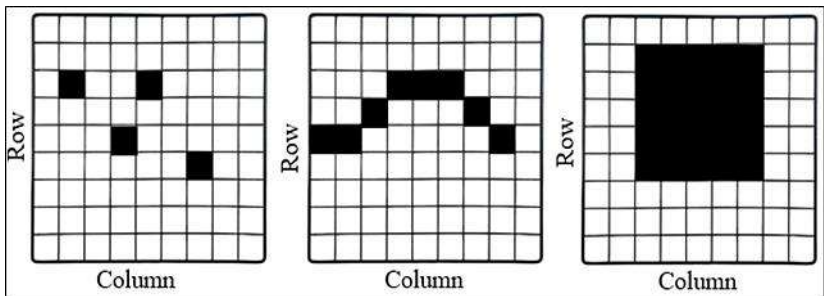


Fig 5: The components of raster data models

iii) Topological data model

Topological data models are used to represent the relationships between different geographic features. They are used to ensure the consistency, accuracy, and integrity of the data in a GIS. For example, a topological data model might be used to ensure that a river is correctly connected to its tributaries, or that a road is correctly connected to the intersections it passes through.

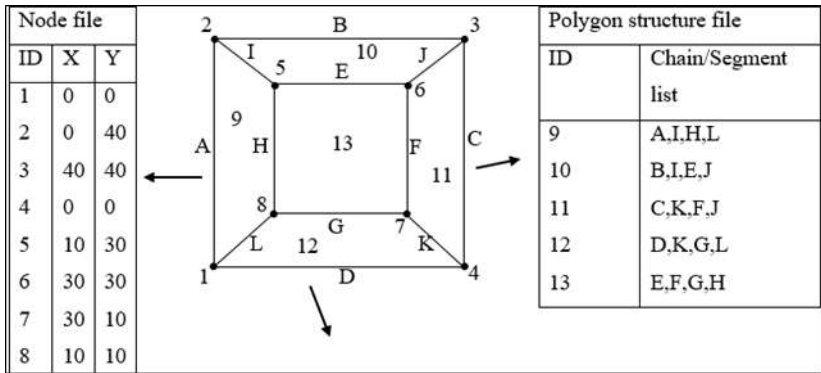


Fig 6: The components of topological data models

Chain/Segment list					
ID	Start-node	End-node	Left-polygon	Right-polygon	Length
A	1	2	Outside	9	40
B	2	3	Outside	10	40
C	3	4	Outside	11	40
D	4	1	Outside	12	40
E	5	6	Inside	13	20
F	6	7	Inside	13	20
G	7	8	Inside	13	20
H	8	5	Inside	13	20
I	5	2	Inside	9	10
J	6	3	Inside	10	10
K	7	4	Inside	11	10
L	8	1	Inside	12	10

d) Users

The utility of GIS technology is contingent upon the competency of individuals who administrate the system and formulate strategies for its practical application. The user base of GIS encompasses both technical experts who design and sustain the system, as well as individuals who leverage it for their daily tasks. The differentiation between GIS professionals and lay users is frequently crucial for the optimal execution of GIS technology.

V. Methods

Different methods are applied in Geographic Information Systems (GIS) depending on the purpose and task. Some frequently used techniques are:

- a) **Spatial data analysis:** Examining and interpreting spatial data to extract useful information.
- b) **Spatial data visualization:** Creating maps, charts, and other visual representations to showcase spatial data in an easy to comprehend format.
- c) **Spatial data management:** Storing, organizing and maintaining spatial data in a database or GIS system
- d) **Spatial data modelling:** Creating digital models of earth's surface such as digital elevation models and digital land cover models.
- e) **Network analysis:** Analysing relationships between various features in a GIS, such as roads, rivers, and buildings.
- f) **Remote sensing:** Collecting data about the earth's surface through tools like satellites and aerial photography.

VI. Network

The communication infrastructure and protocols used for linking GIS components and sharing geographic information include various technologies such as internet protocols (e.g., HTTP, FTP), web services (e.g., WMS, WFS, WCS), and web mapping applications (e.g., Open Layers, Leaflet). These technologies allow for the sharing and dissemination of geographic information in various formats (e.g., maps, images, data) over networks, including the internet and intranets.

VII. Applications of GIS

GIS is a powerful technology that is used to store, manage, analyse, and display geographic data. The ability to combine spatial data with other types of data, such as demographic, economic, and environmental data, allows GIS to support a wide range of applications (Maguire *et al.*, 1991, Ali *et al.*, 2020; Chaminé HI *et al.*, 2021). Some of the most common applications of GIS include:

1. Mapping and visualization

GIS can be used to create detailed maps and 3D visualizations of geographic data. These maps can be used for a variety of purposes, such as planning and decision-making, communication, and education. For example, it can be used to create detailed maps of land use, transportation networks and natural resources, which can be used to inform urban planning decisions.

2. Location-based services

GIS can be used to create location-based services, such as navigation apps and location-based search engines. This technology enables users to search for

specific points of interest, such as restaurants or hotels and provides turn-by-turn directions to those locations. Additionally, GIS can be used to analyse and visualize data from GPS-enabled devices, such as smartphones, to understand how people move through cities and regions.

3. Urban planning

GIS can be used to plan and manage urban development, including transportation, land use (Gachihi *et al.*, 2022) and infrastructure (Banerjee S *et al.*, 2020). For example, it can be used to create detailed maps of transportation networks and land use patterns, which can be used to identify areas in need of improvement, such as congested intersections or areas with inadequate public transportation. Additionally, GIS can be used to assess the impact of proposed development projects on the environment, such as the impact of a new road on wildlife habitats.

4. Disaster management

GIS can be used to plan for and respond to natural disasters, such as floods, earthquakes, and wildfires. For example, GIS can be used to create detailed maps of floodplains (Psomiadis *et al.*, 2019) and landslide-prone areas, which can be used to identify areas at risk of flooding or landslides. Additionally, GIS can be used to analyse satellite imagery and other data to understand the extent and impact of a disaster, such as the extent of flooding or the location of damaged infrastructure.

5. Market analysis

GIS can be used to analyse customer data, understand the behavior of customers, and find a new markets. For example, GIS can be used to analyse data from customer loyalty programs, to understand where customers are coming from and what products and services they are buying. Additionally, GIS can be used to analyse demographic data, understand the characteristics of the population in a particular area, and identify new market opportunities.

6. Telecommunication

GIS can be used to plan and manage telecommunications networks, including cellular networks and fiber-optic networks. For example, GIS can be used to create detailed maps of telecommunications infrastructure, such as cell towers and fiber-optic cables, and to analyse data on network performance and capacity. Additionally, GIS can be used to identify areas in need of improved telecommunications infrastructure, such as rural areas or areas with a high concentration of businesses.

7. Agriculture

Geographic Information Systems (GIS) are powerful tools that can provide detailed and accurate information about various aspects of agriculture (Gebeyehu MN *et al.*, 2019), such as crop mapping, livestock tracking, soil analysis, weather and climate analysis, market analysis, and pest and disease tracking. Crop mapping can be used to track changes in land use and monitor crop yields, while livestock tracking can be used to improve the management of pastures, monitor the health of the animals, and identify patterns of movement. GIS can also be used to create detailed maps of soil types and analyse data on soil properties, as well as weather and climate data, which can be used to identify areas that are particularly vulnerable to extreme weather events, such as droughts or floods. Additionally, GIS can be used to analyse data on agricultural markets, such as prices, demand, and transportation costs. This can be used to identify areas where market conditions are favorable, and to inform decisions about crop selection and marketing. Furthermore, GIS can be used to track the spread of pests and diseases, such as insects and plant pathogens. This can be used to identify areas where pests and diseases are particularly prevalent, and to inform decisions about pest and disease management.

GIS technology can provide farmers, researchers, and policymakers with detailed and accurate information about various aspects of agriculture.

8. Irrigation water management

The integrated use of satellite remote sensing and GIS technology, along with ground information, is an effective approach for managing irrigation water in the agricultural sector. It enables the identification of crops and their requirements and helps to ensure that irrigation water is utilized efficiently and effectively for optimal crop production. To evaluate the effectiveness of irrigation, a combination of satellite remote sensing, GIS technology, and ground information is an efficient approach in both the spatial and temporal domains. This integration enables the identification of the major crops grown in the area, their conditions and their extent, as well as the determination of their yields.

9. Dairy industry

GIS plays a vital role in the dairy industry by providing valuable data and insights that can be used to make informed decisions and drive success. Its ability to visualize and analyse complex information has opened up new opportunities and added new dimensions to the field of dairy farm management and planning. Such as monitoring and analysing the distribution

of dairy products, production rates, and sales performance of shops and stores. This information can be used to gain insight into the demand for milk and dairy products in different regions, helping dairy companies make informed decisions about production, distribution, and sales. Through the use of GIS technology, dairy companies can map out and visualize the various components of their operations, allowing them to identify areas for improvement and optimize their processes. This can help improve efficiency, increase productivity, and ultimately enhance the overall success of the dairy industry.

10. Surveying

GIS is also useful in surveying, which involves the measurement of objects' locations on the earth's surface. GNSS (Global Navigation Satellite System) measurements are used for topographic surveys, which provide centimeter-level accuracy. The data collected can be incorporated into a GIS system to estimate areas and create digital maps.

11. GIS in geology

GIS has become a crucial tool in the field of geology, providing valuable data and insights to help geologists better understand the geologic features of the earth and make informed decisions about the development of infrastructure and other projects (Kemp KK *et al.*, 1992). Its ability to process, analyse, and visualize complex geologic information has greatly advanced the field of geology. One such application is the study of geologic features, where GIS technology is used to analyse soils, strata, and seismic information. This allows geologists to create detailed three-dimensional (3D) visualizations of geological features, providing a better understanding of the terrain and subsurface conditions. GIS can also be used to analyse the characteristics of rocks and minerals, which can be invaluable in identifying the best location for a dam site. This information can help geologists determine the stability of the dam foundation, predict potential seismic activity and assess the likelihood of landslides or other geologic hazards.

12. Environmental Impact Analysis (EIA)

GIS plays a vital role in Environmental Impact Analysis by providing valuable data and insights that can help conserve natural resources and protect the environment. Its ability to integrate and visualize complex information has greatly advanced the field of EIA and improved the decision-making process. EIA is a crucial policy initiative aimed at conserving natural resources and protecting the environment. Many human activities, such as the construction and operation of highways, railroads, pipelines, airports, and radioactive waste

disposal, have the potential to produce adverse environmental effects. To minimize these impacts, environmental impact statements are often required to provide detailed information about the magnitude and characteristics of the environmental impact of these activities. The EIA process can be greatly enhanced with the use of GIS technology. By integrating various GIS layers, it is possible to assess the natural features of an area and make informed decisions about the potential impacts of a proposed project. GIS allows for the creation of detailed maps and visualizations that highlight the relationship between human activities and the environment. This information is essential in the EIA process, as it helps decision-makers understand the potential impacts of a project and make informed decisions about the best course of action to minimize harm to

13. Tourism information system

GIS provides a valuable toolkit of techniques and technologies that can help achieve sustainable tourism development. Tourists can access information on hotels, restaurants, and navigation links and measure distances. This information is crucial in planning travel and contributes to the success of the tourism industry.

14. Worldwide earthquake information system

Earthquakes are one of the most destructive phenomena of nature. A GIS-based user interface system can provide information on earthquake trends worldwide, which can be of great help to earthquake engineers and seismologists in understanding the behavior pattern of earthquakes.

15. Energy use tracking and planning

GIS is a crucial tool for tracking and planning energy use in the energy and utilities industries. It simplifies the complex task of managing energy systems by allowing for effective planning, design and maintenance of facilities, leading to improved services at lower costs.

16. Forest fire hazard zone mapping

The use of GIS in forest fire hazard zone mapping provides valuable insights and assists in making informed decisions to better protect our forests and communities. Forest fires can cause devastating harm to both communities and the environment. GIS plays a crucial role in mitigating the effects of forest fires by producing accurate hazard zone maps and estimating potential losses. With the help of the Global Navigation Satellite System (GNSS) and satellite remote sensing, GIS can provide real-time monitoring of fire-prone areas, enabling swift and effective responses. This helps prevent or minimize the damage caused by forest fires and improves overall forest management.

17. GIS for wildlife management

GIS technology is a valuable tool in managing and protecting wildlife and biodiversity. Threats to wildlife, such as habitat loss, pollution, invasive species, and climate change, require effective management practices to address. GIS provides wildlife management professionals with the ability to analyse, visualize, and examine wildlife data to target areas in need of management interventions. This helps to monitor the effectiveness of conservation efforts and ensure that wildlife populations are protected and preserved for future generations.

18. GIS in deforestation

The use of GIS in understanding deforestation is a critical tool for addressing this pressing environmental issue. By providing accurate data and insights, GIS helps to inform decisions and actions to protect and preserve forests for future generations. By using GIS technology, the extent of deforestation can be accurately assessed and the primary causes of deforestation can be identified. GIS enables continuous monitoring of deforestation, allowing for real-time tracking of changes in forested areas. This provides valuable information for decision-makers to implement measures to reduce deforestation and promote sustainable forest management (Zápotocký M *et al.*, 2022).

19. GIS in desertification

The use of GIS in addressing desertification is a valuable tool for mitigating the impacts of land degradation. By providing accurate data and insights, GIS empowers government agencies and local communities to make informed decisions and take effective action to preserve and protect the land. GIS is increasingly being used by local governments to mitigate the impacts of desertification. With location-based GIS analysis, the most suitable areas for planting new vegetation or constructing pipelines can be identified. This helps to reduce the spread of desertification and supports sustainable land management practices.

20. GIS for public health

The integration of GIS with environmental and public health data significantly adds value to the analysis of public health outcomes (Wang F *et al.*, 2020), helping to create more effective and informed interventions. GIS is a cost-effective tool for analysing the impact of interventions and policies on public health outcomes. By combining GIS analysis with environmental health data, the relationships between diseases and social, institutional,

technological, and natural factors can be better understood. GIS helps explain the complex relationships between environmental pollution and disease and identifies potential exposure to environmental hazards. This valuable information can be used to inform public health decisions and policies.

21. GIS for environment

GIS plays a vital role in protecting the environment by providing environmental professionals with the tools they need to make informed decisions. Environmental professionals use GIS to produce maps, inventory species, measure the impact of human activities on the environment, and track pollutants (Gebeyehu MN *et al.*, 2019). The range of applications for GIS in the environment is extensive, making it an indispensable tool for protecting the natural world. Whether it's used to monitor changes in the environment or to analyse the impact of human activities, GIS provides valuable insights and information for preserving the health of our planet.

22. GIS for municipal water management

GIS can significantly contribute to Municipal Water Management by allowing for mapping and spatial analysis of water infrastructure, monitoring and management of water resources (Singh A *et al.*, 2019), identification of leaks and inefficiencies in the distribution network for better maintenance planning, forecasting and analysing water demand and distribution, assessing water quality and contamination, evaluating water conservation strategies, planning and optimizing water infrastructure projects, and facilitating stakeholder engagement and informed decision-making through transparent access to water-related information.

23. GIS for economic development

The use of GIS in economic development is a powerful tool for supporting business growth and promoting economic stability and prosperity in communities. GIS technology is used to help businesses make informed decisions about where to locate new facilities and grow existing ones. In addition, GIS is also used in the growing field of economic gardening, which focuses on fostering local and regional economic growth by supporting small businesses in the community. By analysing economic and demographic data, GIS provides valuable insights and information to help businesses identify new opportunities and make informed decisions about growth and expansion.

24. Population planning

GIS technology is a valuable tool in population planning, especially in underdeveloped countries where overpopulation and slums are major

concerns. By using satellite imagery and mapping technology, GIS allows population planners to analyse the spatial distribution of the population and understand the factors that contribute to overpopulation and slum formation. Through the use of GIS, population planners can identify areas of high population density and determine the most effective strategies for addressing the challenges of overpopulation and slum development. This information is critical for guiding planning and policy decisions that promote sustainable population growth and improve the quality of life for residents in underdeveloped countries.

25. Government uses

GIS is a widely adopted technology by governments at all levels for various applications. It is used to support decision-making in key areas such as defines and policing, regional planning, environmental management, urban and rural policy decisions, land registration, property taxation, and traffic routing.

- In defence and policing, GIS is used to monitor and respond to security threats, manage law enforcement operations, and analyse crime patterns.
- For regional planning, GIS is used to analyse population demographics, assess land use patterns, and inform zoning decisions.
- In environmental management, GIS is used to monitor and manage natural resources, track environmental impacts, and assess the impact of environmental policies.
- In urban and rural policy decisions, GIS is used to understand the patterns of development, assess the impact of urbanization on rural areas, and inform land use planning.
- For land registration, GIS is used to manage property information, assess property values for tax purposes, and provide access to property information for the public.
- Finally, in traffic routing, GIS is used to optimize transportation systems, manage traffic flow and minimize congestion on roads.

26. Industrial and commercial uses

GIS technology is widely adopted by industry and commerce to support a range of business functions and improve efficiency. GIS is used to manage and monitor supply networks, such as tracking the location of vehicles, resources, and materials. It helps determine the optimal delivery routes by considering factors such as road conditions, traffic, and delivery times. GIS

can be used to locate potential markets and analyse consumer trends, which can help businesses identify new opportunities and prioritize investments. In terms of estimating material needs and costs, GIS is used to model and analyse production processes, evaluate resource requirements, and optimize the allocation of resources. By leveraging GIS technology, businesses can gain a competitive advantage and maximize their operational performance.

26. GIS for reducing human-animal conflicts

GIS can play a significant role in reducing human-animal conflicts by providing a comprehensive view of the situation. GIS-based systems allow stakeholders to analyse patterns of conflict between humans and animals, including the frequency and location of these incidents. This information can be used to identify hotspots and high-risk areas, allowing for targeted management strategies to be implemented. One of the benefits of GIS-based systems is that they provide a centralized view of up-to-date data on animal-human conflict. This data can include information on the type of conflict (such as crop damage or personal injury), the severity of the conflict, and the species involved. The data can be easily visualized on a map, making it easier to identify patterns and understand the spatial dynamics of the conflict. GIS-based systems can come with a mobile app for field reporting. This app allows field staff to report conflicts in real time, providing an accurate and timely source of information. The app can also be used to collect data on the resolution of conflicts, helping to track progress and evaluate the effectiveness of management strategies.

27. GIS for building sustainable cities

GIS plays a critical role in building sustainable cities by supporting data-driven decision-making. GIS supports smart city planning (Li *et al.*, 2020) and development by providing integrated and comprehensive data analysis. GIS allows for citizen engagement in the planning process. GIS-based systems are used to monitor and evaluate the implementation of smart city projects. GIS helps cities prioritize investment and develop sustainable urban growth strategies.

28. GIS for river rejuvenation

GIS plays a crucial role in the rejuvenation of rivers, such as the Ganga. The main objective of Ganga Utsav (Paul *et al.*, 2019), which is organized under the National Mission for Clean Ganga (NMCG), is to increase awareness about the significance of river rejuvenation. To achieve this, GIS provides support through real-time monitoring of the various activities performed during the Utsav. This includes field data collection and reporting,

which can be accomplished through a mobile app. The use of GIS leads to more informed decision-making by providing a centralized view of up-to-date data. By monitoring the progress of rejuvenation efforts, GIS helps to ensure that the objectives of the Utsav are met and that the river is revitalized.

29. GIS for combating healthcare risks

GIS technology played a crucial role in combating the risks associated with the COVID-19 pandemic. By utilizing comprehensive GIS-based dashboards, healthcare administrators were able to gain a clear understanding of the current situation and distribution of COVID-19 cases (Ahasan R *et al.*, 2020, Rezaei M *et al.*, 2020, Zhou C *et al.*, 2020). These dashboards provided detailed information on the number of cases in each district, a heat map that showed the concentration of cases, and information on the available healthcare infrastructure. Additionally, GIS was integrated with a mobile app that was used to monitor and track patients who were in quarantine. This allowed healthcare administrators to respond more effectively to the pandemic and ensure that patients received the necessary care and treatment. The integration of GIS into the COVID-19 response effort demonstrated its value as a tool for managing and mitigating the risks associated with public health emergencies.

30. GIS for empowering rural women

The Bhu-Kaushal Program is an innovative effort aimed at bringing technology education to rural communities. This program is designed to provide rural women with the skills and knowledge needed to succeed in the field of GIS. As the first of its kind, the Bhu-Kaushal Program is a massive e-learning course that covers the basics to advanced concepts of GIS. This comprehensive education will empower rural women with the necessary spatial skills and make them job-ready in this rapidly growing field. The goal of the Bhu-Kaushal Program is to bridge the digital divide and provide opportunities for rural women to succeed in the technology industry. By offering this unique and comprehensive e-learning course, the program hopes to create a more inclusive and diverse technology workforce.

VIII. Benefits of GIS

a) Improved decision making

GIS allows for the visualization and analysis of data to identify patterns and relationships, leading to better-informed decisions. GIS tools enable spatial analysis and modeling to evaluate various alternatives and scenarios.

b) Increased efficiency

GIS automates many tasks, reducing the time and effort required to complete tasks. It enables data to be easily shared and accessed, reducing duplicated efforts.

c) Better communication

GIS creates clear and concise maps, diagrams, and presentations that can be easily understood by a wide range of stakeholders. It provides a common language for stakeholders to communicate and collaborate effectively.

d) Enhanced situational awareness

GIS enables real-time tracking and monitoring of changes, providing users with up-to-date information. GIS supports disaster response and management by providing critical information during a crisis.

e) Integration of data

GIS integrates data from various sources and formats, allowing for a more comprehensive view of complex problems. GIS enables the overlay of multiple data layers to identify relationships and trends.

f) Cost savings

GIS reduces the need for manual data collection and processing, leading to cost savings. GIS improves resource allocation and helps organizations allocate resources more effectively.

g) Supports sustainable development

GIS helps in monitoring and managing natural resources and ecosystems. GIS provides tools to support smart growth and sustainable urban planning.

h) Supports geographic targeting

GIS helps in identifying and targeting specific geographic areas for specific actions or interventions. It supports demographic and socioeconomic analysis to guide targeted marketing and outreach efforts.

IX. Features of GIS

The future of GIS is expected to involve a number of new and emerging trends, such as:

- a) Big data and cloud computing:** As the amount of data available for analysis continues to grow, GIS will need to be able to handle larger and more complex datasets (Yue P *et al.*, 2014, Goldberg D *et al.*, 2014). This will involve using cloud-based storage and processing

solutions, as well as advanced analytics and machine learning techniques.

- b) **Real-time and streaming data:** GIS will need to be able to process and analyse data in real-time, as it is being generated (Li *et al.*, 2020). This will involve using technologies such as IoT and edge computing to collect and process data as it is being generated.
- c) **3D and Virtual reality:** GIS will increasingly be used to create 3D models and visualizations of the physical world (Kamel Boulos MN *et al.*, 2017). This will involve using technologies such as lidar and photogrammetry to capture data and virtual reality to display it.
- d) **Automation and Machine learning:** GIS will increasingly use automation and machine learning to analyse data and make predictions (Pepe M *et al.*, 2021). This will involve using techniques such as deep learning and neural networks to extract insights from data.
- e) **Interoperability:** GIS will need to be able to share data and collaborate with other systems and platforms, such as BIM, CAD, and other geospatial data (Shirowzhan S *et al.*, 2020). This will involve using open standards and web services to enable data sharing.
- f) **Mobile GIS and Augmented Reality:** GIS will increasingly be used on mobile devices and in augmented reality applications (Huang K *et al.*, 2021). This will involve using technologies such as GPS, cameras, and sensors to collect and process data, and display it in real-time.

GIS is an important and versatile technology that plays a crucial role in decision-making and problem-solving across a wide range of industries and applications. With its ability to integrate, analyse and visualize data, it enables professionals to gain a deeper understanding of the world around us and make informed decisions accordingly.

References

1. Ahasan R, Alam MS, Chakraborty T, Hossain MM. Applications of GIS and geospatial analyses in COVID-19 research: A systematic review. F1000Research, 2020, 9.
2. Ali E. Geographic information system (GIS): definition, development, applications & components. Department of Geography, Ananda Chandra College. India, 2020.

3. Anji Reddy M. Remote Sensing and Geographical Information System. 3rd Edition, BS Publication, Hyderabad, 2010.
4. Banerjee S, Chakraborty C, Das D. An approach towards GIS application in smart city urban planning. In Internet of Things and Secure Smart Environments. Chapman and Hall/CRC, 2020, 71-110.
5. Burrough PA. Principles of geographical. Information systems for land resource assessment. Clarendon Press, Oxford, 1986.
6. Chaminé HI, Pereira AJ, Teodoro AC, Teixeira J. Remote sensing and GIS applications in earth and environmental systems sciences. SN Applied Sciences. 2021;3:1-3.
7. Gachihi, Daniel. Application of Gis in Physical and Land use Planning: Case Study: Gatundu Town. Diss. University of Nairobi, 2022.
8. Gebeyehu MN. Remote sensing and GIS application in agriculture and natural resource management. International Journal of Environmental Sciences & Natural Resources. 2019;19(2):45-9.
9. Goldberg D, Olivares M, Li Z, Klein AG. Maps & GIS data libraries in the era of big data and cloud computing. Journal of Map & Geography Libraries. 2014;10(1):100-22.
10. Huang K, Wang C, Wang S, Liu R, Chen G, Li X. An efficient, platform-independent map rendering framework for mobile augmented reality. ISPRS International Journal of Geo-Information. 2021;10(9):593.
11. Ian H. An introduction to geographical information systems. Pearson Education India, 2010, 2-420.
12. Kamel Boulos MN, Lu Z, Guerrero P, Jennett C, Steed A. From urban planning and emergency training to Pokémon Go: applications of virtual reality GIS (VRGIS) and augmented reality GIS (ARGIS) in personal, public and environmental health. International journal of health geographics. 2017;16(1):1-1.
13. Kemp KK, Goodchild MF, Dodson RF. Teaching GIS in geography. The Professional Geographer. 1992;44(2):181-91.
14. Kumar D, Singh RB, Kaur R, Kumar D, Singh RB, Kaur R. Spatial Information Technology: Definitions, Types and Linkages. Spatial Information Technology for Sustainable Development Goals, 2019, 3-14.
15. Li W, Batty M, Goodchild MF. Real-time GIS for smart cities. International Journal of Geographical Information Science. 2020;34(2):311-24.

16. Li W, Batty M, Goodchild MF. Real-time GIS for smart cities. *International Journal of Geographical Information Science*. 2020;34(2):311-24.
17. Maguire DJ. An overview and definition of GIS. *Geographical information systems: Principles and applications*. 1991;1(1):9-20.
18. Paul GC, Saha S, Hembram TK. Application of the GIS-based probabilistic models for mapping the flood susceptibility in Bansloi sub-basin of Ganga-Bhagirathi River and their comparison. *Remote Sensing in Earth Systems Sciences*. 2019;2:120-46.
19. Pepe M, Costantino D, Alfio VS, Vozza G, Cartellino E. A novel method based on deep learning, GIS and geomatics software for building a 3D city model from VHR satellite stereo imagery. *ISPRS International Journal of Geo-Information*. 2021;10(10):697.
20. Psomiadis E, Soulis KX, Zoka M, Dercas N. Synergistic approach of remote sensing and gis techniques for flash-flood monitoring and damage assessment in Thessaly plain area, Greece. *Water*. 2019;11(3):448.
21. Rezaei M, Nouri AA, Park GS, Kim DH. Application of geographic information system in monitoring and detecting the COVID-19 outbreak. *Iranian Journal of Public Health*. 2020;49(1):114.
22. Rhind D, Why GIS. *Arc News*. 1989;11(3):28-9.
23. Shirowzhan S, Sepasgozar SM, Edwards DJ, Li H, Wang C. BIM compatibility and its differentiation with interoperability challenges as an innovation factor. *Automation in Construction*. 2020;112:103-086.
24. Singh A. Remote sensing and GIS applications for municipal waste management. *Journal of environmental management*. 2019;243:22-9.
25. Smith TR, Menon S, Star JL, Estes JE. Requirements and principles for the implementation and construction of large-scale geographic information systems. *International Journal of Geographical Information System*. 1987;1(1):13-31.
26. Tomlinson RF. *Thinking about GIS: geographic information system planning for managers*. ESRI, Inc., 2007.
27. Wang F. Why public health needs GIS: a methodological overview. *Annals of GIS*. 2020;26(1):1-2.
28. Yue P, Jiang L. BigGIS: How big data can shape next-generation GIS. In *The third international conference on Agro-Geo-informatics*. IEEE, 2014, 1-6.

29. Zápotocký M, Koreň M. Multipurpose GIS Portal for Forest Management, Research and Education. ISPRS International Journal of Geo-Information. 2022;11(7):405.
30. Zhou C, Su F, Pei T, Zhang A, Du Y, Luo B, *et al.* COVID-19: challenges to GIS with big data. Geography and sustainability. 2020;1(1):77-87.
31. Zhu AX, Zhao FH, Liang P, Qin CZ. Next generation of GIS: must be easy. Annals of GIS. 2021;27(1):71-86.
32. Benefits of GIS, 1969. viewed at <https://www.esri.com/en-us/home>.
33. GIS Applications viewed at <https://grindgis.com/blog/gis-applications-uses>. 16th March, 2020.
34. GIS definition and terminology viewed at <https://www.onestopgis.com/GIS-Theory-and-Techniques/Introduction/Understanding-Basics-of-GIS/2-Literal-Definition-of-GIS.html>.
35. GIS History viewed at <https://gisgeography.com/history-of-gis/>. 12th February, 2020.
36. GIS introduction viewed at <https://www.merlot.org/merlot/viewMaterial.htm?id=514304>. 14th January, 2011.

Chapter - 12
**Plants Derived Bioactive Compounds: A New Era
in Antimicrobial Therapeutics**

Authors

Subham Saha

Ph.D. Scholar, Mycology and Plant Pathology Laboratory,
Department of Botany, Gauhati University, Guwahati, Assam,
India

Taslina Fatema Yesmin

Assistant Professor, Department of Botany, B.N. College,
Dhubri, Assam, India

Chiranjib Mili

Assistant Professor, Department of Botany, B.P. Chaliha
College, Kamrup, Assam, India

Kumanand Tayung

Professor, Department of Botany, Gauhati University,
Guwahati, Assam, India

Chapter - 12

Plants Derived Bioactive Compounds: A New Era in Antimicrobial Therapeutics

Subham Saha, Taslima Fatema Yesmin, Chiranjib Mili and Kumanand Tayung

Abstract

Plant-derived bioactive compounds have emerged as powerful tools in the fight against microbial infections and antimicrobial resistance (AMR) microorganisms. Compounds, derived from various plant species, offer diverse mechanisms of action and hold promise for sustainable and effective therapeutic interventions. This review explores various plant derived metabolites, their mechanistic action and its significance in addressing the challenges posed by AMR, offering a comparative analysis with traditional antibiotics, and delving into emerging trends that are poised to reshape the landscape of antimicrobial therapy. Bioactive plant compounds not only provide multifaceted solutions but also align with sustainability and eco-friendly healthcare practices. Their integration into mainstream medicine requires rigorous research, standardization, and regulatory support. The future of this field promises advanced screening techniques, enhanced extraction methods, synthetic biology integration, targeted delivery systems, and a deeper understanding of complex interactions. These developments are set to usher in a transformative era in antimicrobial therapeutics, harmonizing traditional wisdom with modern science to forge a more promising future for global health.

Keywords: Bioactive plant compounds, antimicrobial resistance, sustainable healthcare, global health

1. Introduction

Plant-derived antimicrobial agents hold profound importance in healthcare as versatile and sustainable tools for combating infections. These natural compounds, extracted from various parts of plants, offer a multifaceted approach to addressing the challenges of microbial diseases (Atanasov *et al.*, 2021). As antibiotic resistance continues to rise, plant-derived antimicrobials provide a valuable alternative, helping diversify

treatment options and bolster our ability to combat a wide range of pathogens, including bacteria, viruses, fungi, and parasites. Their often-milder side effects and compatibility with the human body make them well-suited for patients who may be sensitive to synthetic pharmaceuticals. Additionally, these compounds can be produced more affordably and sustainably, contributing to cost-effective healthcare and environmental responsibility. In an era where the need for effective, accessible, and eco-friendly healthcare solutions is paramount, the significance of plant-derived antimicrobial agents cannot be overstated, ushering in a new era of antimicrobial therapeutics with both traditional wisdom and modern science in harmony.

The rise of antimicrobial resistance (AMR) stands as a paramount and intricate obstacle to contemporary global public health efforts. AMR, driven by the overuse and misuse of antibiotics, has led to the evolution of bacteria, viruses, and fungi that are increasingly resistant to our most potent drugs (Salam *et al.*, 2023). This escalating crisis has dire implications, transcending the boundaries of healthcare to impact society at large, from economic stability to food security. The limitations of existing treatments have underscored the urgency of exploring novel therapeutic strategies that can effectively combat microbial adversaries while minimizing the risk of further resistance. As conventional antibiotics struggle to maintain their efficacy in the face of relentless microbial adaptation, the urgent need for innovative and sustainable solutions in the realm of antimicrobial therapeutics has become increasingly apparent (Rizvi *et al.*, 2022). This review seeks to shed light on the profound significance of bioactive plant compounds in the fight against AMR and their potential to lead in a transformative era in the field of antimicrobial therapy. Bioactive plant compounds, derived from nature's vast pharmacopeia, have emerged as a source of considerable promise. These compounds, found abundantly in a multitude of plant species, have long been harnessed in traditional medicine systems across the globe (Ahad *et al.*, 2021). Now, in this era of advanced scientific inquiry, we stand at the precipice of unlocking their full therapeutic potential. The bioactive compounds found in plants exhibit a remarkable array of antimicrobial properties, and their mechanisms of action are as diverse as the ecosystems from which they are derived. Through this review, we embark on an ambitious endeavour to explore the multifaceted dimensions of these compounds, their origins, and their intricate interactions with microbial invaders.

Our objective is to shed light on the hopeful opportunities and potential hurdles linked to the incorporation of bioactive plant compounds into

conventional healthcare practices. By doing so, we aspire to actively participate in the ongoing discourse surrounding inventive and enduring responses to the AMR predicament. Through this investigation, we aspire to pave the way toward a fresh epoch in antimicrobial therapeutics, wherein the tenacity of the natural world harmonizes with scientific innovation to forge a more promising future for global health.

2. Bioactive plant compounds as antimicrobial agents

Bioactive plant compounds represent a treasure trove of naturally occurring phytochemicals, represent a rich and diverse array of chemical compounds with profound implications for human health and medicine. These compounds have garnered immense importance and offer substantial benefits in the battle against antimicrobial resistance (AMR). As a consequence of their natural evolution, plants have synthesized these compounds to safeguard themselves against microbial threats and environmental stressors (Sen and Samanta, 2015). Notably, these compounds have demonstrated the potential to deter the development of resistance among microbes, a challenge that has plagued conventional antibiotics. With their diverse chemical structures and formidable antimicrobial properties, bioactive plant compounds offer a promising avenue for the development of innovative and sustainable solutions to address the growing menace of AMR. Their mechanisms of action are multifaceted, disrupting microbial cell membranes, inhibiting cell wall synthesis, and interfering with crucial enzymatic processes. Within this multifaceted category, several distinct classes of bioactive plant compounds have been identified, each with its unique characteristics and potential therapeutic properties. Among these classes are alkaloids, flavonoids, polyphenols, terpenes and essential oils, all of which contribute to the vast pharmacological diversity found in the plant kingdom.

Alkaloids, a prominent subgroup of bioactive compounds, represent a diverse array of nitrogen-containing molecules celebrated for their potent physiological effects. In the context of antimicrobial resistance (AMR), alkaloids have demonstrated noteworthy attributes (Anand *et al.*, 2020). For instance, caffeine, a widely recognized alkaloid found in coffee, has shown potential in combating AMR by augmenting the efficacy of certain antibiotics (Chadha *et al.*, 2022). Additionally, nicotine, an alkaloid abundant in tobacco, has sparked interest due to its possible role in mitigating microbial resistance mechanisms (Jan *et al.*, 2021). Beyond these examples, alkaloids exhibit their antimicrobial potential across various plant species. Historically significant contributions from alkaloid-rich plants like the opium

poppy and cinchona tree have shaped the development of medicines (Patwardhan, 2000). This multifaceted role of alkaloids in the battle against AMR underscores their significance and warrants further exploration in the pursuit of innovative antimicrobial solutions.

Flavonoids, another class of bioactive plant compounds, have garnered attention in the context of antimicrobial resistance (AMR) due to their remarkable properties. These compounds, known for their potent antioxidant and anti-inflammatory characteristics, have shown promise in combating AMR (Kongkham *et al.*, 2020). For instance, flavonoids found in various plant sources have demonstrated the ability to inhibit the growth and proliferation of antibiotic-resistant bacteria, offering potential avenues for novel treatments. The wide distribution of flavonoids in the plant kingdom, spanning fruits, vegetables, and grains, highlights their accessibility as natural resources with therapeutic potential (Romes *et al.*, 2021). Their diverse chemical structures, responsible for the vibrant colours observed in many plants, provide a foundation for extensive research into their antimicrobial properties and their role in combating the evolving challenges posed by AMR.

Polyphenols, a versatile group of compounds encompassing flavonoids, have become increasingly relevant in the context of antimicrobial resistance (AMR) due to their multifaceted properties. While these compounds are renowned for their potent antioxidant potential and their links to various health benefits, including cardiovascular health and cancer prevention, their significance extends to the realm of AMR (Ignat *et al.*, 2011). Several polyphenols have exhibited promising antimicrobial effects against drug-resistant bacteria, fungi, and viruses. For instance, epigallocatechin gallate (EGCG), a polyphenol abundant in tea leaves, has shown potential in inhibiting the growth of antibiotic-resistant strains of bacteria (Osterburg *et al.*, 2009). Resveratrol, found in grapes and red wine, has also demonstrated antimicrobial properties, adding to the arsenal of natural compounds in the fight against AMR (Savoia, 2012). Furthermore, polyphenols present in foods like dark chocolate and specific nuts have been investigated for their contributions to preventing microbial resistance mechanisms (Mustafa *et al.*, 2020). This expanding knowledge of polyphenols underscores their importance in addressing the urgent challenges posed by AMR and opens avenues for innovative antimicrobial strategies.

Terpenes, a diverse class of natural compounds found abundantly in plants and some microorganisms, have gained increasing attention in the realm of antimicrobial therapeutics. These hydrocarbons, known for their

distinctive aromas and flavours in various plant species, exhibit a wide range of biological activities, including potent antimicrobial properties (Sharma *et al.*, 2022). One notable example is the terpene compound called "thymol," commonly found in thyme and oregano essential oils. Thymol has demonstrated strong antibacterial activity against a variety of pathogens, including *Escherichia coli* and *Staphylococcus aureus* (Gallucci *et al.*, 2009). Another noteworthy terpene is " α -pinene," prevalent in pine trees. α -Pinene has shown promise as an antimicrobial agent, particularly against fungal infections (Kovač *et al.*, 2015). These examples highlight the diverse and promising potential of terpenes as natural antimicrobial agents, offering an intriguing avenue for the development of new therapeutic approaches in combating microbial infections.

Essential oils, valued for their aromatic and therapeutic qualities, have emerged as potential assets in the battle against antimicrobial resistance (AMR). These volatile compounds, which contribute to the characteristic scents and flavours of various plants, often serve as the plants' natural defense mechanisms against herbivores and pathogens (Kong *et al.*, 2022). In the realm of AMR, essential oils from plants like lavender, eucalyptus, and peppermint have demonstrated noteworthy antimicrobial properties. Lavender essential oil, for instance, has shown potential in inhibiting the growth of antibiotic-resistant bacteria (Aljaafari *et al.*, 2021). Eucalyptus essential oil, known for its invigorating aroma, has been explored for its ability to combat respiratory infections, including those caused by resistant strains (Ahmad *et al.*, 2023a). Peppermint essential oil, celebrated for its fresh and minty scent, has exhibited antimicrobial effects that make it a subject of interest in addressing AMR challenges (Ahmad *et al.*, 2023b). These examples underscore the multifaceted potential of essential oils as natural alternatives to conventional antibiotics, driving research and application in the pursuit of innovative antimicrobial solutions.

Emphasizing the richness and diversity of plant species as sources of these bioactive compounds is crucial. The plant kingdom offers an incredible range of species, each with its unique biochemical profile. This diversity provides a vast reservoir of bioactive compounds that researchers and healthcare practitioners can explore for their potential applications in antimicrobial therapeutics and various facets of medicine. From tropical rainforests to temperate forests, from traditional herbal remedies to modern pharmaceuticals, the sources of bioactive plant compounds span the globe, offering endless possibilities for innovation and discovery in the quest for effective antimicrobial solutions and improved healthcare.

3. Mechanisms of action

Bioactive plant compounds deploy a rich array of mechanisms through which they harness their formidable antimicrobial prowess. These intricate mechanisms are the linchpin of their effectiveness in combating microbial infections and confronting the formidable challenge posed by antimicrobial resistance (AMR).

Alkaloids

Alkaloids, a diverse subgroup of bioactive plant compounds, operate through various intricate mechanisms to counter antimicrobial resistance (AMR). One compelling mechanism involves their ability to disrupt microbial cell membranes. For instance, caffeine, a well-known alkaloid found in coffee, interacts with the lipid bilayer of bacterial cell membranes. This interaction destabilizes the membrane, rendering it more permeable (Makarewicz *et al.*, 2021). Consequently, essential ions and molecules leak out, disrupting the microbe's internal equilibrium and eventually leading to cell death. Caffeine, in combination with antibiotics, has shown potential in augmenting the efficacy of these medications against resistant bacteria, offering a promising avenue to combat AMR.

Flavonoids

Flavonoids, another class of bioactive plant compounds, employ multifaceted mechanisms in the context of antimicrobial resistance. They often exhibit an ability to inhibit microbial enzymes crucial for various metabolic processes. For instance, certain flavonoids like myricetin have been found to target enzymes involved in DNA replication and repair in bacteria (Robinson *et al.*, 2012). By interfering with these essential processes, flavonoids hinder the microorganism's ability to replicate, reducing its capacity to establish infections. This mechanism has significant implications in combating the proliferation of antimicrobial-resistant strains.

Polyphenols

Polyphenols, encompassing flavonoids, are renowned for their versatile mechanisms of action against antimicrobial resistance. One pivotal mechanism lies in their capacity to modulate microbial gene expression. Certain polyphenols can downregulate genes responsible for virulence factors, which are instrumental in a microbe's pathogenicity (Robinson *et al.*, 2008). By attenuating these virulence factors, polyphenols make the microorganism less harmful to the host, allowing the host's immune system to mount a more effective defense. Additionally, some polyphenols disrupt

microbial cell membranes, destabilizing their structural integrity and rendering them more susceptible to immune defences and antibiotics (Panda *et al.*, 2022). This dual action on virulence and membrane integrity offers a potent strategy to combat AMR.

Terpenes

Terpenes, a diverse group of naturally occurring compounds found in various plants and essential oils, exhibit compelling mechanisms of action in the realm of antimicrobial therapeutics. Their antimicrobial efficacy arises from several key mechanisms. First, terpenes can disrupt the structural integrity of microbial cell membranes (Ergüden *et al.*, 2021). By interacting with the lipids in the cell membrane, they increase permeability, leading to leakage of cellular components and ultimately cell death. Additionally, terpenes have the capacity to denature microbial proteins critical for their survival, interfering with essential enzymatic processes. Some terpenes also interfere with microbial DNA and RNA replication, inhibiting their ability to multiply and proliferate (Wink and Schimmer, 2010). Moreover, terpenes exhibit anti-biofilm activity, preventing the formation and disrupting the integrity of microbial biofilms, which are often highly resistant to conventional antibiotics. These multifaceted mechanisms make terpenes promising candidates for the development of novel antimicrobial agents, offering potential solutions to combat a wide range of microbial infections.

Essential oils

Essential oils, derived from various plants, harbour unique mechanisms in the context of antimicrobial resistance. They are particularly adept at targeting microbial cell membranes (Predoi *et al.*, 2018). For example, compounds in essential oils, like terpenes and phenols, interact with the lipid bilayer of bacterial cell membranes. This interaction disrupts membrane integrity, making it more permeable. As a consequence, vital cellular components leak out, leading to microbial cell death. Essential oils have also shown potential in inhibiting the growth of antibiotic-resistant bacteria and fungi, underscoring their significance in combatting AMR.

Therefore, these mechanisms highlight the diverse and intricate strategies employed by bioactive plant compounds, including alkaloids, flavonoids, polyphenols, and essential oils, in the relentless battle against antimicrobial resistance. Their multifaceted actions not only hold promise in enhancing the efficacy of conventional antibiotics but also offer novel avenues to mitigate the emergence and spread of resistant microbial strains. Understanding these mechanisms is essential for harnessing the full potential

of bioactive plant compounds in addressing the complex and evolving challenge of AMR.

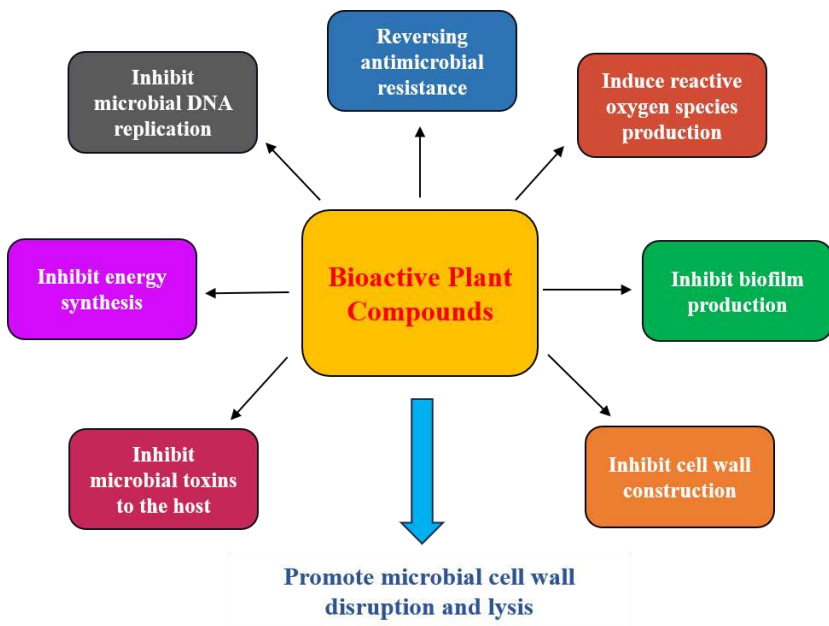


Fig 1: Graphical representation of the mechanisms underlying the antimicrobial effects of bioactive compound

4. Extraction and isolation methods

The extraction, purification, and isolation of bioactive compounds from plants are critical steps in the process of harnessing the therapeutic potential of these natural compounds. These techniques are essential for obtaining pure and concentrated bioactive compounds for use in various applications, including pharmaceuticals, cosmetics, and dietary supplements. Here's an elaboration on the techniques involved:

Extraction techniques

- **Maceration:** This is a simple method where plant material is soaked in a solvent (often ethanol or water) to allow the bioactive compounds to dissolve (Jha and Sit, 2022). Maceration is ideal for heat-sensitive compounds.
- **Soxhlet extraction:** This technique involves continuous extraction and is suitable for compounds that are less soluble in solvents at room temperature (Abubakar and Haque, 2020). It uses a cycle of

solvent evaporation and condensation to repeatedly extract the desired compounds.

- **Steam distillation:** This method is commonly used to extract essential oils from aromatic plants (Božović *et al.*, 2017). Steam is passed through the plant material, causing the essential oils to vaporize, which are then condensed and collected.
- **Supercritical Fluid Extraction (SFE):** SFE uses supercritical fluids, often carbon dioxide, to extract bioactive compounds. This method is known for its ability to selectively extract specific compounds while leaving others behind (Patil *et al.*, 2021).
- **Ultrasonic-Assisted Extraction (UAE):** Ultrasonic waves are used to disrupt plant cell walls and facilitate the release of bioactive compounds into the solvent (Mala *et al.*, 2021). It is a rapid and efficient extraction method.

Purification and isolation methods

- **Chromatography:** Various chromatographic techniques, such as column chromatography, thin-layer chromatography (TLC), and high-performance liquid chromatography (HPLC), are used to separate and purify bioactive compounds based on their chemical properties like polarity and molecular size (Ingle *et al.*, 2017).
- **Fractionation:** This process involves dividing a mixture of compounds into smaller fractions based on their physical or chemical properties (Krauss *et al.*, 2010). Fractionation can be achieved through techniques like solvent partitioning or solid-phase extraction.
- **Crystallization:** By carefully controlling temperature and solvent conditions, it's possible to encourage the crystallization of specific compounds, leading to their isolation and purification (Tung *et al.*, 2023).
- **Precipitation:** Chemical reactions can be induced to cause the selective precipitation of the target compounds, followed by separation from the remaining solution (Matulis, 2016).
- **Liquid-liquid extraction:** This method involves partitioning compounds between two immiscible solvents to separate and isolate specific bioactive compounds (López-Rodríguez *et al.*, 2022).
- **Preparative scale techniques:** For large-scale production, preparative chromatography and preparative high-performance

liquid chromatography (Prep-HPLC) are used to isolate and purify bioactive compounds in significant quantities (Yu *et al.*, 2017).

These extraction, purification, and isolation methods are often used in combination, depending on the complexity of the plant material and the properties of the bioactive compounds being targeted. Properly executed techniques ensure the production of high-quality, pure bioactive compounds that can be further studied or utilized for various applications in healthcare and other industries.

5. Efficacy and research studies

Bioactive plant compounds have emerged as compelling candidates for antimicrobial therapeutics, as research findings continue to unveil their effectiveness against a wide array of microbial adversaries, including bacteria, fungi, and viruses. In the realm of *in vitro* studies, these compounds have exhibited remarkable antimicrobial properties. For instance, berberine, extracted from various plant sources (Aiyegoro and Okoh, 2009), has demonstrated its prowess in disrupting bacterial cell membranes and interfering with essential microbial processes. Likewise, allicin from garlic and curcumin from turmeric have showcased their ability to combat bacterial infections effectively (D'Souza *et al.*, 2017). These *in vitro* studies provide a strong foundation for exploring the potential of bioactive plant compounds in the fight against microbial pathogens. Transitioning to *in vivo* research, the efficacy of these compounds becomes even more apparent. Animal models and clinical trials have yielded promising results that underscore their practical utility. In animal studies, cranberry extract has shown the potential to reduce bacterial burdens and alleviate infection-related symptoms, as seen in rat models infected with *Staphylococcus aureus* (Gyssens, 2011). Clinical trials have further reinforced these findings, such as the use of tea tree oil in the treatment of acne vulgaris, where patients experienced tangible improvements (Kumar *et al.*, 2022). These *in vivo* outcomes not only substantiate the efficacy of bioactive plant compounds but also hint at their possible applications in human health.

In the realm of fungal adversaries, bioactive plant compounds have also proven their mettle. *In vitro* investigations have consistently revealed their potent antifungal properties, with compounds like thymol from thyme (Šegvić Klarić *et al.*, 2017), eugenol from cloves (Rana *et al.*, 2011), and resveratrol from grapes (Jung *et al.*, 2007) effectively disrupting fungal cell membranes and halting fungal growth. *In vivo* studies bolster these findings, as animal models infected with fungi like *Candida* spp. and *Aspergillus* spp.

have demonstrated the compounds' ability to reduce fungal burdens and mitigate associated symptoms (Tavakkoli *et al.*, 2020).

In case of viruses, bioactive plant compounds have shown promise in inhibiting viral replication. *In vitro* studies have indicated their antiviral potential against diverse viruses, including influenza, herpes simplex, and HIV (Akram *et al.*, 2018). Compounds such as quercetin from citrus fruits (David *et al.*, 2016) and epigallocatechin gallate (EGCG) from green tea (Du *et al.*, 2012) have demonstrated substantial antiviral effects. While *in vivo* research in this area is less extensive, it has provided early indications of the compounds' ability to reduce viral loads and ameliorate symptoms in animal models (Wong *et al.*, 2010). Clinical trials exploring these plant-derived compounds for viral infections are also underway, with preliminary results suggesting their potential utility.

Therefore, the research findings surrounding bioactive plant compounds as antimicrobial therapeutics are a testament to their multifaceted effectiveness. Through *in vitro* and *in vivo* studies, these compounds have exhibited the capacity to combat bacteria, fungi, and viruses, holding great promise for the development of novel antimicrobial therapies. The wealth of promising results and case studies further strengthens the argument for continued exploration and utilization of bioactive plant compounds in the field of antimicrobial research and therapeutics.

6. Synergy with conventional antibiotics

Within the domain of antimicrobial research, the concept of synergy between plant compounds and antibiotics has attracted significant interest, holding the potential to transform our strategies for combating infectious diseases. This pioneering approach delves into the collaborative interplay between bioactive plant compounds, sourced from diverse botanical origins, and conventional antibiotics (Cheesman *et al.*, 2017). The objective is to leverage the distinct characteristics of these plant-derived compounds to amplify the antimicrobial impacts of antibiotics when employed in tandem, ultimately leading to more robust and efficacious treatments against a broad spectrum of microbial pathogens.

Mechanisms of synergy

The mechanisms underlying synergy between plant compounds and antibiotics are multifaceted and can vary depending on the specific compounds and pathogens involved. Key mechanisms include:

- **Complementary actions:** Plant compounds often possess unique mechanisms of action distinct from those of antibiotics. Combining

them with antibiotics allows for a dual attack on pathogens, making it harder for them to develop resistance.

- **Enhanced penetration:** Some plant compounds can enhance the uptake of antibiotics by microbial cells. This increased penetration can make antibiotics more effective at lower concentrations.
- **Biofilm disruption:** Many bacteria form protective biofilms that shield them from antibiotics. Certain plant compounds have the ability to disrupt these biofilms, allowing antibiotics to reach and eliminate the enclosed bacteria.
- **Interference with resistance mechanisms:** Plant compounds may interfere with the microbial resistance mechanisms, making it more challenging for microorganisms to defend against antibiotics.

Applications and advantages

The synergy between plant compounds and antibiotics holds promise across various healthcare and medical applications:

- **Combating antibiotic resistance:** The combination of plant compounds and antibiotics may serve as a potent strategy to combat antibiotic resistance. By enhancing the effectiveness of existing antibiotics, lower doses can be used, reducing the selective pressure that drives resistance development.
- **Expanding antimicrobial coverage:** Plant compounds can target pathogens that are resistant to specific antibiotics, thereby broadening the range of treatable infections.
- **Minimizing side effects:** Lowering the dosage of antibiotics through synergy can reduce the risk of adverse side effects for patients, leading to improved overall treatment tolerability.
- **Supporting sustainability:** The use of natural plant compounds aligns with sustainability goals in healthcare. Many of these compounds can be sourced and produced more sustainably than synthetic pharmaceutical

Therefore, the exploration of synergy between plant compounds and conventional antibiotics represents an exciting frontier in antimicrobial research. It offers the potential to enhance the effectiveness of existing treatments, combat antibiotic resistance, and provide more sustainable and well-tolerated solutions for infectious diseases, ushering in a new era of antimicrobial therapeutics. However, it is essential to conduct further

research and clinical trials to fully understand the mechanisms and optimize these synergistic combinations for practical medical use.

7. Safety and toxicity

While bioactive plant compounds offer therapeutic potential, it's crucial to address safety concerns associated with their use (Anand *et al.*, 2019). Here are some key considerations:

- **Dose-dependent effects:** Many plant compounds exhibit a dose-dependent response, meaning their effects can vary based on the amount consumed. In some cases, high doses may lead to adverse effects or toxicity. Therefore, establishing appropriate dosage guidelines is essential.
- **Potential allergens:** Some individuals may be allergic to specific plant compounds. Allergic reactions can range from mild skin irritation to severe anaphylaxis. It's important to identify allergenic potential and provide clear labelling on products.
- **Interactions with medications:** Plant compounds can interact with prescription medications. For instance, compounds like grapefruit juice can affect drug metabolism by inhibiting certain enzymes in the liver. Understanding these interactions is crucial for patient safety.
- **Gastrointestinal effects:** Certain plant compounds, such as high doses of fibre or laxative herbs, may cause gastrointestinal discomfort or diarrhea. Proper dosing and monitoring can help mitigate these effects.
- **Toxicity profiles:** Some plant compounds can be toxic in high doses or with prolonged use. For example, pyrrolizidine alkaloids in some herbs are hepatotoxic. Comprehensive toxicology studies are necessary to assess the safety of these compounds.
- **Pregnancy and lactation:** Safety considerations are especially important for pregnant and lactating individuals, as some plant compounds may affect fetal development or be transmitted through breast milk. Special precautions and guidelines are needed.
- **Quality control:** Ensuring the purity and quality of plant-derived compounds is critical. Contamination with pesticides, heavy metals, or other toxins can pose health risks.

8. Formulation and delivery systems

To maximize the therapeutic potential of bioactive plant compounds and improve their safety and efficacy, innovative formulation and delivery systems are explored:

- **Nanoparticles:** Nanotechnology allows for the encapsulation of plant compounds in nanoparticles, which can enhance their bioavailability and targeted delivery (Bonifacio *et al.*, 2014). This approach improves therapeutic outcomes while minimizing side effects.
- **Liposomes:** Liposomes are lipid-based vesicles that can carry hydrophobic plant compounds. They enhance solubility and stability and can facilitate controlled release, improving therapeutic efficacy (Chime *et al.*, 2013).
- **Microencapsulation:** Plant compounds can be encapsulated in microspheres or microcapsules. This technology protects compounds from degradation, allows for controlled release, and enhances stability (Riseh *et al.*, 2022).
- **Polymeric Delivery Systems:** Biodegradable polymers can be used to create drug delivery systems that release plant compounds over time, ensuring sustained therapeutic effects (Ram Prasad *et al.*, 2017).
- **Oral Delivery Enhancers:** Co-administration of plant compounds with enhancers like piperine (from black pepper) can improve their oral bioavailability by inhibiting certain enzymes responsible for compound metabolism (Cherniakov *et al.*, 2017).

Innovative formulation and delivery systems not only enhance the efficacy of bioactive plant compounds but also contribute to their safety by minimizing adverse effects associated with traditional dosage forms. These approaches are crucial for the development of plant-based pharmaceuticals and nutraceuticals in modern medicine.

9. Comparative analysis of bioactive plant compounds and traditional antibiotics

In the realm of antimicrobial therapies, an ongoing debate surrounds the merits of bioactive plant compounds versus traditional antibiotics. Each approach carries distinct advantages and disadvantages, and a comparative analysis can shed light on their respective roles in addressing microbial infections and the growing challenge of antimicrobial resistance (AMR).

Advantages of bioactive plant compounds

Bioactive plant compounds offer several advantages in the fight against microbial infections and AMR. One of their primary merits is their diverse range of mechanisms of action. Unlike traditional antibiotics that often target specific cellular processes, bioactive plant compounds employ multifaceted mechanisms, making it harder for microbes to develop resistance. Furthermore, many bioactive plant compounds exhibit broad-spectrum antimicrobial activity, capable of combating a variety of pathogens. They also tend to have a lower likelihood of causing antibiotic resistance due to their complex interactions with microbes (Tariq *et al.*, 2019). Additionally, bioactive plant compounds often have fewer side effects and may be better tolerated by patients. Moreover, their natural origin appeals to those seeking holistic and sustainable healthcare solutions.

Disadvantages of bioactive plant compounds

Despite their promise, bioactive plant compounds come with certain limitations. One significant challenge is standardization and quality control, as the composition of these compounds can vary significantly depending on factors such as plant species, growing conditions, and extraction methods. This variability can affect their efficacy and safety. Another drawback is that bioactive plant compounds may not always offer the same level of potency as traditional antibiotics, particularly for severe infections (Cheesman *et al.*, 2017). Additionally, while these compounds generally have fewer side effects, allergic reactions and interactions with other medications can still occur. Lastly, the limited availability of clinical data and regulatory approvals for bioactive plant compounds can hinder their widespread adoption in conventional healthcare settings.

In comparing and contrasting these advantages and disadvantages, it becomes evident that bioactive plant compounds hold significant potential as complementary or alternative therapies in the fight against microbial infections and AMR. However, their integration into mainstream medicine requires rigorous research, standardization, and regulatory support to ensure their safety and efficacy.

10. Future directions and emerging trends

The field of bioactive plant compounds as antimicrobial agents is poised for a dynamic and promising future, marked by several significant developments and emerging trends that are set to shape the landscape of antimicrobial therapy (Craik *et al.*, 2013). Let's delve into these trends more elaborately:

- **Advanced screening techniques:** The use of advanced screening techniques, including high-throughput and virtual screening, is expected to revolutionize the discovery of bioactive plant compounds with antimicrobial properties. These cutting-edge methods will accelerate the identification of novel candidates from the vast plant kingdom, significantly expediting the drug discovery process.
- **Enhanced extraction methods:** Innovations in extraction methods will likely lead to more efficient and sustainable production processes for bioactive plant compounds. This ensures a stable supply of these valuable antimicrobial agents and reduces the environmental impact of production.
- **Integration of synthetic biology:** The integration of synthetic biology approaches will further enhance the production of bioactive plant compounds. By engineering microorganisms to produce these compounds in a controlled manner, scalability and cost-effectiveness can be significantly improved.
- **Targeted delivery systems:** The development of targeted delivery systems, such as nanoparticles and liposomes, holds great promise in improving the bioavailability and efficacy of bioactive plant compounds. These delivery systems can precisely transport the compounds to the infection sites, enhancing their therapeutic impact while minimizing side effects.
- **Genetic engineering of plants:** Genetic engineering techniques applied to plants will become increasingly sophisticated. This advancement will allow for the precise manipulation of plant genomes to produce specific antimicrobial agents in higher quantities, offering scalable and sustainable solutions.
- **Complex interactions exploration:** Research into the intricate interactions between bioactive plant compounds, the human microbiome, and host immune responses will expand. This deeper understanding will uncover new dimensions of their therapeutic potential and guide the development of personalized treatment approaches.
- **Regulatory pathways:** The establishment of regulatory pathways for bioactive plant compounds will be a pivotal factor in their future utilization. Regulatory bodies will play a crucial role in ensuring the safety, efficacy, and quality of these compounds in healthcare applications.

- **Sustainability practices:** Sustainability practices will be a focal point, aligning with the global drive for responsible and eco-friendly healthcare. Sustainable sourcing, ethical harvesting, and environmentally conscious production methods will become integral to the field.
- **Global health implications:** Bioactive plant compounds have the potential to address global health challenges, especially in regions with limited access to conventional antibiotics. Ensuring equitable access and affordability of these compounds will be a critical consideration.

Hence, the future of bioactive plant compounds as antimicrobial agents promises to be dynamic and transformative. The convergence of advanced science, sustainable practices, and a deeper understanding of their mechanisms of action will contribute to a more effective and responsible approach to combating infectious diseases, thereby reshaping the landscape of antimicrobial therapy in a manner that is both innovative and sustainable.

11. Conclusion

The exploration of bioactive plant compounds represents a significant paradigm shift in the field of antimicrobial therapeutics. These natural substances, extracted from various parts of plants, have ushered in a new era of possibilities for combating infectious diseases (Wright, 2019). Their emergence offers a multifaceted approach to addressing the formidable challenges posed by antibiotic resistance, the evolving landscape of microbial pathogens, and the quest for sustainable and effective treatments. Moreover, these compounds are often better tolerated by the human body, minimizing adverse effects and enhancing patient well-being. The versatility of plant-derived antimicrobial agents extends beyond their therapeutic capabilities. Their sustainable sourcing and production align with eco-friendly healthcare practices, contributing to the broader goals of environmental responsibility (Shin *et al.*, 2018). Furthermore, the synergy observed when combining plant compounds with conventional antibiotics holds promise for overcoming antibiotic resistance and broadening the range of treatable infections.

As we stand at the forefront of this new era in antimicrobial therapeutics, it is essential to continue robust research, clinical trials, and interdisciplinary collaborations to fully harness the potential of bioactive plant compounds. This journey promises not only more effective treatments but also a renewed commitment to holistic and sustainable healthcare

practices. With the relentless evolution of pathogens, the need for innovative solutions has never been greater, and bioactive plant compounds are poised to play a pivotal role in shaping the future of infectious disease management.

References

1. Abubakar AR, Haque M. Preparation of medicinal plants: Basic extraction and fractionation procedures for experimental purposes. *Journal of pharmacy & bioallied sciences*. 2020;12(1):1.
2. Ahad B, Shahri W, Rasool H, Reshi ZA, Rasool S, Hussain T *et al*. Medicinal plants and herbal drugs: An overview. *Medicinal and Aromatic Plants: Healthcare and Industrial Applications*, 2021, 1-40.
3. Ahmad N, Ali S, Abbas M, Fazal H, Saqib S, Ali A *et al*. Antimicrobial efficacy of *Mentha piperata*-derived biogenic zinc oxide nanoparticles against UTI-resistant pathogens. *Scientific Reports*. 2023b;13(1):14972.
4. Ahmad RS, Imran M, Ahmad MH, Khan MK, Yasmin A, Saima H *et al*. *Eucalyptus* essential oils. In *Essential Oils Academic Press*, 2023a, 217-239.
5. Aiyegoro OA, Okoh AI. Use of bioactive plant products in combination with standard antibiotics: Implications in antimicrobial chemotherapy. *Journal of Medicinal Plants Research*. 2009;3(13):1147-1152.
6. Akram M, Tahir IM, Shah SMA, Mahmood Z, Altaf A, Ahmad K *et al*. Antiviral potential of medicinal plants against HIV, HSV, influenza, hepatitis, and coxsackievirus: A systematic review. *Phytotherapy Research*. 2018;32(5):811-822.
7. Aljaafari MN, AlAli AO, Baqais L, Alqubaisy M, AlAli M, Molouki A *et al*. An overview of the potential therapeutic applications of essential oils. *Molecules*. 2021;26(3):628.
8. Anand U, Jacobo-Herrera N, Altemimi A, Lakhssassi N. A comprehensive review on medicinal plants as antimicrobial therapeutics: potential avenues of biocompatible drug discovery. *Metabolites*. 2019;9(11):258.
9. Anand U, Nandy S, Mundhra A, Das N, Pandey DK, Dey A *et al*. A review on antimicrobial botanicals, phytochemicals and natural resistance modifying agents from Apocynaceae family: Possible therapeutic approaches against multidrug resistance in pathogenic microorganisms. *Drug Resistance Updates*. 2020;51:100695.
10. Atanasov AG, Zotchev SB, Dirsch VM, Supuran CT. Natural products in

- drug discovery: Advances and opportunities. *Nature reviews Drug discovery*. 2021;20(3):200-216.
11. Bonifacio BV, da Silva PB, Ramos MADS, Negri KMS, Bauab TM, Chorilli M *et al*. Nanotechnology-based drug delivery systems and herbal medicines: a review. *International journal of nanomedicine*, 2014, 1-15.
 12. Božović M, Navarra A, Garzoli S, Pepi F, Ragno R. Essential oils extraction: A 24-hour steam distillation systematic methodology. *Natural product research*. 2017;31(20):2387-2396.
 13. Chadha J, Harjai K, Chhibber S. Repurposing phytochemicals as anti-virulent agents to attenuate quorum sensing-regulated virulence factors and biofilm formation in *Pseudomonas aeruginosa*. *Microbial biotechnology*. 2022;15(6):1695-1718.
 14. Cheesman MJ, Ilanko A, Blonk B, Cock IE. Developing new antimicrobial therapies: are synergistic combinations of plant extracts/compounds with conventional antibiotics the solution? *Pharmacognosy reviews*. 2017;11(22):57.
 15. Cherniakov I, Izgelov D, Domb AJ, Hoffman A. The effect of Pro NanoLipospheres (PNL) formulation containing natural absorption enhancers on the oral bioavailability of delta-9-tetrahydrocannabinol (THC) and cannabidiol (CBD) in a rat model. *European Journal of Pharmaceutical Sciences*. 2017;109:21-30.
 16. Chime SA, Onyishi IV. Lipid-based drug delivery systems (LDDS): Recent advances and applications of lipids in drug delivery. *African Journal of Pharmacognosy and Pharmacology*. 2013;7(48):3034-3059.
 17. Craik DJ, Fairlie DP, Liras S, Price D. The future of peptide-based drugs. *Chemical biology & drug design*. 2013;81(1):136-147.
 18. D'Souza SP, Chavannavar SV, Kanchanashri B, Niveditha SB. Pharmaceutical perspectives of spices and condiments as alternative antimicrobial remedy. *Journal of evidence-based complementary & alternative medicine*. 2017;22(4):1002-1010.
 19. David AVA, Arulmoli R, Parasuraman S. Overview of biological importance of quercetin: A bioactive flavonoid. *Pharmacognosy reviews*. 2016;10(20):84.
 20. Du GJ, Zhang Z, Wen XD, Yu C, Calway T, Yuan CS *et al*. Epigallocatechin Gallate (EGCG) is the most effective cancer

- chemopreventive polyphenol in green tea. *Nutrients*. 2012;4(11):1679-1691.
21. Ergüden B. Phenol group of terpenoids is crucial for antibacterial activity upon ion leakage. *Letters in Applied Microbiology*. 2021;73(4):438-445.
 22. Gallucci MN, Oliva M, Casero C, Dambolena J, Luna A, Zygadlo J *et al*. Antimicrobial combined action of terpenes against the food-borne microorganisms *Escherichia coli*, *Staphylococcus aureus* and *Bacillus cereus*. *Flavour and fragrance journal*. 2009;24(6):348-354.
 23. Gyssens IC. Antibiotic policy. *International journal of antimicrobial agents*. 2011;38:11-20.
 24. Ignat I, Volf I, Popa VI. A critical review of methods for characterisation of polyphenolic compounds in fruits and vegetables. *Food chemistry*. 2011;126(4):1821-1835.
 25. Ingle KP, Deshmukh AG, Padole DA, Dudhare MS, Moharil MP, Khelurkar VC *et al*. Phytochemicals: Extraction methods, identification and detection of bioactive compounds from plant extracts. *Journal of Pharmacognosy and Phytochemistry*. 2017;6(1):32-36.
 26. Jan R, Asaf S, Numan M, Lubna, Kim KM. Plant secondary metabolite biosynthesis and transcriptional regulation in response to biotic and abiotic stress conditions. *Agronomy*. 2021;1(5):968.
 27. Jha AK, Sit N. Extraction of bioactive compounds from plant materials using combination of various novel methods: A review. *Trends in Food Science & Technology*. 2022;119:579-591.
 28. Jung HJ, Seu YB, Lee DG. Candidicidal action of resveratrol isolated from grapes on human pathogenic yeast *C. albicans*. *Journal of microbiology and biotechnology*. 2007;17(8):1324-1329.
 29. Kong ASY, Maran S, Yap PSX, Lim SHE, Yang SK, Cheng WH *et al*. Anti-and Pro-oxidant properties of essential oils against antimicrobial resistance. *Antioxidants*. 2022;11(9):1819.
 30. Kongkham B, Prabakaran D, Puttaswamy H. Opportunities and challenges in managing antibiotic resistance in bacteria using plant secondary metabolites. *Fitoterapia*. 2020;147:104762.
 31. Kovač J, Šimunović K, Wu Z, Klančnik A, Bucar F, Zhang Q *et al*. Antibiotic resistance modulation and modes of action of (-)- α -pinene in *Campylobacter jejuni*. *PloS one*. 2015;10(4):e0122871.

32. Krauss M, Longrée P, Van Houtte E, Cauwenberghs J, Hollender J. Assessing the fate of nitrosamine precursors in wastewater treatment by physicochemical fractionation. *Environmental science & technology*. 2010;44(20):7871-7877.
33. Kumar S, Roy S, Udayabanu M. Development of Anti Acne Gel from *Withania somnifera*, 2022.
34. López-Rodríguez M, López-Rosales L, Necci GD, Cerón-García MDC, Navarro-López E, Gallardo-Rodríguez JJ *et al*. The isolation of specialty compounds from *Amphidinium carterae* biomass by two-step solid-phase and liquid-liquid extraction. *Toxins*. 2022;14(9):593.
35. Makarewicz M, Drożdż I, Tarko T, Duda-Chodak A. The interactions between polyphenols and microorganisms, especially gut microbiota. *Antioxidants*. 2021;10(2):188.
36. Mala T, Sadiq MB, Anal AK. Comparative extraction of bromelain and bioactive peptides from pineapple byproducts by ultrasonic-and microwave-assisted extractions. *Journal of Food Process Engineering*. 2021;44(6):e13709.
37. Matulis D. Selective precipitation of proteins. *Current Protocols in Protein Science*. 2016;83(1):4-5.
38. Mustafa SK, Oyouni AAWA, Aljohani MM, Ahmad MA. Polyphenols more than an Antioxidant: Role and Scope. *Journal of Pure & Applied Microbiology*, 2020, 14(1).
39. Osterburg A, Gardner J, Hyon SH, Neely A, Babcock G. Highly antibiotic-resistant *Acinetobacter baumannii* clinical isolates are killed by the green tea polyphenol (–)-epigallocatechin-3-gallate (EGCG). *Clinical Microbiology and Infection*. 2009;15(4):341-346.
40. Panda G, Dash S, Sahu SK. Harnessing the Role of Bacterial Plasma Membrane Modifications for the Development of Sustainable Membranotropic Phytotherapeutics. *Membranes*. 2022;12(10):914.
41. Patil PD, Patil SP, Kelkar RK, Patil NP, Pise PV, Nadar SS *et al*. Enzyme-assisted supercritical fluid extraction: An integral approach to extract bioactive compounds. *Trends in Food Science & Technology*. 2021;116:357-369.
42. Patwardhan B. Ayurveda: The designer medicine. *Indian drugs*. 2000;37(5):213-227.

43. Predoi D, Iconaru SL, Buton N, Badea ML, Marutescu L. Antimicrobial activity of new materials based on lavender and basil essential oils and hydroxyapatite. *Nanomaterials*. 2018;8(5):291.
44. Ram Prasad RP, Rishikesh Pandey RP, Ajit Varma AV, Ishan Barman IB. Polymer-based nanoparticles for drug delivery systems and cancer therapeutics. In *Natural polymers for drug delivery*. Wallingford UK: CABI, 2017, 53-70.
45. Rana IS, Rana AS, Rajak RC. Evaluation of antifungal activity in essential oil of the *Syzygium aromaticum* (L.) by extraction, purification and analysis of its main component eugenol. *Brazilian Journal of Microbiology*. 2011;42:1269-1277.
46. Riseh RS, Hassanisaadi M, Vatankhah M, Soroush F, Varma RS. Nano/microencapsulation of plant biocontrol agents by chitosan, alginate, and other important biopolymers as a novel strategy for alleviating plant biotic stresses. *International Journal of Biological Macromolecules*, 2011.
47. Rizvi SA, Einstein GP, Tulp OL, Sainvil F, Branly R. Introduction to traditional medicine and their role in prevention and treatment of emerging and Re-emerging diseases. *Biomolecules*. 2022;12(10):1442.
48. Robinson A, Causer JR, Dixon EN. Architecture and conservation of the bacterial DNA replication machinery, an underexploited drug target. *Current drug targets*. 2012;13(3):352-372.
49. Robinson N, Kolter T, Wolke M, Rybniker J, Hartmann P, Plum G *et al*. Mycobacterial phenolic glycolipid inhibits phagosome maturation and subverts the pro-inflammatory cytokine response. *Traffic*. 2008;9(11):1936-1947.
50. Romes NB, Abdul Wahab R, Abdul Hamid M. The role of bioactive phytoconstituents-loaded nanoemulsions for skin improvement: a review. *Biotechnology & Biotechnological Equipment*. 2021;35(1):711-730.
51. Salam MA, Al-Amin MY, Salam MT, Pawar JS, Akhter N, Rabaan AA *et al*. Antimicrobial Resistance: A Growing Serious Threat for Global Public Health. In *Healthcare*. 2023;11(13):1946. MDPI.
52. Savoia D. Plant-derived antimicrobial compounds: alternatives to antibiotics. *Future microbiology*. 2012;7(8):979-990.

53. Šegvić Klarić M, Kosalec I, Mastelić J, Piecková E, Pepeljnak S. Antifungal activity of thyme (*Thymus vulgaris* L.) essential oil and thymol against moulds from damp dwellings. Letters in applied microbiology. 2007;44(1):36-42.
54. Sen T, Samanta SK. Medicinal plants, human health and biodiversity: a broad review. Biotechnological applications of biodiversity, 2015, 59-110.
55. Sharma A, Sharma S, Kumar A, Kumar V, Sharma AK. Plant secondary metabolites: An introduction of their chemistry and biological significance with physicochemical aspect. In Plant Secondary Metabolites: Physico-Chemical Properties and Therapeutic Applications. Singapore: Springer Nature Singapore, 2022, 1-45.
56. Shin J, Prabhakaran VS, Kim KS. The multi-faceted potential of plant-derived metabolites as antimicrobial agents against multidrug-resistant pathogens. Microbial pathogenesis. 2018;116:209-214.
57. Tariq S, Wani S, Rasool W, Shafi K, Bhat MA, Prabhakar A *et al.* A comprehensive review of the antibacterial, antifungal and antiviral potential of essential oils and their chemical constituents against drug-resistant microbial pathogens. Microbial pathogenesis. 2019;134:103-580.
58. Tavakkoli A, Johnston TP, Sahebkar A. Antifungal effects of statins. Pharmacology & therapeutics. 2020; 208:107483.
59. Tung HH, Paul EL, Midler M, McCauley JA. Crystallization of organic compounds: an industrial perspective. 2023. John Wiley & Sons.
60. Wink M, Schimmer O. Molecular modes of action of defensive secondary metabolites. Annual Plant Reviews: Functions and Biotechnology of Plant Secondary Metabolites. 2010;39:21-161.
61. Wong HL, Chattopadhyay N, Wu XY, Bendayan R. Nanotechnology applications for improved delivery of antiretroviral drugs to the brain. Advanced drug delivery reviews. 2010;62(4-5):503-517.
62. Wright GD. Unlocking the potential of natural products in drug discovery. Microbial biotechnology. 2019;12(1):55-57.
63. Yu W, Jin H, Shen A, Deng L, Shi J, Xue X *et al.* Purification of high-purity glycyrrhizin from licorice using hydrophilic interaction solid phase extraction coupled with preparative reversed-phase liquid chromatography. Journal of Chromatography B. 2017;1040:47-52.